

# Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2017

Open to Public Inspection

Form **990**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

- ▶ Do not enter Social Security numbers on this form as it may be made public.
- ▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Department of the Treasury  
Internal Revenue Service

**A** For the 2017 calendar year, or tax year beginning 10/01, 2017, and ending 09/30, 2018

<b>B</b> Check if applicable:	<input type="checkbox"/>	Address change	C Name of organization <b>THE FOUNDATION FOR AIDS RESEARCH</b>	D Employer identification number <b>13-3163817</b>
	<input type="checkbox"/>	Name change	Doing Business As <b>AIDS RESEARCH FOUNDATION OR AMFAR</b>	E Telephone number <b>(212) 806-1600</b>
	<input type="checkbox"/>	Initial return	Number and street (or P.O. box if mail is not delivered to street address) Room/suite <b>120 WALL STREET 13TH FLOOR</b>	G Gross receipts \$ <b>65,167,864.</b>
	<input type="checkbox"/>	Terminated	City or town, state or province, country, and ZIP or foreign postal code <b>NEW YORK, NY 10005-3908</b>	
<input type="checkbox"/>	Amended return	F Name and address of principal officer: <b>KEVIN FROST</b> <b>120 WALL STREET 13TH FLOOR NEW YORK, NY 10005</b>	H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<input type="checkbox"/>	Application pending		H(b) Are all subordinates included? <input type="checkbox"/> Yes <input type="checkbox"/> No	
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527			If "No," attach a list. (see instructions)	
J Website: ▶ <b>WWW.AMFAR.ORG</b>			H(c) Group exemption number ▶	
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶			L Year of formation: <b>1983</b> M State of legal domicile: <b>NY</b>	

Part I Summary				
Activities & Governance	1	Briefly describe the organization's mission or most significant activities: <u>AMFAR IS DEDICATED TO ENDING THE GLOBAL AIDS EPIDEMIC THROUGH INNOVATIVE RESEARCH.</u>		
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	12.
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	12.
	5	Total number of individuals employed in calendar year 2017 (Part V, line 2a)	5	88.
	6	Total number of volunteers (estimate if necessary)	6	0.
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
	b Net unrelated business taxable income from Form 990-T, line 34	7b	60,801.	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	50,842,378.	42,784,390.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	0.	0.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,434,528.	1,668,073.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	-11,850,334.	-12,144,387.
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	40,426,572.	32,308,076.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	12,204,275.	12,574,033.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	11,102,574.	11,259,330.
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶ <u>5,935,707.</u>	746,863.	735,053.
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	19,193,671.	14,468,481.
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	43,247,383.	39,036,897.
Net Assets or Fund Balances	19	Revenue less expenses. Subtract line 18 from line 12	-2,820,811.	-6,728,821.
	20	Total assets (Part X, line 16)	Beginning of Current Year	End of Year
	21	Total liabilities (Part X, line 26)	80,221,720.	69,536,136.
	22	Net assets or fund balances. Subtract line 21 from line 20	20,491,474.	16,985,457.
			59,730,246.	52,550,679.

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer 	Date
	Type or print name and title <b>Bradley Jensen, CFO &amp; Asset Treasurer</b>	<b>7/2/19</b>

Paid Preparer Use Only	Print/Type preparer's name <b>SCOTT THOMPSETT</b>	Preparer's signature 	Date <b>7/2/2019</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P00741490</b>
	Firm's name ▶ <b>GRANT THORNTON LLP</b>	Firm's EIN ▶ <b>36-6055558</b>		Phone no. <b>212-599-0100</b>	
	Firm's address ▶ <b>757 THIRD AVENUE, 3RD FLOOR NEW YORK, NY 10017-2013</b>				

May the IRS discuss this return with the preparer shown above? (see instructions)  Yes  No

For Paperwork Reduction Act Notice, see the separate instructions. Form **990** (2017)

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission:

ATTACHMENT 1

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [ ] Yes [X] No

[ ] Yes [X] No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [ ] Yes [X] No

[ ] Yes [X] No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 18,260,220. including grants of \$ 10,029,065. ) (Revenue \$ 0. )

RESEARCH: AMFAR SUPPORTS RESEARCH PROJECTS THAT EXPLORE NOVEL APPROACHES TO SCIENTIFICALLY SOUND BUT UNTESTED HYPOTHESES IN ALL AREAS OF RESEARCH ON HIV/AIDS, FUNDING GOAL-ORIENTED STUDIES THAT OFTEN LACK THE PRELIMINARY DATA REQUIRED FOR SUPPORT FROM TRADITIONAL GRANT MAKERS. THE FOUNDATION PLAYS A VITAL ROLE IN HIV/AIDS RESEARCH, IDENTIFYING CRITICAL GAPS IN KNOWLEDGE AND PROVIDING ESSENTIAL SEED MONEY THAT ENABLES GRANTEEES AND FELLOWS TO TEST THE MERITS OF NEW CONCEPTS OR TECHNOLOGIES THAT SUBSEQUENTLY CAN BE VALIDATED THROUGH LARGE-SCALE STUDIES, SUCH AS THOSE FUNDED BY THE U.S. NATIONAL INSTITUTES OF HEALTH. FOR FURTHER DETAILS, SEE SCHEDULE O.

4b (Code: ) (Expenses \$ 5,051,313. including grants of \$ 2,072,635. ) (Revenue \$ 0. )

TREAT ASIA: AMFAR'S TREAT ASIA (THERAPEUTICS RESEARCH, EDUCATION, AND AIDS TRAINING IN ASIA) PROGRAM IS A NETWORK OF HOSPITALS, CLINICS, AND RESEARCH INSTITUTIONS WORKING WITH CIVIL SOCIETY TO ENSURE THE SAFE AND EFFECTIVE DELIVERY OF TREATMENTS FOR HIV AND ITS CO-INFECTIONS TO ADULTS AND CHILDREN ACROSS THE ASIA-PACIFIC THROUGH RESEARCH, EDUCATION, AND ADVOCACY OF EVIDENCE-BASED HIV-RELATED POLICIES. THE TREAT ASIA NETWORK ENCOMPASSES 21 ADULT AND 20 PEDIATRIC SITES THROUGHOUT THE REGION, WHICH COLLABORATE ON A VARIETY OF PROJECTS. FOR FURTHER DETAILS, SEE SCHEDULE O.

4c (Code: ) (Expenses \$ 4,139,558. including grants of \$ 0. ) (Revenue \$ 0. )

PUBLIC INFORMATION: AMFAR SEEKS TO TRANSLATE AND DISSEMINATE INFORMATION ON IMPORTANT HIV-RELATED RESEARCH, TREATMENT, PREVENTION, AND POLICY ISSUES FOR DIVERSE AUDIENCES AND TO INCREASE AWARENESS AND KNOWLEDGE OF THE PANDEMIC. AMFAR PUBLISHES A WIDE RANGE OF EDUCATIONAL MATERIALS, MAINTAINS AN INFORMATIVE WEBSITE, AND ENGAGES RESPECTED PUBLIC FIGURES, HIV/AIDS SCIENTISTS, AND POLICYMAKERS IN COMMUNICATING THE NEED FOR CONTINUED RESEARCH TO DEVELOP NEW METHODS OF PREVENTION, TREATMENT, AND, ULTIMATELY, A CURE FOR HIV. FOR FURTHER DETAILS, SEE SCHEDULE O.

4d Other program services (Describe in Schedule O.) ATTACHMENT 2 (Expenses \$ 3,062,940. including grants of \$ 472,332. ) (Revenue \$ 0. )

4e Total program service expenses 30,514,031.

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A.</i> . . . . .	X	
<b>2</b> Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)? . . . . .	X	
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I.</i> . . . . .		X
<b>4</b> <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II.</i> . . . . .	X	
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III.</i> . . . . .		X
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I.</i> . . . . .		X
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II.</i> . . . . .		X
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III.</i> . . . . .	X	
<b>9</b> Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV.</i> . . . . .		X
<b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V.</i> . . . . .	X	
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i> . . . . .	X	
<b>b</b> Did the organization report an amount for investments-other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i> . . . . .		X
<b>c</b> Did the organization report an amount for investments-program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i> . . . . .		X
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i> . . . . .		X
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i> . . . . .	X	
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X.</i> . . . . .	X	
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII.</i> . . . . .	X	
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional.</i> . . . . .		X
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E.</i> . . . . .		X
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States? . . . . .	X	
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV.</i> . . . . .	X	
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV.</i> . . . . .	X	
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV.</i> . . . . .		X
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> (see instructions). . . . .	X	
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II.</i> . . . . .	X	
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III.</i> . . . . .		X

**Part IV Checklist of Required Schedules (continued)**

	Yes	No
<b>20a</b> Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H.</i> . . . . .		X
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? . . . . .		
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II.</i> . . . . .	X	
<b>22</b> Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III.</i> . . . . .		X
<b>23</b> Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J.</i> . . . . .	X	
<b>24a</b> Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a.</i> . . . . .		X
<b>b</b> Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .		
<b>c</b> Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .		
<b>d</b> Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .		
<b>25a</b> <b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I.</i> . . . . .		X
<b>b</b> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I.</i> . . . . .		X
<b>26</b> Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If "Yes," complete Schedule L, Part II.</i> . . . . .		X
<b>27</b> Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III.</i> . . . . .		X
<b>28</b> Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
<b>a</b> A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV.</i> . . . . .		X
<b>b</b> A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV.</i> . . . . .		X
<b>c</b> An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV.</i> . . . . .		X
<b>29</b> Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M.</i> . . . . .	X	
<b>30</b> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M.</i> . . . . .		X
<b>31</b> Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I.</i> . . . . .		X
<b>32</b> Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II.</i> . . . . .		X
<b>33</b> Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I.</i> . . . . .		X
<b>34</b> Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1.</i> . . . . .		X
<b>35a</b> Did the organization have a controlled entity within the meaning of section 512(b)(13)? . . . . .		X
<b>b</b> If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2.</i> . . . . .		
<b>36</b> <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2.</i> . . . . .		X
<b>37</b> Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI.</i> . . . . .		X
<b>38</b> Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O.	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with columns for question numbers (1a-14b), descriptions, and Yes/No checkboxes. Includes questions about Form 1096, Form W-2G, backup withholding, Form W-3, unrelated business gross income, foreign accounts, prohibited tax shelter transactions, and charitable contributions.



Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include 1a, 1b, 2, 3, 4, 5, 6, 7a, 7b, 8a, 8b, 9.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include 10a, 10b, 11a, 11b, 12a, 12b, 12c, 13, 14, 15a, 15b, 16a, 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed ATTACHMENT 3
18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records:

BRADLEY JENSEN 120 WALL STREET 13TH FLOOR NEW YORK, NY 10005-3908

212-806-1703

**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII.

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) MATHILDE KRIM, PH.D. FOUNDING CHAIRMAN (THRU 1/18)	1.00 0.	X		X				0.	0.	0.
(2) BILL ROEDY CHAIRMAN OF THE BOARD	1.00 0.	X		X				0.	0.	0.
(3) KENNETH COLE (THRU 2/18) CHAIRMAN OF THE BOARD	1.00 0.	X		X				0.	0.	0.
(4) PATRICIA J. MATSON VICE CHAIRMAN (THRU 6/18)	1.00 0.	X		X				0.	0.	0.
(5) DONALD CAPOCCIA VICE CHAIRMAN (AS OF 2/18)	1.00 0.	X		X				0.	0.	0.
(6) CINDY RACHOFSKY VICE CHAIRMAN	1.00 0.	X		X				0.	0.	0.
(7) JOHN C. SIMONS (THRU 2/18) VICE CHAIRMAN AND TREASURER	1.00 0.	X		X				0.	0.	0.
(8) MERVYN F. SILVERMAN, M.D., M.P. SECRETARY (THRU 2/18)	1.00 0.	X		X				0.	0.	0.
(9) ARLEN H. ANDELSON SECRETARY	1.00 0.	X		X				0.	0.	0.
(10) MICHAEL J. KLINGENSMITH TREASURER	1.00 0.	X		X				0.	0.	0.
(11) HARRY BELAFONTE TRUSTEE (THRU 8/18)	1.00 0.	X						0.	0.	0.
(12) DAVID BOHNETT TRUSTEE (THRU OF 9/18)	1.00 0.	X						0.	0.	0.
(13) ZEV BRAUN TRUSTEE (NON-VOTING)	1.00 0.	X						0.	0.	0.
(14) JONATHAN S. CANNO TRUSTEE	1.00 0.	X						0.	0.	0.

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 15) JANE B. EISNER (NON-VOTING) TRUSTEE (THRU 2/18)	1.00 0.	X						0.	0.	0.
( 16) JAY ELLIS TRUSTEE (AS OF 9/18)	1.00 0.	X						0.	0.	0.
( 17) AILEEN GETTY TRUSTEE (AS OF 2/18)	1.00 0.	X						0.	0.	0.
( 18) REGAN HOFMANN SECRETARY (THRU 12/17)	1.00 0.	X						0.	0.	0.
( 19) MICHELE V. MCNEILL, PHARM.D. TRUSTEE (NON-VOTING)	1.00 0.	X						0.	0.	0.
( 20) EDWARD MILSTEIN TRUSTEE	1.00 0.	X						0.	0.	0.
( 21) PETER PIOT TRUSTEE (THRU 10/17)	1.00 0.	X						0.	0.	0.
( 22) VINCENT A. ROBERTI TRUSTEE (THRU 2/18)	1.00 0.	X						0.	0.	0.
( 23) RAYMOND F. SCHINAZI, PH.D. TRUSTEE	1.00 0.	X						0.	0.	0.
( 24) ALAN D. SCHWARTZ TRUSTEE (AS OF 2/18)	1.00 0.	X						0.	0.	0.
( 25) MARIO STEVENSON TRUSTEE (AS OF 2/18)	1.00 0.	X						0.	0.	0.
<b>1b Sub-total</b> . . . . .								0.	0.	0.
<b>c Total from continuation sheets to Part VII, Section A</b> . . . . .								2,915,039.	0.	659,800.
<b>d Total (add lines 1b and 1c)</b> . . . . .								2,915,039.	0.	659,800.

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **▶** 28

	Yes	No
<b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
ATTACHMENT 4		

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **▶** 13



**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
( 26) DIANA L. TAYLOR (NON-VOTING) TRUSTEE (THRU 12/17)	1.00 0.	X					0.	0.	0.	
( 27) KEVIN FROST CHIEF EXECUTIVE OFFICER	40.00 0.			X			458,595.	0.	104,028.	
( 28) BRADLEY JENSEN ASSISTANT TREASURER, CFO	40.00 0.			X			276,038.	0.	70,093.	
( 29) ROWENA JOHNSTON ASST SEC, VP, RESEARCH	40.00 0.			X			203,095.	0.	31,119.	
( 30) EDWARD DONNELLY ASST TREASURER, CONTROLLER	40.00 0.			X			163,157.	0.	27,291.	
( 31) ERIC MUSCATELL VICE PRESIDENT OF DEVELOPMENT	40.00 0.				X		218,403.	0.	32,827.	
( 32) ANNETTE SOHN VICE PRESIDENT, TREAT ASIA PGM	40.00 0.				X		235,334.	0.	24,141.	
( 33) ANNMARIE SHANNAHAN VICE PRESIDENT, PUBLIC INFO.	40.00 0.				X		234,029.	0.	33,314.	
( 34) GREGORIO MILLET VICE PRESIDENT, PUBLIC POLICY	40.00 0.				X		188,800.	0.	45,291.	
( 35) ANDREW MCINNESS DIRECTOR, PUBLICATIONS	40.00 0.					X	166,993.	0.	63,731.	
( 36) ANTHONY ANCONA VICE PRESIDENT, HUMAN RESOURCE	40.00 0.					X	189,243.	0.	47,564.	
<b>1b Sub-total</b> . . . . .										
<b>c Total from continuation sheets to Part VII, Section A</b> . . . . .										
<b>d Total (add lines 1b and 1c)</b> . . . . .										

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 28

	Yes	No
3 Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .		X

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization ►

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

Table with 6 main columns: (A) Name and title, (B) Average hours per week, (C) Position, (D) Reportable compensation from the organization, (E) Reportable compensation from related organizations, (F) Estimated amount of other compensation. Rows include SUSAN DOSTER, BENNAH SERFATY, JONATHAN KEY, and JOHN F. LOGAN.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 28

Table with 3 columns: Question number, Yes, No. Contains questions 3, 4, and 5 regarding compensation reporting.

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

Table with 3 columns: (A) Name and business address, (B) Description of services, (C) Compensation. Header row and several empty rows.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization

**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII.

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1a</b> Federated campaigns . . . . .	<b>1a</b> 135,853.				
	<b>b</b> Membership dues . . . . .	<b>1b</b>				
	<b>c</b> Fundraising events . . . . .	<b>1c</b> 31,268,021.				
	<b>d</b> Related organizations . . . . .	<b>1d</b>				
	<b>e</b> Government grants (contributions) . . . . .	<b>1e</b> 5,761,067.				
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above . . . . .	<b>1f</b> 5,619,449.				
	<b>g</b> Noncash contributions included in lines 1a-1f: \$ . . . . .	47,725.				
	<b>h Total.</b> Add lines 1a-1f . . . . .		42,784,390.			
	<b>Program Service Revenue</b>	<b>2a</b> _____	<b>Business Code</b>			
<b>b</b> _____						
<b>c</b> _____						
<b>d</b> _____						
<b>e</b> _____						
<b>f</b> All other program service revenue . . . . .						
<b>g Total.</b> Add lines 2a-2f . . . . .			0.			
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts). . . . .		1,490,107.			1,490,107.
	<b>4</b> Income from investment of tax-exempt bond proceeds . . . . .		0.			
	<b>5</b> Royalties . . . . .		100,565.			100,565.
	<b>6a</b> Gross rents . . . . .	(i) Real (ii) Personal				
	<b>b</b> Less: rental expenses . . . . .					
	<b>c</b> Rental income or (loss) . . . . .					
	<b>d</b> Net rental income or (loss) . . . . .		0.			
	<b>7a</b> Gross amount from sales of assets other than inventory	(i) Securities (ii) Other	18,693,800. -1,504.			
	<b>b</b> Less: cost or other basis and sales expenses . . . . .		18,517,338.			
	<b>c</b> Gain or (loss) . . . . .		176,462. -1,504.			
	<b>d</b> Net gain or (loss) . . . . .		177,966.			177,966.
	<b>8a</b> Gross income from fundraising events (not including \$ 31,268,021. of contributions reported on line 1c). See Part IV, line 18 . . . . .	<b>a</b> 2,043,540.				
	<b>b</b> Less: direct expenses . . . . .	<b>b</b> 14,341,010.				
	<b>c</b> Net income or (loss) from fundraising events . . . . .		-12,297,470.			-12,297,470.
	<b>9a</b> Gross income from gaming activities. See Part IV, line 19 . . . . .	<b>a</b>				
<b>b</b> Less: direct expenses . . . . .	<b>b</b>					
<b>c</b> Net income or (loss) from gaming activities . . . . .		0.				
<b>10a</b> Gross sales of inventory, less returns and allowances . . . . .	<b>a</b> 48,094.					
<b>b</b> Less: cost of goods sold . . . . .	<b>b</b> 1,440.					
<b>c</b> Net income or (loss) from sales of inventory . . . . .		46,654.			46,654.	
<b>Miscellaneous Revenue</b>		<b>Business Code</b>				
<b>11a</b> LIST RENTALS	900099	2,793.			2,793.	
<b>b</b> STORE SALES	900099	2,675.			2,675.	
<b>c</b> MISCELLANEOUS INCOME	900099	396.			396.	
<b>d</b> All other revenue . . . . .						
<b>e Total.</b> Add lines 11a-11d . . . . .		5,864.				
<b>12 Total revenue.</b> See instructions. . . . .		32,308,076.			-10,476,314.	

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX  X

<b>Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.</b>	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 . . . .	7,978,506.	7,978,506.		
<b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22 . . . . .	0.			
<b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 . . . . .	4,595,527.	4,595,527.		
<b>4</b> Benefits paid to or for members . . . . .	0.			
<b>5</b> Compensation of current officers, directors, trustees, and key employees . . . . .	2,445,008.	1,771,295.	317,839.	355,874.
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . .	0.			
<b>7</b> Other salaries and wages . . . . .	6,217,335.	4,222,760.	662,299.	1,332,276.
<b>8</b> Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	379,569.	254,512.	42,153.	82,904.
<b>9</b> Other employee benefits . . . . .	1,688,768.	1,120,358.	229,985.	338,425.
<b>10</b> Payroll taxes . . . . .	528,650.	351,702.	63,485.	113,463.
<b>11</b> Fees for services (non-employees):				
<b>a</b> Management . . . . .	0.			
<b>b</b> Legal . . . . .	1,422,075.	1,418,720.	2,586.	769.
<b>c</b> Accounting . . . . .	198,759.		198,759.	
<b>d</b> Lobbying . . . . .	104,808.	104,808.		
<b>e</b> Professional fundraising services. See Part IV, line 17.	735,053.			735,053.
<b>f</b> Investment management fees . . . . .	307,636.		307,636.	
<b>g</b> Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.) . . . . .	1,599,736.	887,177.	50,513.	662,046.
<b>12</b> Advertising and promotion . . . . .	256,584.	223,512.	3,763.	29,309.
<b>13</b> Office expenses . . . . .	72,365.	46,181.	6,201.	19,983.
<b>14</b> Information technology . . . . .	239,711.	181,387.	25,172.	33,152.
<b>15</b> Royalties . . . . .	0.			
<b>16</b> Occupancy . . . . .	1,419,478.	1,041,693.	148,154.	229,631.
<b>17</b> Travel . . . . .	1,181,209.	505,252.	2,092.	673,865.
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials	0.			
<b>19</b> Conferences, conventions, and meetings . . . . .	661,761.	649,394.	5,115.	7,252.
<b>20</b> Interest . . . . .	11.		11.	
<b>21</b> Payments to affiliates . . . . .	0.			
<b>22</b> Depreciation, depletion, and amortization . . . . .	512,694.	383,231.	54,526.	74,937.
<b>23</b> Insurance . . . . .	328,941.	245,879.	34,983.	48,079.
<b>24</b> Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
<b>a</b> PROGRAM MATERIALS	1,978,880.	1,978,880.		
<b>b</b> PROGRAM TECHNICAL SUPPORT	808,415.	808,415.		
<b>c</b> BAD DEBT EXPENSE	726,368.	542,949.	77,250.	106,169.
<b>d</b> POSTAGE & SHIPPING	559,630.	322,873.	2,820.	233,937.
<b>e</b> All other expenses	2,089,420.	879,020.	351,817.	858,583.
<b>25 Total functional expenses.</b> Add lines 1 through 24e	39,036,897.	30,514,031.	2,587,159.	5,935,707.
<b>26 Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input checked="" type="checkbox"/> X if following SOP 98-2 (ASC 958-720) . . . . .	506,115.	166,754.		339,361.

**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X.

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing . . . . .	9,608,338.	<b>1</b>	5,477,346.
	<b>2</b> Savings and temporary cash investments . . . . .	822,496.	<b>2</b>	691,606.
	<b>3</b> Pledges and grants receivable, net . . . . .	1,054,693.	<b>3</b>	699,100.
	<b>4</b> Accounts receivable, net . . . . .	7,565,297.	<b>4</b>	6,388,976.
	<b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .	0.	<b>5</b>	0.
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L . . . . .	0.	<b>6</b>	0.
	<b>7</b> Notes and loans receivable, net . . . . .	0.	<b>7</b>	0.
	<b>8</b> Inventories for sale or use . . . . .	444,076.	<b>8</b>	425,761.
	<b>9</b> Prepaid expenses and deferred charges . . . . .	2,895,192.	<b>9</b>	1,960,938.
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D . . . . .	<b>10a</b> 7,842,486.		
	<b>b</b> Less: accumulated depreciation . . . . .	<b>10b</b> 4,920,952.		
		3,074,500.	<b>10c</b>	2,921,534.
	<b>11</b> Investments - publicly traded securities . . . . .	53,263,236.	<b>11</b>	48,872,134.
	<b>12</b> Investments - other securities. See Part IV, line 11 . . . . .	0.	<b>12</b>	0.
	<b>13</b> Investments - program-related. See Part IV, line 11 . . . . .	0.	<b>13</b>	0.
	<b>14</b> Intangible assets . . . . .	0.	<b>14</b>	0.
<b>15</b> Other assets. See Part IV, line 11 . . . . .	1,493,892.	<b>15</b>	2,098,741.	
<b>16 Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .	80,221,720.	<b>16</b>	69,536,136.	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .	3,028,826.	<b>17</b>	3,655,122.
	<b>18</b> Grants payable . . . . .	3,445,162.	<b>18</b>	2,768,840.
	<b>19</b> Deferred revenue . . . . .	11,985,013.	<b>19</b>	8,596,427.
	<b>20</b> Tax-exempt bond liabilities . . . . .	0.	<b>20</b>	0.
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .	0.	<b>21</b>	0.
	<b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .	0.	<b>22</b>	0.
	<b>23</b> Secured mortgages and notes payable to unrelated third parties . . . . .	0.	<b>23</b>	0.
	<b>24</b> Unsecured notes and loans payable to unrelated third parties . . . . .	0.	<b>24</b>	0.
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D . . . . .	2,032,473.	<b>25</b>	1,965,068.
	<b>26 Total liabilities.</b> Add lines 17 through 25 . . . . .	20,491,474.	<b>26</b>	16,985,457.
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>			
	<b>27</b> Unrestricted net assets . . . . .	55,835,428.	<b>27</b>	48,668,968.
	<b>28</b> Temporarily restricted net assets . . . . .	3,526,335.	<b>28</b>	3,509,128.
	<b>29</b> Permanently restricted net assets . . . . .	368,483.	<b>29</b>	372,583.
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>			
	<b>30</b> Capital stock or trust principal, or current funds . . . . .		<b>30</b>	
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund . . . . .		<b>31</b>	
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds . . . . .		<b>32</b>	
	<b>33</b> Total net assets or fund balances . . . . .	59,730,246.	<b>33</b>	52,550,679.
	<b>34</b> Total liabilities and net assets/fund balances . . . . .	80,221,720.	<b>34</b>	69,536,136.

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI.

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	32,308,076.
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	39,036,897.
<b>3</b>	Revenue less expenses. Subtract line 2 from line 1	<b>3</b>	-6,728,821.
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	59,730,246.
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	-450,746.
<b>6</b>	Donated services and use of facilities	<b>6</b>	0.
<b>7</b>	Investment expenses	<b>7</b>	0.
<b>8</b>	Prior period adjustments	<b>8</b>	0.
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>9</b>	0.
<b>10</b>	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	<b>10</b>	52,550,679.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII.

- 1** Accounting method used to prepare the Form 990:  Cash  Accrual  Other \_\_\_\_\_  
 If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant? . . . . .  
 If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant? . . . . .  
 If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:  
 Separate basis  Consolidated basis  Both consolidated and separate basis
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . . . .
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
<b>2a</b>		X
<b>2b</b>	X	
<b>2c</b>	X	
<b>3a</b>	X	
<b>3b</b>	X	

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2017**

**Open to Public Inspection**

Name of the organization

THE FOUNDATION FOR AIDS RESEARCH

Employer identification number

13-3163817

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1  A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E (Form 990 or 990-EZ).)
- 3  A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: \_\_\_\_\_
- 5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8  A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9  An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10  An organization that normally receives: (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 11  An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 12  An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**.  
Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
  - a  **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
  - b  **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
  - c  **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
  - d  **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
  - e  Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
  - f Enter the number of supported organizations. . . . .

g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
(A)						
(B)						
(C)						
(D)						
(E)						
<b>Total</b>						



**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**  
 (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") . . . . .	57,556,516.	56,251,128.	58,810,206.	50,842,378.	42,784,390.	266,244,618.
<b>2</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .						0.
<b>3</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .						0.
<b>4 Total.</b> Add lines 1 through 3. . . . .	57,556,516.	56,251,128.	58,810,206.	50,842,378.	42,784,390.	266,244,618.
<b>5</b> The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f). . . . .						2,177,368.
<b>6 Public support.</b> Subtract line 5 from line 4						264,067,250.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
<b>7</b> Amounts from line 4. . . . .	57,556,516.	56,251,128.	58,810,206.	50,842,378.	42,784,390.	266,244,618.
<b>8</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources . . . . .	804,366.	1,652,775.	1,316,372.	1,354,513.	1,590,672.	6,718,698.
<b>9</b> Net income from unrelated business activities, whether or not the business is regularly carried on . . . . .						0.
<b>10</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . <b>ATCH. 1</b> . . . . .	1,693,833.	2,103,589.	2,246,081.	1,819,001.	2,097,498.	9,960,002.
<b>11 Total support.</b> Add lines 7 through 10 . . . . .						282,923,318.
<b>12</b> Gross receipts from related activities, etc. (see instructions) . . . . .					<b>12</b>	
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <b>stop here</b> . . . . .						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

<b>14</b> Public support percentage for 2017 (line 6, column (f) divided by line 11, column (f)). . . . .	<b>14</b>	93.34%
<b>15</b> Public support percentage from 2016 Schedule A, Part II, line 14 . . . . .	<b>15</b>	93.70%
<b>16a 33 1/3% support test - 2017.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization. . . . .		<input checked="" type="checkbox"/>
<b>b 33 1/3% support test - 2016.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization . . . . .		<input type="checkbox"/>
<b>17a 10%-facts-and-circumstances test - 2017.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here</b> . Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. . . . .		<input type="checkbox"/>
<b>b 10%-facts-and-circumstances test - 2016.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here</b> . Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . .		<input type="checkbox"/>
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . .		<input type="checkbox"/>

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**  
 (Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II.  
 If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose . . . . .						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 . . . . .						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf . . . . .						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge . . . . .						
<b>6 Total.</b> Add lines 1 through 5. . . . .						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons . . . . .						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year . . . . .						
<b>c</b> Add lines 7a and 7b. . . . .						
<b>8 Public support.</b> (Subtract line 7c from line 6.) . . . . .						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
<b>9</b> Amounts from line 6. . . . .						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources. . . . .						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 . . . . .						
<b>c</b> Add lines 10a and 10b . . . . .						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on. . . . .						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) . . . . .						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.) . . . . .						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . .

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2017 (line 8, column (f) divided by line 13, column (f)). . . . .	<b>15</b>	%
<b>16</b> Public support percentage from 2016 Schedule A, Part III, line 15 . . . . .	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2017 (line 10c, column (f) divided by line 13, column (f)) . . . . .	<b>17</b>	%
<b>18</b> Investment income percentage from 2016 Schedule A, Part III, line 17 . . . . .	<b>18</b>	%

**19a 33 1/3% support tests - 2017.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization .

**b 33 1/3% support tests - 2016.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ►

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ►

**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
<b>1</b> Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
<b>2</b> Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
<b>3a</b> Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer (b) and (c) below.</i>		
<b>b</b> Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
<b>c</b> Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
<b>4a</b> Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.</i>		
<b>b</b> Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
<b>c</b> Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
<b>5a</b> Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
<b>b Type I or Type II only.</b> Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
<b>c Substitutions only.</b> Was the substitution the result of an event beyond the organization's control?		
<b>6</b> Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
<b>7</b> Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
<b>8</b> Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
<b>9a</b> Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
<b>b</b> Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
<b>c</b> Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
<b>10a</b> Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer 10b below.</i>		
<b>b</b> Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i>		

**Part IV Supporting Organizations** (continued)

		Yes	No
<b>11</b>	Has the organization accepted a gift or contribution from any of the following persons?		
<b>a</b>	A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?		
<b>b</b>	A family member of a person described in (a) above?		
<b>c</b>	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in <b>Part VI</b> .		

**Section B. Type I Supporting Organizations**

		Yes	No
<b>1</b>	Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in <b>Part VI</b> how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
<b>2</b>	Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in <b>Part VI</b> how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		

**Section C. Type II Supporting Organizations**

		Yes	No
<b>1</b>	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in <b>Part VI</b> how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		

**Section D. All Type III Supporting Organizations**

		Yes	No
<b>1</b>	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
<b>2</b>	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in <b>Part VI</b> how the organization maintained a close and continuous working relationship with the supported organization(s).		
<b>3</b>	By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in <b>Part VI</b> the role the organization's supported organizations played in this regard.		

**Section E. Type III Functionally Integrated Supporting Organizations**

<b>1</b>	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).		
<b>a</b>	<input type="checkbox"/> The organization satisfied the Activities Test. Complete <b>line 2</b> below.		
<b>b</b>	<input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete <b>line 3</b> below.		
<b>c</b>	<input type="checkbox"/> The organization supported a governmental entity. Describe in <b>Part VI</b> how you supported a government entity (see instructions).		
<b>2</b>	Activities Test. Answer (a) and (b) below.		
<b>a</b>	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in <b>Part VI</b> identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.		
<b>b</b>	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in <b>Part VI</b> the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
<b>3</b>	Parent of Supported Organizations. Answer (a) and (b) below.		
<b>a</b>	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in <b>Part VI</b> .		
<b>b</b>	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in <b>Part VI</b> the role played by the organization in this regard.		

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

**1**  Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). **See instructions.** All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

<b>Section A - Adjusted Net Income</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b> Net short-term capital gain	<b>1</b>		
<b>2</b> Recoveries of prior-year distributions	<b>2</b>		
<b>3</b> Other gross income (see instructions)	<b>3</b>		
<b>4</b> Add lines 1 through 3.	<b>4</b>		
<b>5</b> Depreciation and depletion	<b>5</b>		
<b>6</b> Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	<b>6</b>		
<b>7</b> Other expenses (see instructions)	<b>7</b>		
<b>8 Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4).	<b>8</b>		

<b>Section B - Minimum Asset Amount</b>		(A) Prior Year	(B) Current Year (optional)
<b>1</b> Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):			
<b>a</b> Average monthly value of securities	<b>1a</b>		
<b>b</b> Average monthly cash balances	<b>1b</b>		
<b>c</b> Fair market value of other non-exempt-use assets	<b>1c</b>		
<b>d Total</b> (add lines 1a, 1b, and 1c)	<b>1d</b>		
<b>e Discount</b> claimed for blockage or other factors (explain in detail in <b>Part VI</b> ):			
<b>2</b> Acquisition indebtedness applicable to non-exempt-use assets	<b>2</b>		
<b>3</b> Subtract line 2 from line 1d.	<b>3</b>		
<b>4</b> Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).	<b>4</b>		
<b>5</b> Net value of non-exempt-use assets (subtract line 4 from line 3)	<b>5</b>		
<b>6</b> Multiply line 5 by .035.	<b>6</b>		
<b>7</b> Recoveries of prior-year distributions	<b>7</b>		
<b>8 Minimum Asset Amount</b> (add line 7 to line 6)	<b>8</b>		

<b>Section C - Distributable Amount</b>			Current Year
<b>1</b> Adjusted net income for prior year (from Section A, line 8, Column A)	<b>1</b>		
<b>2</b> Enter 85% of line 1.	<b>2</b>		
<b>3</b> Minimum asset amount for prior year (from Section B, line 8, Column A)	<b>3</b>		
<b>4</b> Enter greater of line 2 or line 3.	<b>4</b>		
<b>5</b> Income tax imposed in prior year	<b>5</b>		
<b>6 Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	<b>6</b>		

**7**  Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)**

Section D - Distributions	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions.	
7 <b>Total annual distributions.</b> Add lines 1 through 6.	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	
9 Distributable amount for 2017 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2017	(iii) Distributable Amount for 2017
1 Distributable amount for 2017 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2017 (reasonable cause required-explain in Part VI). See instructions.			
3 Excess distributions carryover, if any, to 2017			
a			
b From 2013 . . . . .			
c From 2014 . . . . .			
d From 2015 . . . . .			
e From 2016 . . . . .			
f <b>Total</b> of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2017 distributable amount			
i Carryover from 2012 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2017 from Section D, line 7:                     \$			
a Applied to underdistributions of prior years			
b Applied to 2017 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4.			
5 Remaining underdistributions for years prior to 2017, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.			
6 Remaining underdistributions for 2017. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.			
7 <b>Excess distributions carryover to 2018.</b> Add lines 3j and 4c.			
8 Breakdown of line 7:			
a Excess from 2013 . . . .			
b Excess from 2014 . . . .			
c Excess from 2015 . . . .			
d Excess from 2016 . . . .			
e Excess from 2017 . . . .			

**Part VI Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

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 ATTACHMENT 1
 

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## SCHEDULE A, PART II - OTHER INCOME

DESCRIPTION	2013	2014	2015	2016	2017	TOTAL
MISCELLANEOUS	1,495.	12,014.	796.	3,788.	396.	18,489.
LIST RENTALS	3,116.	4,637.	9,117.	3,691.	2,793.	23,354.
INVENTORY	239,927.	212,642.	169,839.	13,222.	48,094.	683,724.
SPECIAL EVENTS	1,448,865.	1,871,885.	2,065,725.	1,797,050.	2,043,540.	9,227,065.
STORE SALES	430.	2,411.	604.	1,250.	2,675.	7,370.
TOTALS	<u>1,693,833.</u>	<u>2,103,589.</u>	<u>2,246,081.</u>	<u>1,819,001.</u>	<u>2,097,498.</u>	<u>9,960,002.</u>



# Schedule of Contributors

**2017**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

<b>Name of the organization</b> THE FOUNDATION FOR AIDS RESEARCH	<b>Employer identification number</b> 13-3163817
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**Organization type** (check one):

**Filers of:**

**Section:**

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> THE FOUNDATION FOR AIDS RESEARCH	<b>Employer identification number</b> 13-3163817
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**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	_____	\$ 3,156,529.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	_____	\$ 2,116,705.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	_____	\$ 996,690.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
4	_____	\$ 865,280.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	_____	\$ 1,694,180.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	_____	\$ 1,447,160.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

<b>Name of organization</b> THE FOUNDATION FOR AIDS RESEARCH	<b>Employer identification number</b> 13-3163817
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**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	_____	\$ 1,417,450.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	_____	\$ 982,727.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	_____	\$ 884,500.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization THE FOUNDATION FOR AIDS RESEARCH

Employer identification number

13-3163817

**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
_____	_____ _____ _____	\$ _____	_____

<b>Name of organization</b> THE FOUNDATION FOR AIDS RESEARCH	<b>Employer identification number</b> 13-3163817
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**Part III** *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
_____ _____ _____		_____ _____ _____	

  

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
_____ _____ _____		_____ _____ _____	

  

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
_____ _____ _____		_____ _____ _____	

  

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____ _____ _____	_____ _____ _____	_____ _____ _____
<b>(e) Transfer of gift</b>			
<b>Transferee's name, address, and ZIP + 4</b>		<b>Relationship of transferor to transferee</b>	
_____ _____ _____		_____ _____ _____	

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

**Political Campaign and Lobbying Activities**

OMB No. 1545-0047

**2017**

**Open to Public Inspection**

**For Organizations Exempt From Income Tax Under section 501(c) and section 527**

- ▶ **Complete if the organization is described below.**
- ▶ **Attach to Form 990 or Form 990-EZ.**
- ▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

Department of the Treasury  
Internal Revenue Service

**If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization THE FOUNDATION FOR AIDS RESEARCH	Employer identification number 13-3163817
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**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV. (see instructions for definition of "political campaign activities")
- 2 Political campaign activity expenditures (see instructions) . . . . . ▶ \$ \_\_\_\_\_
- 3 Volunteer hours for political campaign activities (see instructions) . . . . .

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955. . . . . ▶ \$ \_\_\_\_\_
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 . . . . . ▶ \$ \_\_\_\_\_
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? . . . . .  Yes  No
- 4a Was a correction made? . . . . .  Yes  No
- b If "Yes," describe in Part IV.

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities. . . . . ▶ \$ \_\_\_\_\_
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities . . . . . ▶ \$ \_\_\_\_\_
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b . . . . . ▶ \$ \_\_\_\_\_
- 4 Did the filing organization file **Form 1120-POL** for this year? . . . . .  Yes  No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2017

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

**A** Check  if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

**B** Check  if the filing organization checked box A and "limited control" provisions apply.

<b>Limits on Lobbying Expenditures</b> (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals
<b>1a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying) . . . . .			
<b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) . . . . .			
<b>c</b> Total lobbying expenditures (add lines 1a and 1b) . . . . .			
<b>d</b> Other exempt purpose expenditures . . . . .			
<b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) . . . . .			
<b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.			
<b>If the amount on line 1e, column (a) or (b) is:</b>	<b>The lobbying nontaxable amount is:</b>		
Not over \$500,000	20% of the amount on line 1e.		
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.		
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.		
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.		
Over \$17,000,000	\$1,000,000.		
<b>g</b> Grassroots nontaxable amount (enter 25% of line 1f) . . . . .			
<b>h</b> Subtract line 1g from line 1a. If zero or less, enter -0- . . . . .			
<b>i</b> Subtract line 1f from line 1c. If zero or less, enter -0- . . . . .			
<b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? . . . . .			<input type="checkbox"/> Yes <input type="checkbox"/> No

**4-Year Averaging Period Under section 501(h)**

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

<b>Lobbying Expenditures During 4-Year Averaging Period</b>					
Calendar year (or fiscal year beginning in)	(a) 2014	(b) 2015	(c) 2016	(d) 2017	(e) Total
<b>2a</b> Lobbying nontaxable amount					
<b>b</b> Lobbying ceiling amount (150% of line 2a, column (e))					
<b>c</b> Total lobbying expenditures					
<b>d</b> Grassroots nontaxable amount					
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					
<b>f</b> Grassroots lobbying expenditures					



**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

For each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a)		(b)
	Yes	No	Amount
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
<b>a</b> Volunteers? . . . . .		X	
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? . . . . .	X		
<b>c</b> Media advertisements? . . . . .		X	
<b>d</b> Mailings to members, legislators, or the public? . . . . .		X	
<b>e</b> Publications, or published or broadcast statements? . . . . .		X	
<b>f</b> Grants to other organizations for lobbying purposes? . . . . .		X	
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body? . . . . .		X	
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? . . . . .		X	
<b>i</b> Other activities? . . . . .	X		402,465.
<b>j</b> Total. Add lines 1c through 1i . . . . .			402,465.
<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? . . . . .		X	
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912 . . . . .			
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912 . . . . .			
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? . . . . .		X	

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members? . . . . .	<b>1</b>	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less? . . . . .	<b>2</b>	
<b>3</b> Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year? . . . . .	<b>3</b>	

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."**

<b>1</b> Dues, assessments and similar amounts from members . . . . .	<b>1</b>	
<b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
<b>a</b> Current year . . . . .	<b>2a</b>	
<b>b</b> Carryover from last year. . . . .	<b>2b</b>	
<b>c</b> Total . . . . .	<b>2c</b>	
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues. . . . .	<b>3</b>	
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? . . . . .	<b>4</b>	
<b>5</b> Taxable amount of lobbying and political expenditures (see instructions) . . . . .	<b>5</b>	

**Part IV Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

SEE PAGE 4

**Part IV Supplemental Information** (continued)

## LOBBYING EXPENSES

## PART II-B

THE FOUNDATION FOR AIDS RESEARCH DEVELOPED AN ACTION AGENDA TO END AIDS THAT IDENTIFIED CRITICAL DECISIONS THAT NEED TO BE MADE TO ADVANCE EVIDENCE BASED AIDS POLICIES. WE CREATED A VARIETY OF ISSUE BRIEFS ON TOPICS SUCH AS THE POTENTIAL IMPACT OF VARIOUS BUDGET SCENARIOS ON GLOBAL HEALTH SERVICES, AND POLICY PRIORITIES TO ADDRESS THE HIV EPIDEMIC AMONG GAY MEN IN THE US. THESE ISSUE BRIEFS WERE SHARED WITH CAPITOL HILL STAFF AND MEMBERS OF THE ADMINISTRATION. AMFAR MET REGULARLY WITH STAFF TO MEMBERS OF CONGRESS AND WITH ADMINISTRATION STAFF, AND WE PROVIDED INPUT TO THE ADMINISTRATION ON DEVELOPMENT OF THE PEPFAR BLUEPRINT FOR AN AIDS FREE GENERATION. AMFAR SPONSORED SEVERAL BRIEFINGS ON CAPITOL HILL ON AIDS POLICY AND RESEARCH ISSUES. AMFAR USES THESE BRIEFINGS AS AN EDUCATIONAL TOOL.

THE FOUNDATION ENGAGED AN INDEPENDENT CONSULTANT TO ENGAGE IN LOBBYING ACTIVITIES ON ITS BEHALF. FEES PAID TO THIS CONSULTANT TOTALED \$104,808 IN THE FISCAL YEAR ENDING SEPTEMBER 30, 2018.

SCHEDULE D (Form 990)

Supplemental Financial Statements

OMB No. 1545-0047

2017

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization

Employer identification number

THE FOUNDATION FOR AIDS RESEARCH

13-3163817

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

Table with 2 columns: (a) Donor advised funds, (b) Funds and other accounts. Rows include: 1 Total number at end of year, 2 Aggregate value of contributions to (during year), 3 Aggregate value of grants from (during year), 4 Aggregate value at end of year, 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?, 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

Part II Conservation Easements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

Table with 2 columns: Held at the End of the Tax Year. Rows include: 1 Purpose(s) of conservation easements held by the organization (check all that apply), 2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year, 3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year, 4 Number of states where property subject to conservation easement is located, 5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?, 6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year, 7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year, 8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?, 9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

Table with 2 columns: Revenue, Assets. Rows include: 1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items. 1b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items: (i) Revenue included on Form 990, Part VIII, line 1. (ii) Assets included in Form 990, Part X. 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items: a Revenue included on Form 990, Part VIII, line 1. b Assets included in Form 990, Part X.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2017

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)**

- 3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
- a  Public exhibition
  - b  Scholarly research
  - c  Preservation for future generations
  - d  Loan or exchange programs
  - e  Other DONATED ITEMS, INVENTORY ETC.
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  Yes  No

**Part IV Escrow and Custodial Arrangements.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  Yes  No
- b If "Yes," explain the arrangement in Part XIII and complete the following table:
- |                                 | Amount |
|---------------------------------|--------|
| c Beginning balance             | 1c     |
| d Additions during the year     | 1d     |
| e Distributions during the year | 1e     |
| f Ending balance                | 1f     |
- 2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  Yes  No
- b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

**Part V Endowment Funds.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	593,133.	541,231.	493,036.	490,979.	443,886.
b Contributions	4,100.	5,950.	7,293.	7,998.	6,543.
c Net investment earnings, gains, and losses	86,877.	45,952.	40,902.	-5,941.	40,550.
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance	684,110.	593,133.	541,231.	493,036.	490,979.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment  \_\_\_\_\_ %
- b Permanent endowment  54.4600 %
- c Temporarily restricted endowment  45.5400 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
(i) unrelated organizations	3a(i)	X
(ii) related organizations	3a(ii)	X
b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?	3b	

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		2,542,490.	145,208.	2,397,282.
d Equipment		304,826.	266,627.	38,199.
e Other		4,995,170.	4,509,117.	486,053.
<b>Total.</b> Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				2,921,534.

**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 12.) ►		

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 13.) ►		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.) . . . . . ►	

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value	
(1) Federal income taxes		
(2) LONG TERM LEASE LIABILITY	1,693,649.	
(3) SECTION 457 RETIREMENT LIABILITY	271,419.	
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.) ►		

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

<b>Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.</b>			
Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.			
<b>1</b>	Total revenue, gains, and other support per audited financial statements . . . . .	<b>1</b>	31,971,417.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
<b>a</b>	Net unrealized gains (losses) on investments . . . . .	<b>2a</b>	-450,746.
<b>b</b>	Donated services and use of facilities . . . . .	<b>2b</b>	115,591.
<b>c</b>	Recoveries of prior year grants . . . . .	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII.) . . . . .	<b>2d</b>	-1,504.
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .	<b>2e</b>	-336,659.
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .	<b>3</b>	32,308,076.
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII.) . . . . .	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .	<b>4c</b>	
<b>5</b>	Total revenue. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 12.)</i> . . . . .	<b>5</b>	32,308,076.

<b>Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.</b>			
Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.			
<b>1</b>	Total expenses and losses per audited financial statements . . . . .	<b>1</b>	39,150,984.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
<b>a</b>	Donated services and use of facilities . . . . .	<b>2a</b>	115,591.
<b>b</b>	Prior year adjustments . . . . .	<b>2b</b>	
<b>c</b>	Other losses . . . . .	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII.) . . . . .	<b>2d</b>	-1,504.
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .	<b>2e</b>	114,087.
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .	<b>3</b>	39,036,897.
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII.) . . . . .	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .	<b>4c</b>	
<b>5</b>	Total expenses. Add lines <b>3</b> and <b>4c</b> . <i>(This must equal Form 990, Part I, line 18.)</i> . . . . .	<b>5</b>	39,036,897.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

SEE PAGE 5

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**Part XIII** Supplemental Information (continued)

## ENDOWMENTS

PART V, LINE 4

AMFAR'S ENDOWMENT FUND IS INTENDED TO FUND THE VARIOUS GENERAL RESEARCH PROGRAMS THE ORGANIZATION SPONSORS.

## RECONCILIATION OF REVENUE &amp; EXPENSES

PART XI &amp; PART XII, LINE 2D

LOSS/GAIN ON DISPOSAL OF FIXED ASSETS \$-1,504

## FIN 48 - INCOME TAXES

AMFAR FOLLOWS GUIDANCE THAT CLARIFIES THE ACCOUNTING FOR UNCERTAINTY IN TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A TAX RETURN, INCLUDING ISSUES RELATING TO FINANCIAL STATEMENT RECOGNITION AND GUIDANCE ON MEASUREMENT. THIS GUIDANCE PROVIDES THAT THE TAX EFFECTS FROM AN UNCERTAIN TAX POSITION CAN ONLY BE RECOGNIZED IN THE FINANCIAL STATEMENTS IF THE POSITION IS "MORE-LIKELY-THAN-NOT" TO BE SUSTAINED IF THE POSITION WERE TO BE CHALLENGED BY A TAXING AUTHORITY. THE ASSESSMENT OF THE TAX POSITION IS BASED SOLELY ON THE TECHNICAL MERITS OF THE POSITION, WITHOUT REGARD TO THE LIKELIHOOD THAT THE TAX POSITION MAY BE CHALLENGED.

AMFAR IS EXEMPT FROM FEDERAL INCOME TAX UNDER IRC SECTION 501(C)(3), THOUGH IT IS SUBJECT TO TAX ON INCOME UNRELATED TO ITS EXEMPT PURPOSE, UNLESS THAT INCOME IS OTHERWISE EXCLUDED BY THE CODE. AMFAR HAS PROCESSES PRESENTLY IN PLACE TO ENSURE THE MAINTENANCE OF ITS TAX-EXEMPT STATUS; TO IDENTIFY AND REPORT UNRELATED INCOME; TO DETERMINE ITS FILING AND TAX

**Part XIII** Supplemental Information *(continued)*

OBLIGATIONS IN JURISDICTIONS FOR WHICH IT WAS NEXUS; AND TO IDENTIFY AND EVALUATE OTHER MATTERS THAT MAY BE CONSIDERED TAX POSITIONS. AMFAR HAS DETERMINED THAT THERE ARE NO MATERIAL UNCERTAIN TAX POSITIONS THAT REQUIRE RECOGNITION OR DISCLOSURE IN THE FINANCIAL STATEMENTS.

COLLECTIONS OF ART, HISTORICAL TREASURES, OR OTHER SIMILAR ASSETS

PART III, LINE 4

AMFAR HOLDS VARIOUS ITEMS OF JEWELRY, PHOTOGRAPHS AND OTHER ITEMS OF ARTISTIC VALUE THAT HAVE BEEN DONATED TO THE ORGANIZATION FOR SALE AT SPECIAL EVENTS. THE ORGANIZATION HAS MAINTAINED THESE ITEMS IN INVENTORY FOR A FEW YEARS AND IS IN THE PROCESS OF SELLING THEM.



**SCHEDULE F  
(Form 990)**

**Statement of Activities Outside the United States**

OMB No. 1545-0047

**2017**

**Open to Public Inspection**

Department of the Treasury  
Internal Revenue Service

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.**

▶ **Attach to Form 990.**

▶ **Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.**

Name of the organization

THE FOUNDATION FOR AIDS RESEARCH

Employer identification number

13-3163817

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .  **Yes**  **No**

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
<b>(1)</b> EAST ASIA AND THE PACIFIC	0.	0.	GRANTMAKING	N/A	2,543,870.
<b>(2)</b> EUROPE	0.	0.	GRANTMAKING	N/A	1,646,178.
<b>(3)</b> NORTH AMERICA	0.	0.	GRANTMAKING	N/A	103,578.
<b>(4)</b> SOUTH AMERICA	0.	0.	GRANTMAKING	N/A	144,717.
<b>(5)</b> SOUTH ASIA	0.	0.	GRANTMAKING	N/A	93,219.
<b>(6)</b> SUB-SAHARAN AFRICA	0.	0.	GRANTMAKING	N/A	63,965.
<b>(7)</b> EAST ASIA AND THE PACIFIC	1.	16.	PROGRAM SERVICES	THERAPEUTICS, TRAINING	1,827,595.
<b>(8)</b> EAST ASIA AND THE PACIFIC	0.	0.	FUNDRAISING	N/A	1,853,009.
<b>(9)</b> EUROPE	0.	0.	FUNDRAISING	N/A	7,537,495.
<b>(10)</b> SOUTH AMERICA	0.	0.	FUNDRAISING	N/A	518,164.
<b>(11)</b>					
<b>(12)</b>					
<b>(13)</b>					
<b>(14)</b>					
<b>(15)</b>					
<b>(16)</b>					
<b>(17)</b>					
<b>3a</b> Sub-total . . . . .	1.	16.			16,331,790.
<b>b</b> Total from continuation sheets to Part I . . . . .					
<b>c Totals</b> (add lines 3a and 3b)	1.	16.			16,331,790.

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2017

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	BASIC RESEAR	499,920.	WIRE TRANSFE			
(2)			EAST ASIA/PACIFIC	BASIC RESEAR	74,986.	WIRE TRANSFE			
(3)			EAST ASIA/PACIFIC	GMT INITIATI	184,615.	WIRE TRANSFE			
(4)			EAST ASIA/PACIFIC	TREAT ASIA	5,254.	WIRE TRANSFE			
(5)			EAST ASIA/PACIFIC	TREAT ASIA	6,445.	WIRE TRANSFE			
(6)			EAST ASIA/PACIFIC	TREAT ASIA	11,906.	WIRE TRANSFE			
(7)			EAST ASIA/PACIFIC	TREAT ASIA	9,304.	WIRE TRANSFE			
(8)			EAST ASIA/PACIFIC	TREAT ASIA	17,273.	WIRE TRANSFE			
(9)			EAST ASIA/PACIFIC	TREAT ASIA	62,499.	WIRE TRANSFE			
(10)			EAST ASIA/PACIFIC	TREAT ASIA	6,250.	WIRE TRANSFE			
(11)			EAST ASIA/PACIFIC	TREAT ASIA	9,126.	WIRE TRANSFE			
(12)			EAST ASIA/PACIFIC	TREAT ASIA	19,996.	WIRE TRANSFE			
(13)			EAST ASIA/PACIFIC	TREAT ASIA	17,650.	WIRE TRANSFE			
(14)			EAST ASIA/PACIFIC	TREAT ASIA	14,600.	WIRE TRANSFE			
(15)			EAST ASIA/PACIFIC	TREAT ASIA	9,454.	WIRE TRANSFE			
(16)			EAST ASIA/PACIFIC	TREAT ASIA	21,224.	WIRE TRANSFE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities . . . . . ▶ \_\_\_\_\_

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	TREAT ASIA	16,800.	WIRE TRANSFE			
(2)			EAST ASIA/PACIFIC	TREAT ASIA	21,328.	WIRE TRANSFE			
(3)			EAST ASIA/PACIFIC	TREAT ASIA	22,724.	WIRE TRANSFE			
(4)			EAST ASIA/PACIFIC	TREAT ASIA	22,464.	WIRE TRANSFE			
(5)			EAST ASIA/PACIFIC	TREAT ASIA	21,414.	WIRE TRANSFE			
(6)			EAST ASIA/PACIFIC	TREAT ASIA	23,630.	WIRE TRANSFE			
(7)			EAST ASIA/PACIFIC	TREAT ASIA	21,614.	WIRE TRANSFE			
(8)			EAST ASIA/PACIFIC	TREAT ASIA	21,446.	WIRE TRANSFE			
(9)			EAST ASIA/PACIFIC	TREAT ASIA	14,050.	WIRE TRANSFE			
(10)			EAST ASIA/PACIFIC	TREAT ASIA	23,200.	WIRE TRANSFE			
(11)			EAST ASIA/PACIFIC	TREAT ASIA	20,994.	WIRE TRANSFE			
(12)			EAST ASIA/PACIFIC	TREAT ASIA	21,256.	WIRE TRANSFE			
(13)			EAST ASIA/PACIFIC	TREAT ASIA	61,320.	WIRE TRANSFE			
(14)			EAST ASIA/PACIFIC	TREAT ASIA	69,357.	WIRE TRANSFE			
(15)			EAST ASIA/PACIFIC	TREAT ASIA	7,500.	WIRE TRANSFE			
(16)			EAST ASIA/PACIFIC	TREAT ASIA	6,825.	WIRE TRANSFE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities . . . . . ▶ \_\_\_\_\_

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	TREAT ASIA	6,225.	WIRE TRANSFE			
(2)			EAST ASIA/PACIFIC	TREAT ASIA	6,600.	WIRE TRANSFE			
(3)			EAST ASIA/PACIFIC	TREAT ASIA	7,500.	WIRE TRANSFE			
(4)			EAST ASIA/PACIFIC	TREAT ASIA	7,500.	WIRE TRANSFE			
(5)			EAST ASIA/PACIFIC	TREAT ASIA	7,500.	WIRE TRANSFE			
(6)			EAST ASIA/PACIFIC	TREAT ASIA	7,500.	WIRE TRANSFE			
(7)			EAST ASIA/PACIFIC	TREAT ASIA	7,500.	WIRE TRANSFE			
(8)			EAST ASIA/PACIFIC	TREAT ASIA	284,731.	WIRE TRANSFE			
(9)			EAST ASIA/PACIFIC	TREAT ASIA	184,408.	WIRE TRANSFE			
(10)			EAST ASIA/PACIFIC	TREAT ASIA	68,602.	WIRE TRANSFE			
(11)			EAST ASIA/PACIFIC	TREAT ASIA	7,500.	WIRE TRANSFE			
(12)			EAST ASIA/PACIFIC	TREAT ASIA	15,000.	WIRE TRANSFE			
(13)			EAST ASIA/PACIFIC	TREAT ASIA	15,000.	WIRE TRANSFE			
(14)			EAST ASIA/PACIFIC	TREAT ASIA	15,000.	WIRE TRANSFE			
(15)			EAST ASIA/PACIFIC	TREAT ASIA	15,000.	WIRE TRANSFE			
(16)			EAST ASIA/PACIFIC	TREAT ASIA	25,000.	WIRE TRANSFE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities . . . . . ▶ \_\_\_\_\_

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	TREAT ASIA	20,000.	WIRE TRANSFE			
(2)			EAST ASIA/PACIFIC	TREAT ASIA	10,046.	WIRE TRANSFE			
(3)			EAST ASIA/PACIFIC	TREAT ASIA	10,958.	WIRE TRANSFE			
(4)			EAST ASIA/PACIFIC	TREAT ASIA	11,351.	WIRE TRANSFE			
(5)			EAST ASIA/PACIFIC	TREAT ASIA	6,167.	WIRE TRANSFE			
(6)			EAST ASIA/PACIFIC	TREAT ASIA	7,690.	WIRE TRANSFE			
(7)			EAST ASIA/PACIFIC	TREAT ASIA	13,770.	WIRE TRANSFE			
(8)			EAST ASIA/PACIFIC	TREAT ASIA	110,962.	WIRE TRANSFE			
(9)			EAST ASIA/PACIFIC	TREAT ASIA	15,082.	WIRE TRANSFE			
(10)			EAST ASIA/PACIFIC	TREAT ASIA	11,658.	WIRE TRANSFE			
(11)			EAST ASIA/PACIFIC	TREAT ASIA	9,480.	WIRE TRANSFE			
(12)			EAST ASIA/PACIFIC	TREAT ASIA	20,740.	WIRE TRANSFE			
(13)			EAST ASIA/PACIFIC	TREAT ASIA	13,272.	WIRE TRANSFE			
(14)			EAST ASIA/PACIFIC	TREAT ASIA	7,939.	WIRE TRANSFE			
(15)			EAST ASIA/PACIFIC	TREAT ASIA	86,459.	WIRE TRANSFE			
(16)			EAST ASIA/PACIFIC	TREAT ASIA	15,194.	WIRE TRANSFE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities . . . . . ▶ \_\_\_\_\_

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			EAST ASIA/PACIFIC	TREAT ASIA	6,641.	WIRE TRANSFE			
(2)			EAST ASIA/PACIFIC	TREAT ASIA	18,750.	WIRE TRANSFE			
(3)			EAST ASIA/PACIFIC	TREAT ASIA	5,625.	WIRE TRANSFE			
(4)			EAST ASIA/PACIFIC	TREAT ASIA	5,625.	WIRE TRANSFE			
(5)			EAST ASIA/PACIFIC	TREAT ASIA	5,625.	WIRE TRANSFE			
(6)			EAST ASIA/PACIFIC	TREAT ASIA	5,625.	WIRE TRANSFE			
(7)			EAST ASIA/PACIFIC	TREAT ASIA	5,625.	WIRE TRANSFE			
(8)			EAST ASIA/PACIFIC	TREAT ASIA	15,000.	WIRE TRANSFE			
(9)			EUROPE	BASIC RESEAR	75,000.	WIRE TRANSFE			
(10)			EUROPE	BASIC RESEAR	289,933.	WIRE TRANSFE			
(11)			EUROPE	BASIC RESEAR	270,025.	WIRE TRANSFE			
(12)			EUROPE	BASIC RESEAR	6,667.	WIRE TRANSFE			
(13)			EUROPE	RESEARCH	129,113.	WIRE TRANSFE			
(14)			EUROPE	RESEARCH	680,440.	WIRE TRANSFE			
(15)			EUROPE	RESEARCH	195,000.	WIRE TRANSFE			
(16)			NORTH AMERICA (EXCL USA)	BASIC RESEAR	33,334.	WIRE TRANSFE			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ▶ \_\_\_\_\_

3 Enter total number of other organizations or entities . . . . . ▶ \_\_\_\_\_

**Part II** Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			NORTH AMERICA (EXCL USA)	BASIC RESEAR	75,000.	WIRE TRANSFE			
(2)			SOUTH AMERICA	BASIC RESEAR	15,000.	WIRE TRANSFE			
(3)			SOUTH AMERICA	GMT INITIATI	150,288.	WIRE TRANSFE			
(4)			SOUTH ASIA	PUBLIC POLIC	24,989.	WIRE TRANSFE			
(5)			SOUTH ASIA	TREAT ASIA	7,500.	WIRE TRANSFE			
(6)			SOUTH ASIA	TREAT ASIA	15,776.	WIRE TRANSFE			
(7)			SOUTH ASIA	TREAT ASIA	11,250.	WIRE TRANSFE			
(8)			SOUTH ASIA	TREAT ASIA	15,000.	WIRE TRANSFE			
(9)			SOUTH ASIA	TREAT ASIA	8,704.	WIRE TRANSFE			
(10)			SUB-SAHARAN AFRICA	TREAT ASIA	63,965.	WIRE TRANSFE			
(11)									
(12)									
(13)									
(14)									
(15)									
(16)									

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ..... 90.

3 Enter total number of other organizations or entities .....

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of noncash assistance	(g) Description of noncash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							



**Part IV Foreign Forms**

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* . . . . .  Yes  No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* . . . . .  Yes  No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)* . . . . .  Yes  No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* . . . . .  Yes  No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* . . . . .  Yes  No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)* . . . . .  Yes  No

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

## FOREIGN ACTIVITIES

## PART I, LINE 2

INTERNATIONAL ORGANIZATIONS ARE RECOMMENDED FOR FUNDING BASED UPON THE RESULTS OF COMMUNITY-BASED PEER REVIEW AND/OR PROGRAM STAFF ASSESSMENT OF PROPOSAL MERIT AND ORGANIZATION CAPACITY TO UNDERTAKE PROPOSED PROJECTS THAT ARE CHARITABLE IN PURPOSE. PRE-AWARD DUE-DILIGENCE FOR NEW INTERNATIONAL GRANTEES INCLUDES REVIEW OF ORGANIZATION DOCUMENTS AND REGISTRATIONS TO VERIFY THAT THE ORGANIZATION OPERATES FOR A CHARITABLE PURPOSE AND THAT BASIC CAPACITY FOR PROJECT OVERSIGHT AND GOVERNANCE HAS BEEN ESTABLISHED.

ALL INTERNATIONAL GRANTEES ARE REQUIRED TO REPORT SEMI-ANNUALLY ON PROJECT PROGRESS AND EXPENDITURES; CONTINUED REPORTING IS REQUIRED UNTIL SUCH TIME AS GRANT FUNDS ARE EXPENDED IN FULL. REPORTS ARE REVIEWED BY ADMINISTRATIVE AND PROGRAM STAFF. ADDITIONAL OVERSIGHT IS PROVIDED AS NECESSARY BY MEANS OF ONGOING, INFORMAL CONTACT WITH SITES REGARDING PROGRESS AND TECHNICAL ISSUES AND SITE VISITS WHERE FEASIBLE.

INTERNATIONAL RESEARCH GRANT RECIPIENTS SUBMIT AN INTERIM AND A FINAL PROGRESS REPORTING ADDITION TO EXPENDITURES REPORTS DUE FOLLOWING THE ENDS OF THE 2ND, 3RD AND FINAL QUARTERS OF THE PERFORMANCE PERIOD.

## FOREIGN ACTIVITIES

## PART I, LINE 3, COLUMN D

THE FOUNDATION FOR AIDS RESEARCH CONDUCTS MANY OF ITS GRANT-MAKING PROGRAM SERVICES (SEE PART III OF FORM 990) IN U.S. AND FOREIGN

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

JURISDICTIONS. IN COLUMN D, THE ORGANIZATION HAS REPORTED THE BASIC PROGRAM THESE GRANTS SUPPORT; PLEASE REFER TO PART III FOR MORE INFORMATION ABOUT THESE PROGRAMS/INITIATIVES.

## FOREIGN ACTIVITIES - STATUS OF GRANTEES

THE FOUNDATION FOR AIDS RESEARCH SUPPORTS MANY NON-U.S. ORGANIZATIONS IN THE FIGHT AGAINST AIDS AND HIV-RELATED DISEASES. FOR PURPOSES OF SCHEDULE F, PART II, LINE 2 - ALL 90 CHARITIES SUPPORTED ARE PRESUMED TO BE THE EQUIVALENT OF U.S. CHARITIES.

**SCHEDULE G**  
**(Form 990 or 990-EZ)**

**Supplemental Information Regarding Fundraising or Gaming Activities**

OMB No. 1545-0047

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

**2017**

Department of the Treasury  
Internal Revenue Service

▶ Attach to Form 990 or Form 990-EZ.

**Open to Public Inspection**

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest instructions.

Name of the organization  
THE FOUNDATION FOR AIDS RESEARCH

Employer identification number  
13-3163817

**Part I Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a  Mail solicitations
  - b  Internet and email solicitations
  - c  Phone solicitations
  - d  In-person solicitations
  - e  Solicitation of non-government grants
  - f  Solicitation of government grants
  - g  Special fundraising events
- 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  Yes  No
- b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 AAB PRODUCTIONS, INC.	EVENTS PRODUCTION		X	24,583,369.	682,268.	23,901,102.
2 EIDOLON COMM., INC.	DIRECT MAIL		X	1,934,251.	286,181.	1,648,070.
3 DONOR SERVICES GROUP, LLC	TELE MARKETING		X		1,829.	-1,829.
4 JOSH WOODS PRODUCTION	EVENTS PRODUCTION		X	4,164,907.	345,000.	3,819,907.
5						
6						
7						
8						
9						
10						
<b>Total</b>				30,682,527.	1,315,278.	29,367,250.

- 3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL, AK, AR, CA, CO, CT, DC, FL, GA, HI, IL, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, TN, TX, UT, VA, WA, WV, WI,

**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		CANNES	DALLAS	24	(add col. (a) through col. (c))
		(event type)	(event type)	(total number)	
Revenue	<b>1</b> Gross receipts . . . . .	12,592,424.	3,879,548.	16,669,851.	33,141,823.
	<b>2</b> Less: Contributions . . . . .	12,169,924.	3,677,858.	15,250,501.	31,098,283.
	<b>3</b> Gross income (line 1 minus line 2) . . . . .	422,500.	201,690.	1,419,350.	2,043,540.
Direct Expenses	<b>4</b> Cash prizes . . . . .				
	<b>5</b> Noncash prizes . . . . .				
	<b>6</b> Rent/facility costs . . . . .	1,313,249.	277,433.	1,031,298.	2,621,980.
	<b>7</b> Food and beverages . . . . .	407,894.	213,512.	926,491.	1,547,897.
	<b>8</b> Entertainment . . . . .	1,816,828.	18,019.	1,844,078.	3,678,925.
	<b>9</b> Other direct expenses . . . . .	2,908,726.	545,286.	3,038,196.	6,492,208.
	<b>10</b> Direct expense summary. Add lines 4 through 9 in column (d) . . . . . ▶				14,341,010.
	<b>11</b> Net income summary. Subtract line 10 from line 3, column (d) . . . . . ▶				-12,297,470.

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	<b>1</b> Gross revenue . . . . .				
Direct Expenses	<b>2</b> Cash prizes . . . . .				
	<b>3</b> Noncash prizes . . . . .				
	<b>4</b> Rent/facility costs . . . . .				
	<b>5</b> Other direct expenses . . . . .				
	<b>6</b> Volunteer labor . . . . .	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	
	<b>7</b> Direct expense summary. Add lines 2 through 5 in column (d) . . . . . ▶				
	<b>8</b> Net gaming income summary. Subtract line 7 from line 1, column (d) . . . . . ▶				

**9** Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_

**a** Is the organization licensed to conduct gaming activities in each of these states? . . . . .  Yes  No

**b** If "No," explain: \_\_\_\_\_

\_\_\_\_\_

**10a** Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? . . . . .  Yes  No

**b** If "Yes," explain: \_\_\_\_\_

\_\_\_\_\_

- 11 Does the organization conduct gaming activities with nonmembers?  Yes  No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13 Indicate the percentage of gaming activity conducted in:
 

a The organization's facility	<b>13a</b>	%
b An outside facility	<b>13b</b>	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

- 15 a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_.
- c If "Yes," enter name and address of the third party:
 

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

16 Gaming manager information:

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

Director/officer       Employee       Independent contractor

- 17 Mandatory distributions:
  - a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No
  - b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

SCHEDULE G, PART I

THE FOUNDATION FOR AIDS RESEARCH ACTUALLY PAID OUT \$735,053 IN FUNDRAISING EXPENSES FOR THE YEAR ENDING SEPTEMBER 30, 2018. THIS AMOUNT IS REPORTED ON PART IX, LINE 11(E). FOR PURPOSES OF SCHEDULE G, THE FOUNDATION IS REPORTING ALL AMOUNTS PAID TO THE CONSULTANTS LISTED ON PART I, REGARDLESS OF WHETHER SUCH AMOUNTS WERE PURE FUNDRAISING EXPENSES OR EVENT PRODUCTION COSTS. ON SCHEDULE G, THE TOTAL AMOUNT PAID TO THE

- 11 Does the organization conduct gaming activities with nonmembers?  Yes  No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13 Indicate the percentage of gaming activity conducted in:
 

a The organization's facility	13a	%
b An outside facility	13b	%
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

- 15 a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_.
- c If "Yes," enter name and address of the third party:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

16 Gaming manager information:

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

- Director/officer
- Employee
- Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

ORGANIZATIONS LISTED IS \$1,315,278. OF THAT \$1,315,278, ONLY \$735,053 WAS PAID FOR FUNDRAISING SERVICES, THE REMAINING \$580,225 WAS PAID FOR EVENT PRODUCTION SERVICES. IN THE INTERESTS OF CLARITY, THE FOUNDATION WOULD ALSO LIKE TO MAKE CLEAR THAT THE COMPENSATION REPORTED AS HAVING BEEN PAID TO THE PROFESSIONAL FUNDRAISERS REPORTED ON SCHEDULE G IS REPORTED ON A FISCAL YEAR BASIS. SOME OF THOSE SAME FUNDRAISERS ARE REPORTED ON THE FOUNDATION'S TOP 5 HIGHEST PAID INDEPENDENT CONTRACTORS LIST (IN

- 11 Does the organization conduct gaming activities with nonmembers?  Yes  No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?  Yes  No
- 13 Indicate the percentage of gaming activity conducted in:
 

a The organization's facility	13a	%
b An outside facility	13b	%
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

- 15 a Does the organization have a contract with a third party from whom the organization receives gaming revenue?  Yes  No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_.
- c If "Yes," enter name and address of the third party:

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

16 Gaming manager information:

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

- Director/officer
- Employee
- Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?  Yes  No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Provide the explanation required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information (see instructions).

SCHEDULE O). IN COMPLIANCE WITH THE INSTRUCTIONS TO THE FORM 990, COMPENSATION REPORTED FOR PART VII (AND LISTED IN SCHEDULE O) IS REPORTED ON A CALENDAR YEAR BASIS. ACCORDINGLY, AMOUNTS ON PART VII AND SCHEDULE G WILL NOT RECONCILE.



**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2017**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Name of the organization

THE FOUNDATION FOR AIDS RESEARCH

Employer identification number

13-3163817

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) BOSTON MEDICAL CENTER ONE BOSTON MEDICAL CTR PL BOSTON, MA 02118	04-3314093	501(C)(3)	6,250.				BASIC RESEARCH
(2) REGENTS OF THE UNIVERSITY OF CALIFORNIA, SA 3333 CALIFORNIA ST, SAN FRANCISCO, CA 94143	94-6036493	501(C)(3)	6,482.				BASIC RESEARCH
(3) UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVE, SEATTLE, WA 98195-9472	91-6001537	501(C)(3)	7,500.				BASIC RESEARCH
(4) REGENTS OF THE UNIVERSITY OF CALIFORNIA, SA 3333 CALIFORNIA ST, SAN FRANCISCO, CA 94143	94-6036493	501(C)(3)	17,142.				BASIC RESEARCH
(5) THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	13-1624158	501(C)(3)	17,856.				BASIC RESEARCH
(6) THE J. DAVID GLADSTONE INSTITUTES 1650 OWENS STREET SAN FRANCISCO, CA 94158	23-7203666	501(C)(3)	31,250.				BASIC RESEARCH
(7) UNIVERSITY OF CALIFORNIA, SAN DIEGO 9500 GILMAN DRIVE SAN DIEGO, CA 92093-0679	95-6006144	501(C)(3)	33,334.				BASIC RESEARCH
(8) CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID AVE, 4930 CLEVELAND, OH 44106	34-1018992	501(C)(3)	33,334.				BASIC RESEARCH
(9) CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID AVE, 4930 CLEVELAND, OH 44106	34-1018992	501(C)(3)	33,334.				BASIC RESEARCH
(10) EMORY UNIVERSITY 1599 CLIFTON RD. ATLANTA, GA 30322-4250	58-0566256	501(C)(3)	33,334.				BASIC RESEARCH
(11) EMORY UNIVERSITY 1599 CLIFTON ROAD ATLANTA, GA 30322-4250	58-0566256	501(C)(3)	33,334.				BASIC RESEARCH
(12) JOHNS HOPKINS UNIVERSITY 733 N. BRDWAY, MRB #117 BALTIMORE, MD 21205	52-0595110	501(C)(3)	33,334.				BASIC RESEARCH

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2017)

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2017**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Name of the organization

THE FOUNDATION FOR AIDS RESEARCH

Employer identification number

13-3163817

**Part I General Information on Grants and Assistance**

- Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) STATE UNIVERSITY OF NEW YORK AT BUFFALO 520 LE ENTRANCE, STE 211 AMHERST, NY 14228	14-1368361	501(C)(3)	33,334.				BASIC RESEARCH
(2) FOUNDATION FOR THE NATIONAL INSTITUTES OF H 9650 ROCKVILLE PIKE BETHESDA, MD 20814-3999	52-1986675	501(C)(3)	53,332.				BASIC RESEARCH
(3) JOHNS HOPKINS UNIVERSITY 733 N. BRDWAY MRB, #117 BALTIMORE, MD 21205	52-0595110	501(C)(3)	66,482.				BASIC RESEARCH
(4) YALE UNIVERSITY 266 WHITNEY AVE. NEW HAVEN, CT 06511	06-0646973	501(C)(3)	66,666.				BASIC RESEARCH
(5) UNIVERSITY OF MASSACHUSETTS MEDICAL SCHOOL 373 PLANTATION ST WORCESTER, MA 01605-2377	04-3167352	501(C)(3)	66,666.				BASIC RESEARCH
(6) UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVE, SEATTLE, WA 98195-9472	91-6001537	501(C)(3)	66,667.				BASIC RESEARCH
(7) SWARTHMORE COLLEGE 500 COLLEGE AVENUE SWARTHMORE, PA 19081	23-1352683	501(C)(3)	66,667.				BASIC RESEARCH
(8) THE J. DAVID GLADSTONE INSTITUTES 1650 OWENS STREET SAN FRANCISCO, CA 94158	23-7203666	501(C)(3)	75,000.				BASIC RESEARCH
(9) EMORY UNIVERSITY 1599 CLIFTON RD. ATLANTA, GA 30322-4250	58-0566256	501(C)(3)	75,000.				BASIC RESEARCH
(10) THE SCRIPPS RESEARCH INSTITUTE 130 SCRIPPS WAY, LA JOLLA, CA 33458	33-0435954	501(C)(3)	75,000.				BASIC RESEARCH
(11) THE GEORGE WASHINGTON UNIVERSITY 2121 I ST, NW STE 601 WASHINGTON, DC 20052	53-0196584	501(C)(3)	91,664.				BASIC RESEARCH
(12) INTERNATIONAL AIDS VACCINE INITIATIVE 125 BROAD ST, 9TH FL NEW YORK, NY 10004	13-3870223	501(C)(3)	99,682.				BASIC RESEARCH

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2017)

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2017**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Name of the organization

THE FOUNDATION FOR AIDS RESEARCH

Employer identification number

13-3163817

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) OREGON HEALTH AND SCIENCE UNIVERSITY 3181 SW SAM JACKSON PARK PORTLAND, OR 97239	93-1176109	501(C)(3)	99,972.				BASIC RESEARCH
(2) UNIVERSITY OF MARYLAND AT BALTIMORE 725 LOMBARD ST S615, BALTIMORE, MD 21201	52-6002033	501(C)(3)	99,998.				BASIC RESEARCH
(3) MAYO CLINIC COLLEGE OF MEDICINE 200 FIRST STREET SW ROCHESTER, MN 55905	41-6011702	501(C)(3)	99,999.				BASIC RESEARCH
(4) UNIVERSITY OF CALIFORNIA, SAN FRANCISCO 3333 CALIFORNIA ST, SAN FRANCISCO, CA 94143	94-6036493	501(C)(3)	100,000.				BASIC RESEARCH
(5) BOSTON UNIVERSITY SCHOOL OF MEDICINE 650 ALBANY ST, EBRC 640 BOSTON, MA 02118	04-3314093	501(C)(3)	100,000.				BASIC RESEARCH
(6) FRED HUTCHINSON CANCER RESEARCH CENTER 1100 FAIRVIEW AVE N. SEATTLE, WA 98109	23-7156071	501(C)(3)	100,000.				BASIC RESEARCH
(7) FRED HUTCHINSON CANCER RESEARCH CENTER 1100 FAIRVIEW AVE N. SEATTLE, WA 98109	23-7156071	501(C)(3)	100,000.				BASIC RESEARCH
(8) UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVE, SEATTLE, WA 98195-9472	91-6001537	501(C)(3)	299,966.				BASIC RESEARCH
(9) UNIVERSITY OF CALIFORNIA, LOS ANGELES 11000 KINROSS AVE, LOS ANGELES, CA 90095	95-6006143	501(C)(3)	300,000.				BASIC RESEARCH
(10) TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 3451 WALNUT ST, PHILADELPHIA, PA 19104-6250	23-1352685	501(C)(3)	300,000.				BASIC RESEARCH
(11) BETH ISRAEL DEACONESS MEDICAL CENTER 330 BROOKLINE AVENUE BOSTON, MA 02215	04-2103881	501(C)(3)	497,990.				BASIC RESEARCH
(12) REGENTS OF THE UNIVERSITY OF CALIFORNIA, SA 3333 CALIFORNIA ST, SAN FRANCISCO, CA 94143	94-6036493	501(C)(3)	499,956.				BASIC RESEARCH

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2017)

**SCHEDULE I  
(Form 990)**

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**

OMB No. 1545-0047

**2017**

**Open to Public  
Inspection**

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.

▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Department of the Treasury  
Internal Revenue Service

Name of the organization

THE FOUNDATION FOR AIDS RESEARCH

Employer identification number

13-3163817

**Part I General Information on Grants and Assistance**

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?  Yes  No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

**Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) JOHNS HOPKINS UNIVERSITY 733 N. BRDWAY, MRB, #117 BALTIMORE, MD 21205	52-0595110	501(C)(3)	136,345.				GMT INITIATIVE
(2) UNIVERSITY OF WASHINGTON 4333 BROOKLYN AVE, SEATTLE, WA 98195-9472	91-6001537	501(C)(3)	59,169.				RESEARCH
(3) JOHNS HOPKINS UNIVERSITY 733 N. BRDWAY MRB, #117 BALTIMORE, MD 21205	52-0595110	501(C)(3)	165,364.				RESEARCH
(4) HARVARD UNIVERSITY 29 OXFORD STREET CAMBRIDGE, MA 02138	04-2103580	501(C)(3)	207,724.				RESEARCH
(5) UNIVERSITY OF CALIFORNIA, SAN FRANCISCO 3333 CALIFORNIA ST, SAN FRANCISCO, CA 94143	94-6036493	501(C)(3)	3,777,555.				RESEARCH
(6) JOHNS HOPKINS UNIVERSITY 733 N. BDRWY MRB, #117 BALTIMORE, MD 21205	52-0595110	501(C)(3)	155,864.				TREAT ASIA
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶ 42.

3 Enter total number of other organizations listed in the line 1 table ▶

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2017)

**Part III Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

**Part IV Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

GRANTS AND ASSISTANCE

PART I, LINE 2

AMFAR PROVIDES GRANTS AND FELLOWSHIPS TO INDEPENDENT NOT-FOR-PROFIT ORGANIZATIONS THROUGH A PEER-REVIEW PROCESS. GRANT APPLICATIONS ARE FIRST REVIEWED BY THE FOUNDATION'S VOLUNTEER SCIENTIFIC ADVISORY COMMITTEE, WHICH COMPRISES RECOGNIZED EXPERTS IN THE MEDICAL, SCIENTIFIC, AND SOCIAL SCIENCES DISCIPLINES RELEVANT TO HIV AND AIDS. THE SCIENTIFIC ADVISORY COMMITTEE THEN SENDS ITS EVALUATIONS TO ONE OF THE THREE COMMITTEES (RESEARCH, GLOBAL INITIATIVES OR PUBLIC POLICY) OF THE FOUNDATION'S PROGRAM BOARD, WHICH SERVES IN AN ADVISORY CAPACITY TO THE BOARD OF

**Part III Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

**Part IV Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

TRUSTEES. AFTER A PROGRAM COMMITTEE HAS COMPLETED ITS REVIEW OF THE APPLICATIONS, IT PRESENTS ITS FUNDING RECOMMENDATIONS TO AMFAR'S EXECUTIVE COMMITTEE AND/OR THE FULL BOARD OF TRUSTEES FOR FINAL APPROVAL AND FUNDING AUTHORIZATION. GRANTS AND FELLOWSHIPS ARE PAYABLE OVER A ONE-TO-THREE-YEAR PERIOD, AND ARE REVOCABLE AT AMFAR'S OPTION IF THE RECIPIENT'S PERFORMANCE OR USE OF FUNDS IS NOT CONSISTENT WITH THE TERMS OF THE GRANT OR FELLOWSHIPS. IN CERTAIN CASES, THE ACTUAL AMOUNTS PAID UNDER GRANTS AND FELLOWSHIP AWARDS MAY BE LESS THAN THE ORIGINAL AWARD IF THE RECIPIENT DOES NOT USE THE FULL AMOUNT AWARDED. THEREFORE, A RESERVE FOR UNEXPENDED GRANTS AND FELLOWSHIPS HAS BEEN RECORDED. SUBAWARDS ARE

**Part III Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

**Part IV Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b); and any other additional information.

GRANTS AWARDED TO NOT-FOR-PROFIT ORGANIZATIONS TO SUPPORT THE COSTS OF COLLABORATION AND PARTICIPATION IN HIV/AIDS-RELATED RESEARCH PROJECTS FOR WHICH AMFAR HAS SECURED RESTRICTED FUNDS. SUBAWARDS ARE PAYABLE OVER A ONE-YEAR PERIOD, ALTHOUGH ADVANCE PAYMENTS, IN FULL OR IN PART, MAY BE ISSUED FOLLOWING EXECUTION OF THE SUBAWARD AGREEMENT. SUBAWARDS ARE CONTINGENT UPON THE AVAILABILITY OF FUNDS AND ARE REVOCABLE IF THE RECIPIENTS' PERFORMANCE OR USE OF FUNDS IS NOT CONSISTENT WITH THE SUBAWARD TERMS.

**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
- ▶ Attach to Form 990.
- ▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No. 1545-0047

**2017**

**Open to Public Inspection**

Name of the organization

THE FOUNDATION FOR AIDS RESEARCH

Employer identification number

13-3163817

**Part I Questions Regarding Compensation**

**1a** Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- |  |   |
|--|---|
| <input type="checkbox"/> First-class or charter travel             | <input type="checkbox"/> Housing allowance or residence for personal use    |
| <input type="checkbox"/> Travel for companions                     | <input type="checkbox"/> Payments for business use of personal residence    |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees      |
| <input type="checkbox"/> Discretionary spending account            | <input type="checkbox"/> Personal services (such as, maid, chauffeur, chef) |

**b** If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

**2** Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

**3** Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee              | <input type="checkbox"/> Written employment contract                                |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study                    |
| <input type="checkbox"/> Form 990 of other organizations                | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

**4** During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
  - b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
  - c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

**Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.**

**5** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
  - b** Any related organization?
- If "Yes" on line 5a or 5b, describe in Part III.

**6** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
  - b** Any related organization?
- If "Yes" on line 6a or 6b, describe in Part III.

**7** For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III.

**8** Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

**9** If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
<b>1a</b>		
<b>1b</b>		
<b>2</b>		
<b>3</b>		
<b>4a</b>		X
<b>4b</b>	X	
<b>4c</b>		X
<b>5a</b>		X
<b>5b</b>		X
<b>6a</b>		X
<b>6b</b>		X
<b>7</b>		X
<b>8</b>		X
<b>9</b>		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2017



**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

**Note:** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990	
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation					
1	KEVIN FROST CHIEF EXECUTIVE OFFICER	(i)	421,066.	0.	37,529.	36,900.	67,128.	562,623.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
2	BRADLEY JENSEN ASSISTANT TREASURER, CFO	(i)	276,038.	0.	0.	18,378.	51,715.	346,131.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
3	ROWENA JOHNSTON ASST SEC, VP, RESEARCH	(i)	203,095.	0.	0.	14,279.	16,840.	234,214.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
4	EDWARD DONNELLY ASST TREASURER, CONTROLLER	(i)	163,157.	0.	0.	11,861.	15,430.	190,448.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
5	ERIC MUSCATELL VICE PRESIDENT OF DEVELOPMENT	(i)	218,403.	0.	0.	15,987.	16,840.	251,230.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
6	ANNETTE SOHN VICE PRESIDENT, TREAT ASIA PGM	(i)	235,334.	0.	0.	16,969.	7,172.	259,475.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
7	ANNMARIE SHANNAHAN VICE PRESIDENT, PUBLIC INFO.	(i)	234,029.	0.	0.	16,474.	16,840.	267,343.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
8	GREGORIO MILLET VICE PRESIDENT, PUBLIC POLICY	(i)	188,800.	0.	0.	13,767.	31,524.	234,091.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
9	ANDREW MCINNESS DIRECTOR, PUBLICATIONS	(i)	166,993.	0.	0.	12,016.	51,715.	230,724.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
10	ANTHONY ANCONA VICE PRESIDENT, HUMAN RESOURCE	(i)	189,243.	0.	0.	30,144.	17,420.	236,807.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
11	SUSAN DOSTER CHIEF TECHNOLOGY OFFICER	(i)	149,646.	0.	0.	10,967.	39,948.	200,561.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
12	BENNAH SERFATY SR DIRECTOR OF COMMUNICATIONS	(i)	132,347.	0.	0.	9,551.	51,715.	193,613.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
13	JONATHAN KEY DIRECTOR, PHILANTHROPY	(i)	190,220.	0.	0.	13,764.	39,948.	243,932.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
14	JOHN F. LOGAN, J.D., PH ASST. SEC., VP & GEN COUNSEL	(i)	109,139.	0.	0.	7,637.	6,871.	123,647.	0.
		(ii)	0.	0.	0.	0.	0.	0.	0.
15		(i)							
		(ii)							
16		(i)							
		(ii)							

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

## COMPENSATION

PART I, LINE 4

CHIEF EXECUTIVE OFFICER, KEVIN FROST, PARTICIPATED IN A SUPPLEMENTAL NONQUALIFIED RETIREMENT PLAN. IN CALENDAR YEAR 2017, AMFAR CONTRIBUTED \$35,000 INTO MR. FROST'S NON-QUALIFIED RETIREMENT PLAN. THIS AMOUNT IS NOT REPORTED IN SCHEDULE J, PART II, COLUMN (C) BECAUSE THE CONTRIBUTED AMOUNT WAS DISTRIBUTED TO MR. FROST WITHIN THE SAME CALENDAR YEAR. INCLUDED IN SCHEDULE J COLUMN (B)(III) IS MR. FROST'S 2017 EMPLOYER-FUNDED 457(F) CONTRIBUTION OF \$35,000.

**SCHEDULE M  
(Form 990)**

**Noncash Contributions**

OMB No. 1545-0047

**2017**

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

- ▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
- ▶ Attach to Form 990.
- ▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

Name of the organization

THE FOUNDATION FOR AIDS RESEARCH

Employer identification number

13-3163817

**Part I** Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art . . . . .				
2 Art - Historical treasures . . . . .				
3 Art - Fractional interests . . . . .				
4 Books and publications . . . . .				
5 Clothing and household goods . . . . .				
6 Cars and other vehicles . . . . .				
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities - Publicly traded . . . . .	X	9.	28,210.	SALES PRICE
10 Securities - Closely held stock . . . . .				
11 Securities - Partnership, LLC, or trust interests . . . . .				
12 Securities - Miscellaneous . . . . .				
13 Qualified conservation contribution - Historic structures . . . . .				
14 Qualified conservation contribution - Other . . . . .				
15 Real estate - Residential . . . . .				
16 Real estate - Commercial . . . . .				
17 Real estate - Other . . . . .				
18 Collectibles . . . . .				
19 Food inventory . . . . .				
20 Drugs and medical supplies . . . . .				
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ▶ ( TRAVEL VOUCHERS )	X	47.	19,515.	SALE PRICE
26 Other ▶ ( _____ )				
27 Other ▶ ( _____ )				
28 Other ▶ ( _____ )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . . **29**

	Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? . . . . .		X
b If "Yes," describe the arrangement in Part II.		
31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions? . . . . .	X	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .		X
b If "Yes," describe in Part II.		
33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.		

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2017)

JSA

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V 17-7.10

0176982-00003

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**Part II** **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

---

FORM 990, SCHEDULE M, LINE 31

TO THE EXTENT THAT AMFAR RECEIVES NON-STANDARD CONTRIBUTIONS, THE ORGANIZATION'S POLICY IS TO LIQUIDATE THOSE ITEMS INTO CASH FOR EVENTUAL USE IN SUPPORT OF THE ORGANIZATION'S MISSION.

**SCHEDULE O  
(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

Name of the organization

THE FOUNDATION FOR AIDS RESEARCH

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2017**

**Open to Public  
Inspection**

Employer identification number

13-3163817

PROGRAM SERVICE ACCOMPLISHMENTS (1)

LINE 4A: RESEARCH:

AMFAR SUPPORTS RESEARCH PROJECTS THAT EXPLORE NOVEL APPROACHES TO SCIENTIFICALLY SOUND BUT UNTESTED HYPOTHESES IN ALL AREAS OF RESEARCH ON HIV/AIDS, FUNDING GOAL-ORIENTED STUDIES THAT OFTEN LACK THE PRELIMINARY DATA REQUIRED FOR SUPPORT FROM TRADITIONAL GRANT MAKERS. THE FOUNDATION PLAYS A VITAL ROLE IN HIV/AIDS RESEARCH, IDENTIFYING CRITICAL GAPS IN KNOWLEDGE AND PROVIDING ESSENTIAL SEED MONEY THAT ENABLES GRANTEES AND FELLOWS TO TEST THE MERITS OF NEW CONCEPTS OR TECHNOLOGIES THAT SUBSEQUENTLY CAN BE VALIDATED THROUGH LARGE-SCALE STUDIES, SUCH AS THOSE FUNDED BY THE U.S. NATIONAL INSTITUTES OF HEALTH. AMFAR FELLOWSHIPS ALLOW TALENTED YOUNG RESEARCHERS TO CONDUCT ORIGINAL INVESTIGATIONS UNDER THE GUIDANCE OF EXPERIENCED SCIENTISTS, HELPING TO ENSURE THE LONG-TERM VITALITY OF AIDS RESEARCH.

NEW GRANTS AND FELLOWSHIPS

GRANTS AND FELLOWSHIPS ARE AWARDED THROUGH A RIGOROUS PROCESS OF PEER REVIEW BY A TEAM OF INDEPENDENT HIV/AIDS EXPERTS DRAWN LARGELY FROM THE VOLUNTEER SCIENTISTS ON AMFAR'S SCIENTIFIC ADVISORY COMMITTEE. GUIDED BY ITS SCIENTIFIC ADVISORS AND WITH THE APPROVAL OF ITS BOARD OF TRUSTEES, AMFAR PURSUES A STRATEGIC RESEARCH PLAN THAT FOCUSES ON THE PURSUIT OF A CURE FOR HIV.

COUNTDOWN TO A CURE FOR AIDS

Name of the organization THE FOUNDATION FOR AIDS RESEARCH	Employer identification number 13-3163817
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IN 2015, AMFAR ANNOUNCED A \$100 MILLION INVESTMENT STRATEGY TO SUPPORT ITS COUNTDOWN TO A CURE FOR AIDS INITIATIVE, WHICH IS AIMED AT DEVELOPING THE SCIENTIFIC BASIS FOR A CURE BY THE END OF 2020. THE STRATEGY REPRESENTS AN UNPRECEDENTED EXPANSION OF AMFAR'S GRANT MAKING AND IS DESIGNED TO PROVIDE SUPPORT TO ANY SCIENTIST OR TEAM OF INVESTIGATORS FOR ANY RESEARCH IDEA WITH THE POTENTIAL TO ADVANCE THE SEARCH FOR A CURE, AT ANY STAGE OF ITS DEVELOPMENT. IT IS STRUCTURED TO PROVIDE SUSTAINED SUPPORT FOR A WIDE RANGE OF STUDIES THAT ADVANCE BOTH EMERGING AND ESTABLISHED IDEAS. THE STRATEGY COMPRISES THE FOLLOWING COMPONENTS:

- AMFAR INSTITUTE FOR HIV CURE RESEARCH

ESTABLISHED IN 2015 WITH A \$20 MILLION GRANT OVER FIVE YEARS TO THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, THE INSTITUTE IS THE CORNERSTONE OF AMFAR'S CURE RESEARCH.

- INNOVATION GRANTS

THESE TWO-YEAR AWARDS OF UP TO \$200,000 EACH ENABLE RESEARCHERS TO TEST INNOVATIVE IDEAS SUPPORTED BY LIMITED PRELIMINARY DATA.

- IMPACT GRANTS

THESE GRANTS OF UP TO \$2 MILLION EACH OVER FOUR YEARS SUPPORT THE IN-DEPTH DEVELOPMENT OF CONCEPTS ALREADY UNDERPINNED BY PRELIMINARY DATA SHOWING GENUINE POTENTIAL FOR ACHIEVING A CURE.

- INVESTMENT GRANTS

AIMED AT RECRUITING THE EXPERIENCE AND EXPERTISE OF SCIENTISTS FROM OUTSIDE THE FIELD OF HIV, THESE \$1 MILLION GRANTS ARE AWARDED OVER A FOUR-YEAR PERIOD. GRANTEEES MAY HAVE EXPERTISE IN FIELDS SUCH AS CANCER,

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NEUROSCIENCE, OR INFLAMMATORY DISEASE THAT CAN DIRECTLY INFORM EFFORTS TO CURE HIV.

- ARCHE

LAUNCHED IN 2010, THE AMFAR RESEARCH CONSORTIUM ON HIV ERADICATION, OR ARCHE, SUPPORTS COLLABORATIVE TEAMS OF SCIENTISTS IN THE U.S. AND AROUND THE WORLD WORKING ON A RANGE OF HIV CURE STRATEGIES.

- OPPORTUNITY FUND

THIS FUNDING MECHANISM ENABLES AMFAR TO RESPOND QUICKLY TO EMERGING AND UNFORESEEN RESEARCH OPPORTUNITIES.

SINCE LAUNCHING THE COUNTDOWN IN 2014, WE HAVE AWARDED 73 COUNTDOWN GRANTS TOTALING MORE THAN \$47 MILLION TO SUPPORT RESEARCH CONDUCTED BY 274 SCIENTISTS WORKING AT 92 INSTITUTIONS IN 16 COUNTRIES.

INVESTMENT GRANTS

IN FEBRUARY 2018, AMFAR ANNOUNCED A PAIR OF RESEARCH GRANTS THAT RENEW ITS SUPPORT FOR INNOVATIVE APPROACHES TO HIV CURE RESEARCH. TOTALING NEARLY \$1 MILLION, THE INVESTMENT GRANTS ARE ALLOWING TWO COLLABORATIVE TEAMS OF HIV RESEARCHERS AND BIOENGINEERS TO CONTINUE PROJECTS INITIATED WITH AMFAR FUNDING AWARDED IN FEBRUARY 2017 INTO A SECOND PHASE.

IN THE FIRST PHASE OF THEIR STUDY, ONE PAIR OF RESEARCHERS-DRS. HUI ZHANG AND WEIMING YANG OF JOHNS HOPKINS UNIVERSITY IN BALTIMORE-USED MASS SPECTROMETRY TO IDENTIFY MOLECULES ON THE SURFACE OF CELLS THAT DIFFERENTIATE LATENT RESERVOIRS FROM UNINFECTED CELLS. IN PHASE II, THEY

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ARE DETERMINING WHETHER THESE NEWLY IDENTIFIED PROTEINS ARE ABLE TO DISTINGUISH THE RESERVOIR IN PATIENT SAMPLES.

IN PHASE I OF THEIR PROJECT, THE OTHER PAIR-DRS. KIM WOODROW AND KEITH JEROME OF THE UNIVERSITY OF WASHINGTON IN SEATTLE-FORMULATED NEW DRUG COMBINATIONS LOADED ONTO NANOPARTICLES (HIGHLY MALLEABLE CARRIERS THAT ARE A THOUSAND TIMES SMALLER THAN A CELL) TARGETING THE LATENT HIV RESERVOIR. THE NANOPARTICLES PREFERENTIALLY DELIVERED LATENCY REVERSING AGENTS TO CD4 T CELLS, WHICH REAWAKENED THE RESERVOIR, A PREREQUISITE FOR IMMUNE DIRECTED KILLING OF THE CELL IN A "SHOCK AND KILL" APPROACH TO CURING HIV. IN PHASE II, THE RESEARCHERS ARE TESTING THE LOADED NANOPARTICLES AND MEASURING THEIR EFFECTS ON THE RESERVOIR IN A PRECLINICAL STUDY.

#### IMPACT GRANTS

IN SEPTEMBER 2018, AMFAR AWARDED GRANTS TOTALING \$828,000 TO ADVANCE TWO CRITICAL AREAS OF HIV CURE RESEARCH. FIVE GRANTS WILL ARE SUPPORTING A RANGE OF EFFORTS TO UNDERSTAND THE MECHANISMS AND PREDICTORS OF POST-TREATMENT CONTROL, WHEREBY A SMALL NUMBER OF INDIVIDUALS ARE ABLE TO CONTROL THEIR HIV AFTER STOPPING TREATMENT. THREE ADDITIONAL GRANTEEES ARE STUDYING HIV-POSITIVE POPULATIONS IN LOW-AND MIDDLE-INCOME COUNTRIES TO LOOK FOR DIFFERENCES IN HOW THE PERSISTENT VIRAL RESERVOIR-THE PRINCIPAL BARRIER TO A CURE-FORMS AND CHANGES OVER TIME.

IN A COHORT OF POST-TREATMENT CONTROLLERS FROM ONE OF THE LARGEST HIV



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CLINICAL TRIAL NETWORKS IN THE WORLD, DR. JONATHAN LI OF BRIGHAM AND WOMEN'S HOSPITAL IN BOSTON IS USING NEXT-GENERATION GENOMIC SEQUENCING TO INVESTIGATE WHETHER CHARACTERISTICS OF THE VIRUS OR IMMUNOLOGIC RESPONSES CAN PREDICT POST-TREATMENT CONTROL.

ANOTHER GRANTEE, DR. GODWIN NCHINDA, A RESEARCHER IN YAOUNDE, CAMEROON, HAS IDENTIFIED A COHORT OF WOMEN WHO RECEIVED ANTIRETROVIRAL THERAPY (ART) DURING PREGNANCY AND CONTINUE TO CONTROL THEIR VIRUS DESPITE DISCONTINUING TREATMENT AFTER GIVING BIRTH. HE IS STUDYING THIS GROUP TO DETERMINE THE CAUSES OF THEIR VIRAL CONTROL.

THE MAJORITY OF WHAT IS KNOWN ABOUT HIV COMES FROM RESEARCH DONE IN HIGH-INCOME COUNTRIES, WHERE HIV SUBTYPE B PREDOMINATES. HOWEVER, SUBTYPE B ACCOUNTS FOR JUST 12% OF GLOBAL HIV INFECTIONS. DR. EDWARD KANKAKA OF THE RAKAI HEALTH SCIENCES PROGRAM IN KAMPALA, UGANDA, HAS SHOWN THAT NON-B HIV-POSITIVE UGANDANS HAD A SMALLER RESERVOIR OF PERSISTENT VIRUS COMPARED TO PEOPLE INFECTED WITH SUBTYPE B. DR. KANKAKA IS EXPANDING THESE STUDIES TO DETERMINE WHETHER FORMATION AND MAINTENANCE OF THE RESERVOIR DIFFER IN THESE POPULATIONS.

IN DURBAN, SOUTH AFRICA, DR. ALEX SIGAL IS EXAMINING THE INFLUENCE OF TUBERCULOSIS-A COMMON CO-INFECTION IN LOW- AND MIDDLE-INCOME COUNTRIES-ON THE HIV RESERVOIR. HE IS EXPLORING WHETHER THE IMMUNE RESPONSE TO TB ITSELF ALTERS HIV REACTIVATION-A KEY COMPONENT OF THE "SHOCK AND KILL" APPROACH TO CURING HIV.

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THE REMAINING GRANTEES ARE DR. MOHAMED ABDEL-MOHSEN OF THE WISTAR INSTITUTE, PHILADELPHIA, PA; DR. JOHN FRATER OF OXFORD UNIVERSITY, UK; DR. REENA RAJASURIAR OF THE UNIVERSITY OF MALAYA, KUALA LUMPUR, MALAYSIA; AND DR. RUI WANG OF HARVARD PILGRIM HEALTHCARE INSTITUTE, WELLESLEY, MA.

ARCHE (AMFAR RESEARCH CONSORTIUM ON HIV ERADICATION)

IN SEPTEMBER 2018, AMFAR AWARDED \$800,000 IN NEW FUNDING TO SIX TEAMS OF RESEARCHERS DEVELOPING AN AMBITIOUS GENE THERAPY-BASED APPROACH TO CURING HIV. THE AWARD LAUNCHES A CRITICAL NEW PHASE IN A STUDY INITIATED IN 2017. IN A THREE-PRONGED ATTACK ON THE HIV RESERVOIR, THE RESEARCHERS WILL EMPLOY BROADLY NEUTRALIZING ANTIBODIES, CAR STEM CELLS-CELLS GENETICALLY REPROGRAMMED TO RECOGNIZE AND ATTACK DISEASE CELLS-AND MOLECULAR SCISSORS TARGETING THE VIRUS. THE GRANT WAS SUPPORTED IN PART BY THE BILL AND MELINDA GATES FOUNDATION.

THE INVESTIGATORS ARE: DR. HILDEGARD BUNING OF HANNOVER MEDICAL SCHOOL, GERMANY; DR. KEITH JEROME OF THE UNIVERSITY OF WASHINGTON, SEATTLE; DR. HANS-PETER KIEM OF FRED HUTCHINSON CANCER RESEARCH CENTER, SEATTLE; DR. SCOTT KITCHEN OF UCLA; DR. YASUHIRO TAKEUCHI OF UNIVERSITY COLLEGE LONDON; DR. DREW WEISSMAN OF UNIVERSITY OF PENNSYLVANIA IN PHILADELPHIA; AND DR. RICHARD WYATT OF THE SCRIPPS RESEARCH INSTITUTE IN LA JOLLA, CA.

MATHILDE KRIM FELLOWSHIPS

IN OCTOBER 2017, AMFAR ANNOUNCED THE RECIPIENTS OF THE 2017 MATHILDE KRIM

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FELLOWSHIPS IN BASIC BIOMEDICAL RESEARCH: DANIELA MONACO, PH.D., AT EMORY UNIVERSITY IN ATLANTA, GA; GABRIEL OZOROWSKI, PH.D., AT THE SCRIPPS RESEARCH INSTITUTE IN SAN DIEGO, CA; AND JONATHAN RICHARD, PH.D., AT THE UNIVERSITÉ DE MONTREAL, CENTRE DE RECHERCHE DU CHUM IN MONTREAL, CANADA.

THE 2018 KRIM FELLOW, DR. YEN-TING LAI OF THE VACCINE RESEARCH CENTER/NATIONAL INSTITUTES OF HEALTH IN BETHESDA, MD, WAS ANNOUNCED IN SEPTEMBER 2018.

THE KRIM FELLOWSHIPS SUPPORT BRIGHT YOUNG SCIENTISTS ADVANCING INNOVATIVE SOLUTIONS TO HIV/AIDS. EACH FELLOW IS AWARDED APPROXIMATELY \$150,000 OVER TWO YEARS.

PROGRAM SERVICE ACCOMPLISHMENTS (1) CONT.

PUBLISHED RESEARCH

RESEARCH STUDIES MAKE THE GREATEST IMPACT ON THE HIV FIELD AND ON THE BROADER SCIENTIFIC COMMUNITY WHEN THEY ARE PUBLISHED IN SCIENTIFIC JOURNALS. IN FY2018, A RECORD 80 SCIENTIFIC PUBLICATIONS RESULTED FROM AMFAR-FUNDED RESEARCH. EXAMPLES INCLUDE:

LEARNING HOW ANTIBODIES CAN CURE HIV

KILLING HIV RESERVOIR CELLS WOULD POTENTIALLY ERADICATE HIV FROM THE BODY. IN THREE JOURNAL ARTICLES PUBLISHED IN DECEMBER 2017, AMFAR-FUNDED SCIENTISTS DISCUSSED RECENT ADVANCES IN UNDERSTANDING HOW IMMUNE SYSTEM CELLS USE ANTIBODIES TO KILL HIV-INFECTED CELLS VIA ANTIBODY-DEPENDENT CELLULAR CYTOTOXICITY (ADCC). ADCC IS AN IMMUNE RESPONSE IN WHICH

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ANTIBODIES ACT AS HOMING DEVICES TO RECRUIT IMMUNE CELLS THAT TARGET AND KILL INFECTED CELLS. IN A STUDY PUBLISHED IN THE JOURNAL RETROVIROLOGY, DRS. AMY CHUNG OF THE UNIVERSITY OF MELBOURNE, AUSTRALIA, AND GALIT ALTER OF THE RAGON INSTITUTE OF MGH, MIT, AND HARVARD UNIVERSITY, WROTE ABOUT A PLATFORM OF TECHNIQUES CALLED SYSTEMS SEROLOGY, WHICH THEY DEVELOPED TO EXPLORE THE FUNCTIONALITY OF ANTIBODIES. DR. CHUNG IS ALSO STUDYING THE MECHANISMS OF INTERACTION BETWEEN VACCINES THAT PRODUCE IGA, A TYPE OF ANTIBODY PRESENT ONLY IN MUCOSAL TISSUES, AND IGG, AN ANTIBODY PRESENT IN THE BLOOD, TO PROVIDE PARTIAL PROTECTION AGAINST HIV. WRITING IN THE JOURNAL EBIOMEDICINE, SHE COMMENTS ON A STUDY CARRIED OUT BY RESEARCHERS AT THE UNIVERSITY OF BUENOS AIRES IN ARGENTINA THAT SUPPORTS HER OBSERVATIONS THAT THE RATIO OF IGG TO IGA CORRELATES WITH THE KILLING ACTION OF ANTIBODIES. AND IN A THIRD STUDY PUBLISHED IN THE JOURNAL VIROLOGY, DR. ANDRES FINZI OF THE CENTRE DE RECHERCHE DU CHUM IN MONTREAL REPORTS ON THE SUSCEPTIBILITY OF THE VIRAL PROTEIN ENV-PRESENT ON THE SURFACE OF ACTIVELY INFECTED CELLS-TO ANTIBODIES.

NEW RESEARCH POINTS TO THE POWERFUL EFFECT OF ESTROGEN ON THE HIV RESERVOIR

A STUDY LED BY AMFAR-FUNDED SCIENTIST DR. JOHNATHAN KARN OF CASE WESTERN RESERVE UNIVERSITY, PUBLISHED IN THE AUGUST 2018 ISSUE OF THE PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES, REVEALS THAT ESTROGEN HAS A SIGNIFICANT EFFECT ON THE PERSISTENT HIV RESERVOIR. FOLLOWING A COHORT OF 26 MEN AND 26 WOMEN, THE RESEARCHERS FOUND THAT WOMEN HAVE A SMALLER "INDUCIBLE" RESERVOIR THAN MEN. IN OTHER WORDS, WHILE THE TOTAL SIZE OF

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THE RESERVOIR APPEARS TO BE THE SAME FOR BOTH SEXES, WOMEN HAVE A SMALLER AMOUNT OF RESERVOIR VIRUS THAT CAN BE COAXED OUT OF HIDING BY SO-CALLED LATENCY-REVERSING AGENTS. THE FINDING HAS IMPORTANT IMPLICATIONS FOR CURE RESEARCH, SPECIFICALLY THE "SHOCK AND KILL" STRATEGY, WHICH AIMS TO SHOCK HIV OUT OF THE RESERVOIR SO THAT IT CAN BE KILLED BY THE IMMUNE SYSTEM OR SOME OTHER KILLING AGENT. THE STUDY RAISES THE QUESTION OF WHETHER THIS STRATEGY WOULD BE LESS EFFECTIVE IN WOMEN, GIVEN THEIR SMALLER INDUCIBLE RESERVOIR, AND CALLS FOR FURTHER INVESTIGATIONS INTO SEX DIFFERENCES IN LATENCY REVERSAL AND HIV PERSISTENCE.

#### HIV CURE SUMMIT

A WIDE RANGE OF PERSPECTIVES-FROM CLINICIANS, BIOMEDICAL RESEARCHERS AND SOCIAL SCIENTISTS TO PATIENTS AND COMMUNITY LEADERS-WERE PRESENTED ON NOVEMBER 28 AT AMFAR'S 2017 WORLD AIDS DAY HIV CURE SUMMIT AT THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO (UCSF), HOME TO THE AMFAR INSTITUTE FOR HIV CURE RESEARCH. SCIENTIST AND PHYSICIAN PARTICIPANTS INCLUDED DIRECTOR OF THE AMFAR INSTITUTE DR. PAUL VOLBERDING; INSTITUTE BOARD MEMBERS DR. STEVEN DEEKS, WARNER GREENE, SATISH PILLAI, PETER HUNT, AND NADIA ROAN; DR. JUDITH AUERBACH OF UCSF; AND AMFAR VICE PRESIDENT OF RESEARCH DR. ROWENA JOHNSTON. COMMUNITY PANELISTS INCLUDED TREATMENT ACTIVISTS AND AMFAR INSTITUTE COMMUNITY ADVISORY BOARD MEMBERS LYNDA DEE, JEFF TAYLOR, LOREN JONES AND ROB NEWELLS. CLARK HAWLEY AND LUIS CANALES SHARED THEIR PERSONAL EXPERIENCES OF PARTICIPATING IN HIV CURE RESEARCH.

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THE SUMMIT WAS ORGANIZED AROUND A SERIES OF THREE PANEL DISCUSSIONS. THE FIRST TWO, MODERATED BY DR. VOLBERDING, FOCUSED ON SOME OF THE POTENTIALLY CURATIVE AGENTS AND STRATEGIES THAT ARE CURRENTLY BEING DEVELOPED AND TESTED. THE FINAL PANEL, LED BY DR. AUERBACH, SERVED AS A DEBATE OVER THE RELATIVE MERITS OF CURE, I.E., TOTAL ERADICATION OF THE VIRUS, VERSUS POST-TREATMENT CONTROL.

#### HIV RESEARCH SUMMIT IN BRAZIL

AMFAR HOSTED ITS SECOND ANNUAL HIV RESEARCH SUMMIT AT THE UNIVERSITY OF SAO PAULO, BRAZIL, ON APRIL 10, 2018. THE CONFERENCE, WHICH DREW MORE THAN 150 COMMUNITY MEMBERS, MEDICAL STUDENTS, HEALTHCARE PROFESSIONALS, AND REPRESENTATIVES FROM SAO PAULO-BASED NONGOVERNMENTAL ORGANIZATIONS, WAS HELD IN CONJUNCTION WITH THE ADVANCED COURSE ON HIV PATHOGENESIS AT THE UNIVERSITY'S SCHOOL OF MEDICINE.

SPEAKERS INCLUDED DR. ROWENA JOHNSTON, AMFAR VICE PRESIDENT AND DIRECTOR OF RESEARCH; DR. STEVEN DEEKS, PROFESSOR OF MEDICINE, UNIVERSITY OF CALIFORNIA, SAN FRANCISCO; DR. BRAD JONES, ASSISTANT PROFESSOR, THE GEORGE WASHINGTON UNIVERSITY; AND DR. LISHOMWA NDHLOVU, ASSOCIATE PROFESSOR, JOHN A. BURNS SCHOOL OF MEDICINE, UNIVERSITY OF HAWAII.

#### THINK TANKS

CONSISTENT WITH AMFAR'S COMMITMENT TO INVESTIGATE EVERY AVENUE THAT MAY LEAD TO A CURE, THE FOUNDATION REGULARLY HOSTS THINK TANKS THAT BRING TOGETHER LEADING INVESTIGATORS IN VARIOUS FIELDS.

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IN OCTOBER 2017, AMFAR HOSTED A THINK TANK IN ITS NEW YORK CITY OFFICE WITH ITS ARCHE GENE THERAPY GRANTEEES AND SOME EXPERTS ON NON-HUMAN PRIMATE RESEARCH. THE THINK TANK DISCUSSED THE ARCHE PROJECTS, IDENTIFIED OPPORTUNITIES FOR COLLABORATION, AND CLARIFIED AMFAR'S GOALS AND PHILOSOPHY FOR THE FUTURE OF THIS RESEARCH.

IN JANUARY 2018, AMFAR DIRECTOR OF RESEARCH DR. ROWENA JOHNSTON AND PROGRAM CONSULTANT DR. JEFFREY LAURENCE TRAVELED TO BERLIN FOR AN ICISTEM THINK TANK. ICISTEM, CREATED AND FUNDED BY AMFAR THROUGH ITS COUNTDOWN TO A CURE FOR AIDS INITIATIVE, IS A CONSORTIUM OF EUROPEAN RESEARCHERS THAT HAS BEEN WORKING TO REPLICATE THE CASE OF "THE BERLIN PATIENT. (THE "LONDON PATIENT," REPORTED IN MARCH 2019 BY ICISTEM RESEARCHER DR. RAVI GUPTA, WAS THE FIRST OF THE GROUP'S PATIENTS TO GO INTO HIV REMISSION.)

AT THE END OF APRIL 2018, DRs. JOHNSTON AND LAURENCE HELD ANOTHER THINK TANK IN AMFAR'S NEW YORK CITY OFFICE TO PROVIDE UPDATES ON RESEARCH PROGRESS SO FAR AND TO DECIDE HOW BEST TO CONTINUE EFFORTS TOWARDS BUILDING A GENE THERAPY CURE FOR HIV.

PROGRAM SERVICE ACCOMPLISHMENTS (2)

LINE 4B: TREAT ASIA:

AMFAR'S TREAT ASIA (THERAPEUTICS RESEARCH, EDUCATION, AND AIDS TRAINING IN ASIA) PROGRAM IS A NETWORK OF HOSPITALS, CLINICS, AND RESEARCH INSTITUTIONS WORKING WITH CIVIL SOCIETY TO ENSURE THE SAFE AND EFFECTIVE

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DELIVERY OF TREATMENTS FOR HIV AND ITS CO-INFECTIONS TO ADULTS AND CHILDREN ACROSS THE ASIA-PACIFIC THROUGH RESEARCH, EDUCATION, AND ADVOCACY OF EVIDENCE-BASED HIV-RELATED POLICIES. THE TREAT ASIA NETWORK ENCOMPASSES 21 ADULT AND 20 PEDIATRIC SITES THROUGHOUT THE REGION, WHICH COLLABORATE ON A VARIETY OF PROJECTS. TREAT ASIA SCIENTISTS PRODUCED 20 PUBLICATIONS IN PEER-REVIEWED MEDICAL JOURNALS IN FY2018.

#### INTERNATIONAL AIDS DATABASE

SINCE 2006, TREAT ASIA HAS MANAGED THE ASIA-PACIFIC SECTION OF THE INTERNATIONAL EPIDEMIOLOGIC DATABASES TO EVALUATE AIDS (IEDEA), A GLOBAL COLLABORATION ESTABLISHED BY THE U.S. NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES. IN FY2018 IEDEA FUNDED MANY TREAT ASIA STUDIES, INCLUDING (ALONG WITH VIIV HEALTHCARE) THE THIRD YEAR OF STAY (STUDY OF TRANSITIONING ASIAN YOUTH), WHICH IS DOCUMENTING THE EXPERIENCE OF HIV-INFECTED YOUNG ADULTS WHO ARE TRANSITIONING FROM PEDIATRIC TO ADULT CARE. ANOTHER MAJOR IEDEA-FUNDED STUDY WAS THE SECOND YEAR OF THE INITIATIVE CALLED GRADUATE: A GLOBAL FRAMEWORK OF DATA COLLECTION USED FOR ADOLESCENT HIV TRANSITION EVALUATION. LED BY TREAT ASIA DIRECTOR DR. ANNETTE SOHN AND DR. MARY-ANN DAVIES OF THE UNIVERSITY OF CAPE TOWN, SOUTH AFRICA, GRADUATE, WHICH IS BEING CONDUCTED IN IN MALAWI, SOUTH AFRICA, AND THAILAND, AIMS TO IMPROVE HOW CLINICAL AND PROGRAM DATA ON ADOLESCENTS LIVING WITH HIV ARE ORGANIZED AND STUDIED IN RESOURCE-LIMITED SETTINGS.

TREAT ASIA HIV OBSERVATIONAL DATABASE (TAHOD)



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IN 2002, TREAT ASIA PIONEERED THE REGION'S FIRST ADULT OBSERVATIONAL DATABASE FOR HIV/AIDS, WHICH NOW INCLUDES ANONYMOUS DATA FROM NEARLY 10,000 PATIENTS AT 21 CLINICAL SITES IN 12 COUNTRIES. THE INFORMATION GATHERED IN THE DATABASE INFORMS THE DEVELOPMENT OF MORE EFFECTIVE RESEARCH AND TREATMENT PROGRAMS AND HELPS DEFINE TREATMENT STANDARDS SPECIFIC TO HIV/AIDS IN ASIA.

TREAT ASIA PEDIATRIC HIV OBSERVATIONAL DATABASE (TAPHOD)

THE TREAT ASIA PEDIATRIC HIV OBSERVATIONAL DATABASE (TAPHOD) IS A REGIONAL PEDIATRIC HIV STUDY SET UP BY TREAT ASIA IN 2006. IT WAS MODELED AFTER THE ADULT DATABASE AND INCLUDES DATA FROM MORE THAN 6,400 CHILDREN AND ADOLESCENTS AT 18 CLINICAL SITES IN CAMBODIA, INDIA, INDONESIA, MALAYSIA, THAILAND, AND VIETNAM.

PROGRAM SERVICE ACCOMPLISHMENTS (2) CONT.

ASSESSING FAILING SECOND-LINE HIV TREATMENT REGIMENS: THE TASER-2 STUDY  
THE TREAT ASIA STUDY TO EVALUATE RESISTANCE 2 (TASER-2) WAS INITIATED IN 2016 TO IMPROVE ASSESSMENT OF VIRAL FAILURE AND ASSOCIATED HIV DRUG RESISTANCE IN HIV-INFECTED ADULTS ON SECOND-LINE ART. THE STUDY IS FUNDED BY VIV HEALTHCARE AND THE US NATIONAL INSTITUTES OF HEALTH THROUGH THE IEDEA GLOBAL COHORT CONSORTIUM. OVER 2,400 PARTICIPANTS HAVE BEEN ENROLLED ACROSS 12 PARTICIPATING SITES IN CAMBODIA, HONG KONG SAR, INDIA, INDONESIA, JAPAN, MALAYSIA, THE PHILIPPINES, SINGAPORE, SOUTH KOREA, AND VIETNAM. THE STUDY WILL PROVIDE DETAILED ANALYSIS OF THE PREVALENCE OF VIROLOGIC FAILURE, ASSOCIATED FACTORS, AND HIV DRUG RESISTANCE MUTATIONS. THIS INFORMATION WILL HELP TO BETTER PREDICT THE NEED FOR THIRD-LINE ART

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REGIMENS IN THE REGION, AS WELL AS TO FACILITATE ADVOCACY EFFORTS FOR INCLUSION OF THIRD-LINE OPTIONS IN NATIONAL TREATMENT GUIDELINES AND EXPANSION OF ROUTINE VIRAL LOAD TESTING CAPACITIES IN THE REGION.

TAHOD LOW-INTENSITY TRANSFER (TAHOD-LITE)

LAUNCHED IN 2015, TAHOD LOW-INTENSITY TRANSFER (TAHOD-LITE) CONTAINS DATA FROM OVER 37,000 HIV-POSITIVE PATIENTS ACROSS 10 TREAT ASIA NETWORK SITES. AS AN EXTENSION OF TAHOD, TAHOD-LITE AIMS TO INCREASE THE SCOPE OF ADULT DATA COLLECTION BY GATHERING A SUBSET OF CORE VARIABLES FROM THE ENTIRE COHORT OF HIV-INFECTED PATIENTS WHO HAVE SOUGHT CARE AT SELECTED TAHOD SITES.

EVALUATING DEPRESSION AND ANXIETY IN THAI ADOLESCENTS WITH HIV AS PART OF TREAT ASIA'S INITIATIVE TO ADDRESS THE MENTAL HEALTH CONCERNS OF ADOLESCENTS LIVING WITH HIV IN ASIA, A STUDY FUNDED THROUGH THE US NATIONAL INSTITUTES OF HEALTH IEDEA COLLABORATION IS EVALUATING THE PREVALENCE AND CLINICAL COURSE OF DEPRESSION AND ANXIETY IN THAI ADOLESCENTS WITH HIV. LED BY DR. TAVITIYA SUDJARITRUK OF CHIANG MAI UNIVERSITY IN CHIANG MAI, THAILAND, THE STUDY, WHICH BEGAN IN EARLY 2018, IS COMPARING DEPRESSION AND ANXIETY IN 150 ADOLESCENTS LIVING WITH HIV AND 150 MATCHED CONTROLS WITHOUT HIV.

ADDRESSING ADULT MENTAL HEALTH

IN JANUARY 2018, TREAT ASIA ORGANIZED A THINK TANK IN BANGKOK ON MENTAL HEALTH ISSUES IN ADULTS LIVING WITH HIV IN ASIA. LIKE CHILDREN AND

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ADOLESCENTS, ADULTS LIVING WITH HIV HAVE A HIGHER PREVALENCE OF ANXIETY AND DEPRESSION COMPARED WITH THEIR UNINFECTED PEERS, AND THESE ISSUES HAVE BEEN INADEQUATELY STUDIED AND TREATED. THE THINK TANK BROUGHT TOGETHER RESEARCHERS, CLINICIANS, AND PSYCHOLOGISTS FROM ACROSS ASIA AND FROM COLUMBIA UNIVERSITY IN NEW YORK CITY. PARTICIPANTS HIGHLIGHTED SOME CENTRAL ISSUES, AS WELL AS STRENGTHS, IN THEIR COUNTRIES' APPROACHES AND RESOURCES FOR ASSESSING AND TREATING DEPRESSION IN ADULTS WITH HIV. THEY PROCEEDED TO CONSIDER RESEARCH, POLICY, AND CAPACITY DEVELOPMENT ACTIVITIES THAT MIGHT HELP ADDRESS SOME OF THE GAPS IN MENTAL HEALTH MANAGEMENT IN HIV CARE SETTINGS IN THE REGION.

#### EMPOWERING YOUTH ADVOCATES

THE SECOND CLASS OF TREAT ASIA'S YOUTH ACATA-ASIA COMMUNITY FOR AIDS TREATMENT AND ADVOCACY-CONTINUED ITS TWO-YEAR LEADERSHIP TRAINING PROGRAM IN FY2018. LAUNCHED IN 2015 WITH SUPPORT FROM VIIV HEALTHCARE'S POSITIVE ACTION FOR ADOLESCENTS PROGRAM, THE YOUTH ACATA PROGRAM AIMS TO EDUCATE PARTICIPANTS ABOUT HIV AND ANTIRETROVIRAL THERAPY AND CONNECT THEM TO OTHER HIV-POSITIVE YOUTH IN THE REGION. YOUTH ACATA MEMBERS HAVE BEGUN PARTICIPATING IN GLOBAL YOUTH PROGRAMS, INCLUDING SPARK17 AND THE INTERNATIONAL AIDS SOCIETY'S ADOLESCENT TREATMENT COALITION. THE CURRENT GROUP INCLUDES YOUNG PEOPLE FROM MALAYSIA, INDIA, INDONESIA, THE PHILIPPINES, AND TAIWAN.

#### HELPING ADOLESCENTS TRANSITION TO ADULT CARE

AS PART OF ITS ONGOING EFFORTS TO IMPROVE THE PROCESS OF ADOLESCENT

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TRANSITION TO ADULT HIV CARE, TREAT ASIA AND REGIONAL PARTNERS CONDUCTED TRAININGS IN CAMBODIA, INDONESIA, MALAYSIA, THAILAND, AND VIETNAM FOR CLINICIANS WHO WORK WITH HIV-POSITIVE CHILDREN AND ADOLESCENTS TO HELP THEM BETTER PREPARE FOR THE MOVE TO ADULT HIV CARE. THE DAY-LONG SESSIONS FOCUSED ON CLINICAL CHALLENGES IN LONG-TERM HIV CARE AND TREATMENT, COMMUNICATION AND MANAGEMENT STRATEGIES TO IMPROVE RETENTION IN HIV CARE, AND ADOLESCENT HIV TRANSITION CARE MODELS.

ADVOCATING FOR HIV AND CO-INFECTION TREATMENT ACCESS IN OCTOBER 2017, AMFAR'S PUBLIC POLICY OFFICE AND TREAT ASIA ORGANIZED A CONSULTATION IN BANGKOK WITH EXPERTS FROM LOCAL, NATIONAL, REGIONAL, AND INTERNATIONAL ORGANIZATIONS IN 11 COUNTRIES IN ASIA, EUROPE, AFRICA, AND NORTH AMERICA. THEY MET TO DISCUSS "ESSENTIAL STANDARDS" FOR VOLUNTARY LICENSES-ARRANGEMENTS WHEREBY A PATENT HOLDER ALLOWS OTHERS TO MANUFACTURE, IMPORT, AND/OR DISTRIBUTE ITS PATENTED DRUG-IN ORDER TO ENSURE GENUINE ACCESS.

IN OCTOBER 2017, TREAT ASIA AND THE INTERNATIONAL ASSOCIATION COALITION PLUS BEGAN A NEW PARTNERSHIP TO IMPROVE HEPATITIS C (HCV) DIAGNOSIS AND TREATMENT ACCESS IN ASIA THROUGH THE UNITAID-FUNDED "HIV/HCV DRUG AFFORDABILITY PROJECT." THE PARTNERSHIP'S GOAL IS TO BUILD LOCAL MOVEMENTS TOWARDS IMPLEMENTING NATIONAL TREATMENT PROGRAMS. A KEY STRATEGY HAS BEEN TO ENGAGE AND PARTNER WITH LOCAL CIVIL SOCIETY ORGANIZATIONS AND POLICY MAKERS TO ADVANCE ACCESS TOGETHER.

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IN NOVEMBER AND DECEMBER 2017, TREAT ASIA ORGANIZED TWO TRAININGS ON HEPATITIS B AND C AND THE IMPORTANCE OF ADDRESSING THESE DISEASES IN THE CONTEXT OF HIV CO-INFECTION. THE FIRST TRAINING, FOR COMMUNITY MEMBERS AND TREATMENT ADVOCATES FROM EIGHT COUNTRIES, AIMED TO SIMPLIFY WORLD HEALTH ORGANIZATION (WHO) HEPATITIS B AND C SCREENING AND TREATMENT GUIDELINES. THE SECOND FOCUSED ON SUPPORTING IMPLEMENTATION OF THE WHO GUIDELINES AT THE NATIONAL LEVEL, AND FAMILIARIZING CLINICIANS WITH THE GUIDELINES.

IN COLLABORATION WITH THE WORLD HEALTH ORGANIZATION (WHO) SOUTH EAST ASIA REGIONAL OFFICE (SEARO) AND WESTERN PACIFIC REGIONAL OFFICE (WPRO), TREAT ASIA ORGANIZED A ROUNDTABLE DISCUSSION IN BANGKOK IN SEPTEMBER 2018 TITLED "ENHANCING ACCESS TO NEWER HIV AND HEPATITIS C MEDICINES." MANAGERS FROM INTELLECTUAL PROPERTY OFFICES, NATIONAL REGULATORY BODIES, AND HIV PROGRAMS IN NINE ASIAN COUNTRIES ATTENDED, ALONG WITH REPRESENTATIVES FROM THE CLINTON HEALTH ACCESS INITIATIVE, MEDICINES PATENT POOL, DRUGS FOR NEGLECTED DISEASES INITIATIVE, UNAIDS, AND THE WHO.

INTRODUCING PREP TO THE PHILIPPINES  
IN FY 2018, PROJECT PREPPY, A TWO-YEAR PILOT PROJECT BEING CONDUCTED AT TWO CLINICS IN MANILA, THE PHILIPPINES, COMPLETED ENROLLMENT AND COMMENCED EVALUATING COMMUNITY-BASED, PEER-DRIVEN DELIVERY OF ANTIRETROVIRAL PRE-EXPOSURE PROPHYLAXIS (PREP). PROJECT PREPPY IS AN EFFORT TO CURB THE RAPID SPREAD IN RECENT YEARS OF HIV AMONG MSM AND

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TRANSGENDER INDIVIDUALS IN THE PHILIPPINES. AMFAR IS AMONG SEVERAL ORGANIZATIONS INVOLVED IN THE STUDY, A MULTIAGENCY COLLABORATION WITH EXPERTS FROM GOVERNMENT, ACADEMIA, AND MULTILATERAL AND NONGOVERNMENTAL ORGANIZATIONS. BASED ON EXPERIENCES FROM THE FIRST YEAR OF THE PROJECT, THE PHILIPPINES IS MOVING FORWARD TO AN EXPANSION OF PREP SERVICES IN OTHER AREAS OF THE COUNTRY.

#### PUBLICATIONS

IN FY2018, TREAT ASIA CREATED THE SECOND AND THIRD IN A SERIES OF INFOGRAPHICS TITLED WHY HAS ASIA FALLEN BEHIND ON HIV/AIDS TO ILLUSTRATE THE STAGNATION IN HIV PROGRAM COVERAGE IN THE ASIA-PACIFIC. THE STAGNATION IS A REMINDER TO GOVERNMENTS, CIVIL SOCIETY, AND DONORS THAT MUCH MORE NEEDS TO BE DONE TO BRING HIV/AIDS UNDER CONTROL IN THE REGION. IN NOVEMBER 2017, IT PUBLISHED STIGMA FUELING ASIAN HIV/AIDS EPIDEMICS, AND IN JUNE 2018, IT RELEASED FUNDING GAPS COULD PUT ASIA FURTHER BEHIND ON HIV/AIDS.

IN JANUARY 2018, IN COLLABORATION WITH YOUNG PEOPLE LIVING WITH HIV, SOCIAL WORKERS, AND PEDIATRICIANS IN THE ASIA-PACIFIC REGION, TREAT ASIA DEVELOPED TOOLS TO HELP PROVIDERS MANAGE THE TRANSITION OF ADOLESCENTS FROM PEDIATRIC TO ADULT HIV CLINICS. THEY INCLUDE A FLIPCHART FOR USE DURING PROVIDER-PATIENT DISCUSSIONS-AVAILABLE IN ENGLISH, BAHASA INDONESIA, KHMER, MALAY, THAI, AND VIETNAMESE-AND PROVIDE INFORMATION ON DIFFERENCES BETWEEN PEDIATRIC AND ADULT HIV CLINICS, WHEN THE CARE TRANSITION SHOULD BE MADE, WHAT YOUNG PEOPLE SHOULD EXPECT AT AN ADULT

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HIV CLINIC, AND HIV TREATMENT AND PREVENTION. PRODUCTION WAS SUPPORTED BY THE CHILDREN AND YOUTH PROGRAM OF THE THAI RED CROSS AIDS RESEARCH CENTRE, AND VIIV HEALTHCARE'S POSITIVE ACTION FOR ADOLESCENTS PROGRAM.

IN JUNE 2018, TREAT ASIA PRODUCED A POLICY BRIEF TITLED HEPATITIS C: POLICY RECOMMENDATIONS FOR ADDRESSING A GROWING EPIDEMIC. THE REPORT URGES NATIONAL GOVERNMENTS TO IMPROVE ACCESS TO CARE AND TREATMENT BY ESTABLISHING NATIONAL SURVEILLANCE SYSTEMS AND PROGRAMS FOR VIRAL HEPATITIS, INTEGRATING HIV AND HARM REDUCTION PROGRAMS WITH HEPATITIS C PROGRAMS, BUILDING SKILLS OF NON-SPECIALIST PROVIDERS TO FACILITATE EXPANSION OF HEPATITIS C TREATMENT, AND APPROVING FAST-TRACK REGISTRATION OF DIRECT-ACTING ANTIVIRAL MEDICATIONS THAT ARE EFFECTIVE AGAINST ALL GENOTYPES OF HEPATITIS C.

PROGRAM SERVICE ACCOMPLISHMENTS (3) CONT.

IN ADDITION, TREAT ASIA CONTINUED TO PUBLISH LAY-LANGUAGE ARTICLES ON HIV/AIDS RESEARCH, POLICY, AND COMMUNITY ISSUES FACING THE ASIA-PACIFIC AS A WHOLE. THE ARTICLES AND EDUCATIONAL PIECES APPEAR IN THE TREAT ASIA REPORT, A BIMONTHLY E-NEWSLETTER, AND ON TREAT ASIA'S WEBSITE, WWW.TREATASIA.ORG.

17TH ANNUAL NETWORK MEETING

THE 2017 TREAT ASIA ANNUAL NETWORK MEETING WAS HELD IN OCTOBER 2017 IN BALI, INDONESIA, WHERE AROUND 100 ADULT AND PEDIATRIC INVESTIGATORS, TREAT ASIA STAFF, AND PROGRAM PARTNERS GATHERED TO REVIEW THE NETWORK'S HIV RESEARCH ACCOMPLISHMENTS, COLLECTIVELY PLAN FOR FUTURE INITIATIVES,

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AND CONSIDER CONTINUED CHALLENGES TO REGIONAL HIV RESEARCH AND RESPONSES.

HIGHLIGHTS OF THE 17TH ANNUAL NETWORK MEETING INCLUDED:

- PERSPECTIVES ON MOVING FROM CONTROLLING TO ENDING THE HIV EPIDEMICS OF THE ASIA-PACIFIC REGION FROM DR. PRAPHAN PHANUPHAK, DIRECTOR OF THE THAI RED CROSS AIDS RESEARCH CENTRE (TRC-ARC), DRAWING ON HIS MORE THAN THIRTY YEARS OF EXPERIENCE LEADING NATIONAL AND REGIONAL RESEARCH AND RESPONSE EFFORTS.
- A DEBATE ON WHETHER HIV SELF-TESTING SHOULD BE AVAILABLE TO ALL ADULTS IN THE REGION, WITH DR. ROSSANA DITANGCO OF THE RESEARCH INSTITUTE FOR TROPICAL MEDICINE, PHILIPPINES, ARGUING IN FAVOR, AND DR. MAN-PO LEE OF QUEEN ELIZABETH HOSPITAL, HONG KONG SAR, CHINA, ARGUING AGAINST.
- A PRESENTATION OF THE LATEST RESEARCH ON HIV, AGING, AND MENTAL HEALTH BY DR. REENA RAJASURIAR OF THE UNIVERSITY OF MALAYA, MALAYSIA.
- A POLICY PERSPECTIVE ON HOW DATA AND TECHNOLOGY ARE BEING USED TO OPTIMIZE THE IMPACT OF GLOBAL HIV PROGRAMS AND CHARACTERIZE THE SCOPE OF THE OPIOID EPIDEMIC IN THE U.S. BY BRIAN HONERMANN, FROM AMFAR'S PUBLIC POLICY OFFICE IN WASHINGTON, D.C.

OTHER CONFERENCES

TREAT ASIA STAFF AND NETWORK INVESTIGATORS ATTENDED AND PRESENTED AT SEVERAL REGIONAL AND INTERNATIONAL CONFERENCES ON HIV-RELATED ISSUES.

EXAMPLES INCLUDE:



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## AIDS 2018

IN JULY, TREAT ASIA, PARTNER INVESTIGATORS, AND AFFILIATES PLAYED A PROMINENT ROLE AT THE 22ND INTERNATIONAL AIDS CONFERENCE IN AMSTERDAM, THE NETHERLANDS, LEADING AND PRESENTING AT NUMEROUS PLENARIES, SYMPOSIA, AND OTHER SESSIONS. DR. ANNETTE SOHN, DIRECTOR OF TREAT ASIA, CO-CHAIRING A SYMPOSIUM TITLED "COMING OF AGE WITH HIV: A TESTIMONY OF OUR SUCCESS AND A MEASURE OF OUR READINESS FOR THE FUTURE," AT WHICH DR. THANYAWEE PUTHANAKIT OF CHULALONGKORN UNIVERSITY IN BANGKOK, THAILAND, ALSO SPOKE. DR. WIN MIN HAN OF HIV-NAT, BANGKOK; DR. IVAN MARBANIANG OF BJ GOVERNMENT MEDICAL COLLEGE AND JOHNS HOPKINS UNIVERSITY COLLABORATION IN PUNE, INDIA; AND TARANDEEP ANAND OF ADAM'S LOVE, THAILAND, PRESENTED POSTERS AT THE CONFERENCE.

AT THE 10TH INTERNATIONAL WORKSHOP ON HIV PEDIATRICS, WHICH TOOK PLACE IMMEDIATELY BEFORE AIDS 2018, DR. TAVITIYA SUDJARITRUK OF CHIANG MAI UNIVERSITY IN CHIANG MAI, THAILAND; DR. THIDA SINGTOROJ OF TREAT ASIA; AND DR. SUPATTRA RUNGMAITREE OF MAHIDOL UNIVERSITY IN BANGKOK; REPORTED FINDINGS OF A VARIETY OF TREAT ASIA-FUNDED STUDIES ON ASIAN ADOLESCENTS LIVING WITH HIV. ALSO DURING AIDS 2018, DR. SIRINYA TEERAANANCHAI OF THE HIV NETHERLANDS AUSTRALIA THAILAND RESEARCH COLLABORATION (HIV-NAT) BECAME THE FOURTH TREAT ASIA INVESTIGATOR TO RECEIVE A PRESTIGIOUS COLLABORATIVE INITIATIVE FOR PAEDIATRIC HIV EDUCATION AND RESEARCH (CIPHER) GRANT TO STUDY AND DESCRIBE LINKAGE FROM HIV DIAGNOSIS ALONG THE TREATMENT CASCADE IN YOUTH LIVING WITH HIV.

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APACC

TREAT ASIA WAS ALSO WELL REPRESENTED AT THE THIRD ASIA PACIFIC AIDS & CO-INFECTIONS CONFERENCE (APACC) IN JUNE 2018 IN HONG KONG. APACC IS A REGIONAL-LEVEL HIV RESEARCH CONFERENCE THAT PROVIDES OPPORTUNITIES FOR LOCAL CLINICIANS, STUDENTS, AND RESEARCHERS TO SUBMIT ABSTRACTS FOR PRESENTATION. DR. SOHN CO-CHAIRING THE CONFERENCE AND TREAT ASIA RESEARCH DIRECTOR DR. JEREMY ROSS CO-CHAIRING A SESSION ON KEY POPULATION-LED SERVICES.

PROGRAM SERVICE ACCOMPLISHMENTS (3)

LINE 4C: PUBLIC INFORMATION: AMFAR SEEKS TO TRANSLATE AND DISSEMINATE INFORMATION ON IMPORTANT HIV-RELATED RESEARCH, TREATMENT, PREVENTION, AND POLICY ISSUES FOR DIVERSE AUDIENCES AND TO INCREASE AWARENESS AND KNOWLEDGE OF THE PANDEMIC. AMFAR PUBLISHES A WIDE RANGE OF EDUCATIONAL MATERIALS, MAINTAINS AN INFORMATIVE WEBSITE, AND ENGAGES RESPECTED PUBLIC FIGURES, HIV/AIDS SCIENTISTS, AND POLICYMAKERS IN COMMUNICATING THE NEED FOR CONTINUED RESEARCH TO DEVELOP NEW METHODS OF PREVENTION, TREATMENT, AND, ULTIMATELY, A CURE FOR HIV.

EDUCATIONAL MATERIALS

AMFAR PRODUCES A RANGE OF PERIODICALS IN BOTH PRINT AND ELECTRONIC FORMATS, INCLUDING ITS NEWSLETTER INNOVATIONS, PUBLISHED TWICE A YEAR AND DISTRIBUTED TO ABOUT 40,000 PEOPLE; THE TREAT ASIA REPORT, AN EMAIL NEWSLETTER DISTRIBUTED SIX TIMES A YEAR TO MORE THAN 4,000 READERS IN THE INTERNATIONAL HEALTH COMMUNITY; AND A MONTHLY E-MAIL NEWSLETTER

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DISTRIBUTED TO NEARLY 70,000 PEOPLE. THE FOUNDATION'S WEBSITES-WWW.AMFAR.ORG AND WWW.CURECOUNTDOWN.ORG-FEATURE NEWS, INTERVIEWS, AND ORIGINAL ARTICLES COVERING HIV RESEARCH, POLICY, THE GLOBAL EPIDEMIC, AND AMFAR PROGRAMS AND ACTIVITIES. THE WEBSITES ATTRACT A COMBINED AVERAGE OF 45,000 VISITORS PER MONTH.

AMFAR ALSO CREATES AND DISTRIBUTES REPORTS, PRESS RELEASES, AND UPDATES ON MAJOR HIV/AIDS ISSUES AND CONDUCTS PUBLIC SERVICE ADVERTISING CAMPAIGNS THAT HAVE BEEN INSTRUMENTAL IN EDUCATING POLICYMAKERS, HEALTHCARE PROFESSIONALS, PEOPLE LIVING WITH HIV/AIDS, AND THE PUBLIC.

#### EPIC VOICES

IN FY2018, AMFAR ADDED EIGHT NEW EPIC VOICES TO THE ORIGINAL FOUR POSTED ON CURECOUNTDOWN.ORG. LAUNCHED IN 2017, EPIC VOICES IS AN ONLINE VIDEO SERIES THAT AIMS TO REENERGIZE THE RESPONSE TO HIV AMONG MILLENNIAL AND LGBTQ COMMUNITIES. THE GOALS OF THE CAMPAIGN ARE TO RENEW AWARENESS OF THE PERSISTENT THREAT OF HIV, UNDERScore THE URGENT NEED TO ADVANCE HIV RESEARCH, AND SUPPORT AMFAR'S LEADERSHIP IN THE SEARCH FOR A CURE. ALONG WITH ACTIVISTS AND PEOPLE LIVING WITH HIV, IT FEATURES LEADING RESEARCHERS AND PUBLIC HEALTH PROFESSIONALS.

#### SOCIAL MEDIA

AMFAR HAS VIGOROUSLY EXPANDED ITS PRESENCE IN THE SOCIAL MEDIA ARENA, REACHING LARGE NUMBERS OF PEOPLE, INCLUDING A YOUNGER DEMOGRAPHIC THAT IS OFTEN LESS EDUCATED ABOUT HIV AND THE AIDS EPIDEMIC. THE FOUNDATION

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REGULARLY ADDS CONTENT TO ITS FACEBOOK PAGE, LIVE TWEETS FROM EVENTS, AND POSTS IMAGES ON INSTAGRAM FROM FUNDRAISING AND PROGRAM EVENTS. AMFAR HAS 73,000 LIKES ON FACEBOOK, 43,000 TWITTER FOLLOWERS, AND MORE THAN 155,000 INSTAGRAM FOLLOWERS.

#### MEDIA OUTREACH

IN FY2018, AMFAR CONTINUED TO WORK CLOSELY WITH THE MEDIA TO RAISE THE PROFILE OF HIV/AIDS, BOTH DOMESTICALLY AND INTERNATIONALLY, AND TO HELP ENSURE THE ACCURACY OF HIV-RELATED PRESS COVERAGE. ARTICLES AND REPORTS INVOLVING AMFAR-MANY OF WHICH INCLUDED INTERVIEWS WITH STAFF-WERE CARRIED IN NUMEROUS MEDIA OUTLETS, INCLUDING THE LANCET, THE NEW YORK TIMES, THE WASHINGTON POST, THE CHICAGO TRIBUNE, BAY AREA REPORTER, THE ASSOCIATED PRESS, NPR, U.S. NEWS & WORLD REPORT, TIME, THE HILL, DAILY MAIL, CNBC, WABC-TV, CNN, CBS NEWS, NBC NEWS, POLITICO, MEDIA PLANET, BUZZFEED, VOX, KAISER HEALTH NEWS, MODERN HEALTHCARE, HEALTHDAY NEWS, MEDPAGE TODAY, HARPER'S BAZAAR, RAI, VOGUE, W MAGAZINE, WWD, POZ, A&U MAGAZINE, INTERNATIONAL BUSINESS TIMES, PAPER, HUFFPOST, AND PEOPLE.

#### CELEBRITY SUPPORT

AMFAR'S PUBLIC AWARENESS EFFORTS ARE GREATLY ENHANCED BY THE COMMITTED SUPPORT OF PUBLIC FIGURES WHO LEND THEIR VOICES AND DONATE THEIR TIME, TALENTS, AND RESOURCES TO HELP SUSTAIN THE FOUNDATION'S MISSION. SUPPORT OF AMFAR BY PROMINENT PUBLIC FIGURES BEGAN WITH THE LATE DAME ELIZABETH TAYLOR, AMFAR'S FOUNDING INTERNATIONAL CHAIRMAN, AND OTHERS HAVE FOLLOWED IN HER FOOTSTEPS.

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HEIDI KLUM WAS FEATURED IN AMFAR'S PUBLIC SERVICE AWARENESS CAMPAIGN ABOUT SAFE SEX, WHICH RESULTED IN COVERAGE FROM BUZZFEED, THEM., CBS NEWS, RAPPLER, NEWNOWNEXT, AND DAILY MAIL. OTHER CELEBRITY SUPPORTERS INCLUDED AMFAR AMBASSADORS MILLA JOVOVICH, MICHELLE YEOH, CHEYENNE JACKSON, AND LIZA MINNELLI, EDWARD ENNINFUL, ZARA LARSSON, JULIAN PERRETTA, ANGELA MISSONI, DEAN AND DAN CATEN, KATE HUDSON, LINDA EVANGELISTA, DONATELLA VERSACE, KATY PERRY, SIENNA MILLER, KAROLINA KURKOVA, BENICIO DEL TORO, STING, GRACE JONES, ELLIE GOULDING, JASON DERULO, NEYMAR JR., SEU JORGE, JEAN-PAUL GAULTIER, KATE MOSS, VIK MUNIZ, KYLIE MINOGUE, TOVE LO, KAWS, CATHY LEE, LEE DANIELS, STEFANO TONCHI, HALSEY, TARAJI P. HENSON, ALAN CUMMING, WHOOP! GOLDBERG, IMAN, SCARLETT JOHANSSON, QUEEN LATIFAH, LAURA LINNEY, JULIA ROBERTS, TOM HANKS, JAMES CORDEN, FERGIE, CHARLIZE THERON, ADRIEN BRODY, JESSICA CHASTAIN, MATT BOMER, GWYNETH PALTROW, DIANA ROSS, CHIARA FERRAGNI, DIANE KRUGER, KATIE HOLMES, UMA THURMAN, ZOE SALDANA, TOBEY MAGUIRE, DAME HELEN MIRREN, LEA MICHELE, MICHELLE RODRIGUEZ, WILL SMITH, NICOLE KIDMAN, NAOMI CAMPBELL, JON HAMM, EVA LONGORIA, NICKI MINAJ, ANDREA BOCELLI, CHRIS TUCKER, JENNIFER GARNER, VICTORIA JUSTICE, AND CARINE ROITFELD.

## PROGRAM SERVICE ACCOMPLISHMENTS (5)

## LINE 4D: PUBLIC POLICY:

INFORMED BY THOROUGH RESEARCH AND ANALYSIS, AMFAR IS A HIGHLY RESPECTED ADVOCATE OF RATIONAL AND COMPASSIONATE HIV/AIDS-RELATED PUBLIC POLICY. THE FOUNDATION IS ENGAGED IN EFFORTS TO SECURE NECESSARY INCREASES IN FUNDING FOR HIV/AIDS RESEARCH; IMPLEMENT THE U.S. NATIONAL HIV/AIDS

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STRATEGY; EXPAND ACCESS TO CARE AND TREATMENT; ADVOCATE FOR CONTINUED ROBUST U.S. SUPPORT FOR INTERNATIONAL HIV/AIDS PROGRAMS; AND PROTECT THE CIVIL RIGHTS OF ALL PEOPLE AFFECTED BY HIV/AIDS.

ENDING THE DOMESTIC HIV EPIDEMIC

IN JULY 2018, AMFAR PUBLISHED A SERIES OF REPORTS TO FACILITATE THE ANALYSIS AND POLICY DECISIONS NEEDED TO ADVANCE THE DIFFICULT PROCESS OF DEVELOPING AND DEPLOYING LONG-ACTING HIV TREATMENT AND PREVENTION PRODUCTS CURRENTLY IN THE PIPELINE. LONG-ACTING HIV TREATMENT AND PREVENTION ARE COMING: PREPARING FOR POTENTIAL GAME CHANGERS DESCRIBES THE CRITICAL ISSUES (E.G., FDA REVIEW AND APPROVAL, PAYER COVERAGE, AND ACCESS DECISIONS) THAT MUST BE NAVIGATED BY POLICY MAKERS TO MAKE POTENTIALLY GAME-CHANGING NEW PRODUCTS AVAILABLE FOR PEOPLE LIVING WITH HIV OR VULNERABLE TO INFECTION.

HIV AND THE OPIOID EPIDEMIC

IN JANUARY 2018, AMFAR PUBLISHED AN ANALYSIS ONLINE IN HEALTH AFFAIRS DETAILING THE AVAILABILITY OF MEDICATION-ASSISTED TREATMENT (MAT) NATIONALLY FOR OPIOID USE DISORDER. WHERE MULTIPLE MODES OF MEDICATION-ASSISTED TREATMENT ARE AVAILABLE ASSESSES ACCESS TO MAT, SPECIFICALLY WHERE DRUG TREATMENT FACILITIES OFFER SEVERAL OF THE THREE CURRENTLY AVAILABLE DRUGS. THESE OPTIONS MAY BE IMPORTANT FOR PATIENTS WITH DIFFERENT TREATMENT NEEDS. THE AUTHORS REPORT THAT IN THE HEART OF APPALACHIA, A REGION HEAVILY AFFECTED BY THE OPIOID EPIDEMIC, KENTUCKY AND TENNESSEE HAVE NO FACILITIES THAT PROVIDE ALL THREE FORMS OF MAT AND

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ACCEPT MEDICAID.

IN MARCH 2018, AMFAR PUBLISHED GETTING TO SERVICES: FAR, FAR AWAY, AN INFOGRAPHIC REVEALING THAT NEARLY 30% OF AMERICANS (95.7 MILLION PEOPLE) LIVE MORE THAN TEN MILES FROM A FACILITY PROVIDING MAT, AND NEARLY 80% (260.9 MILLION PEOPLE) LIVE MORE THAN TEN MILES FROM A SYRINGE SERVICES PROGRAM. SINCE UPTAKE OF TREATMENT FOR SUBSTANCE USE DISORDER AND HARM REDUCTION SERVICES AT SYRINGE SERVICES PROGRAMS CAN REDUCE THE RISK OF ACQUIRING HIV AND HEPATITIS C (HCV) THROUGH THE SHARING OF NEEDLES, ACCESS TO SERVICES IS CRITICALLY IMPORTANT.

IN APRIL 2018, AMFAR PUBLISHED A REPORT THAT OUTLINES A COMPREHENSIVE LEGISLATIVE AGENDA TO ADDRESS THE INTERCONNECTED PUBLIC HEALTH THREATS OF OPIOID USE ALONG WITH INFECTIOUS DISEASES SUCH AS HIV AND HCV. TOWARD AN EFFECTIVE STRATEGY TO COMBAT HIV, HEPATITIS C AND THE OPIOID EPIDEMIC: RECOMMENDATIONS FOR POLICY MAKERS PROPOSES A MULTIFACETED STRATEGY THAT INCREASES ACCESS TO TREATMENT, REDUCES THE RISK OF HIV AND HCV ACQUISITION, AND LOWERS THE RISK OF FATAL DRUG OVERDOSES.

AMFAR ALSO PUBLISHED AN ANALYSIS ONLINE IN THE AMERICAN JOURNAL OF PUBLIC HEALTH ASSESSING THE IMPACT OF MEDICAID EXPANSION ON THE OPIOID EPIDEMIC. MEDICAID CURRENTLY PROVIDES COVERAGE FOR FOUR IN TEN NON-ELDERLY AMERICANS WITH OPIOID USE DISORDER. WHILE OPIOID PRESCRIBING IN THE MEDICAID POPULATION HAS INCREASED IN RECENT YEARS, THE STUDY FOUND NO STATISTICAL DIFFERENCE IN PRESCRIPTION RATES BETWEEN STATES THAT EXPANDED

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MEDICAID AND STATES THAT DID NOT, AND THAT AN EXPANSION IN COVERAGE INCREASED ACCESS TO ADDICTION TREATMENT.

#### GLOBAL HEALTH

IN MARCH 2018, AMFAR PUBLISHED LIVES ON THE LINE: THE HUMAN IMPACT OF PROPOSED CUTS TO GLOBAL HIV FUNDING, AN INFOGRAPHIC SHOWING THE HUMAN COSTS THAT WOULD RESULT FROM THE TRUMP ADMINISTRATION'S PROPOSED FY2019 BUDGET, INCLUDING \$800 MILLION IN PROPOSED CUTS TO THE U.S. PRESIDENT'S EMERGENCY PLAN FOR AIDS RELIEF (PEPFAR), A 17% DECREASE. SUCH A SUBSTANTIAL DECREASE COULD CAUSE MORE THAN 1 MILLION HIV TREATMENT DISRUPTIONS FOR BOTH CHILDREN AND ADULTS, NEW HIV INFECTIONS, AND HUNDREDS OF THOUSANDS OF PREVENTABLE AIDS-RELATED DEATHS.

IN JULY 2018, AMFAR VICE PRESIDENT AND DIRECTOR OF PUBLIC POLICY GREG MILLETT COAUTHORED A LANCET REPORT WARNING THAT UNAIDS TARGETS TO END THE HIV EPIDEMIC BY 2030 CAN ONLY BE MET WITH STRONGER COMBINED HEALTH SERVICE INTEGRATION AND BY INCORPORATING HIV INTO GLOBAL HEALTH POLICY. THIS REPORT ON THE "DANGEROUS COMPLACENCY" THREATENING THE GLOBAL HIV RESPONSE COINCIDED WITH A UNAIDS REPORT WARNING OF NEW HIV INFECTIONS INCREASING IN SOME 50 COUNTRIES, AIDS-RELATED DEATHS NOT FALLING FAST ENOUGH, AND INSUFFICIENT RESOURCES TO SUSTAIN PROGRESS.

IN JULY 2018, AMFAR ALSO PUBLISHED AN UPDATED ISSUE BRIEF OUTLINING SERIOUS POTENTIAL IMPACTS OF THE MEXICO CITY POLICY (MCP) ON GLOBAL AIDS FUNDING. MCP HAS HISTORICALLY PROHIBITED U.S. FAMILY PLANNING FUNDING FOR



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NON-U.S. NONGOVERNMENTAL ORGANIZATIONS PERFORMING OR PROMOTING ABORTION. PRESIDENT REAGAN INTRODUCED THE MEASURE IN 1984 AND IT HAS GONE BACK AND FORTH EVER SINCE. AFTER BEING REPEALED BY PRESIDENT OBAMA, THE POLICY HAS BEEN REVIVED AND EXPANDED BY THE TRUMP ADMINISTRATION.

AMFAR FUNDED A STUDY WITH JOHNS HOPKINS UNIVERSITY, GAY SOCIAL NETWORK HORNET, MSM-GF, AND EMORY UNIVERSITY TO COMPARE THE NUMBER OF GAY AND BISEXUAL MEN USING HORNET OR FACEBOOK IN VARIOUS COUNTRIES TO UNAIDS POPULATION SIZE ESTIMATES FOR GAY AND BISEXUAL MEN. IN JULY 2018, AMFAR PUBLISHED WHEN SIZE MATTERS: HOW SOCIAL MEDIA CAN HELP DETERMINE KEY POPULATION SIZE, AN INFOGRAPHIC SHOWING THAT DATA FROM THE STUDY HAD ALREADY BEEN USED TO TRIPLE THE OFFICIAL POPULATION SIZE OF MEN WHO HAVE SEX WITH MEN (MSM) IN TANZANIA. MORE ACCURATE ESTIMATES HELP PEPFAR AND THE GLOBAL FUND SET HIV PREVENTION TARGETS AND BUDGETS THAT ARE SUFFICIENT FOR THE NEEDS OF THESE KEY POPULATIONS.

CROI 2018

AMFAR'S PUBLIC POLICY OFFICE PARTICIPATED IN THE CONFERENCE ON RETROVIRUSES AND OPPORTUNISTIC INFECTIONS (CROI) IN BOSTON IN MARCH. AMFAR POLICY ASSOCIATE ALANA SHARP PRESENTED THE POSTER, "220 VULNERABLE COUNTIES: ONE YEAR LATER," PROVIDING NEW ANALYSIS OF COUNTY-LEVEL ACCESS TO DRUG TREATMENT AND SYRINGE SERVICES FACILITIES NATIONALLY. THE STUDY HIGHLIGHTED SOME PROGRESS IN INCREASING ACCESS TO SERVICES IN VULNERABLE COUNTIES, AND AREAS OF ONGOING CONCERN: COUNTIES THAT ARE VULNERABLE TO HIV AND/OR HEPATITIS C OUTBREAKS HAVE HISTORICALLY HAD LESS ACCESS TO

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TREATMENT AND HARM REDUCTION SERVICES THAN THE REST OF THE COUNTRY, AND  
NATIONALLY, ACCESS TO SYRINGE SERVICES REMAINS VERY LOW, WITH LONG  
AVERAGE DISTANCES TO THESE FACILITIES.

IAS 2018

AMFAR'S PUBLIC POLICY OFFICE PARTICIPATED IN THE 22ND INTERNATIONAL AIDS  
CONFERENCE (AIDS 2018) IN AMSTERDAM IN JULY.

THE OFFICE CO-SPONSORED A SATELLITE SESSION, WITH PLANNED PARENTHOOD  
GLOBAL AND THE CENTER FOR HEALTH AND GENDER EQUITY, TITLED "THE TRUMP  
EFFECT: HOW THE U.S. IS JEOPARDIZING THE GLOBAL AIDS RESPONSE." AMFAR  
ALSO PARTICIPATED IN THE WORKSHOP, "UNDERSTANDING THE GLOBAL GAG RULE: A  
PRACTICAL WORKSHOP FOR HOW TO SUSTAIN GLOBAL HEALTH PROGRESS AMIDST THE  
NEW U.S. POLICY ENVIRONMENT." THESE SEMINARS FOCUSED ON THE NUANCES OF  
POLICY TO HELP IMPLEMENTERS BETTER UNDERSTAND THE POLICY AND EDUCATE  
ABOUT POTENTIAL HARMS AND HARM REDUCTION STRATEGIES.

AMFAR VICE PRESIDENT AND DIRECTOR OF PUBLIC POLICY GREG MILLETT MODERATED  
"AIDS 2018 LIVE!" A FACEBOOK LIVE SESSION ON HIV FUNDING. AMFAR POLICY  
ASSOCIATE JENNIFER SHERWOOD PRESENTED A POSTER TITLED "MAPPING THE IMPACT  
OF THE EXPANDED MEXICO CITY POLICY FOR HIV/FAMILY PLANNING SERVICE  
INTEGRATION IN PEPFAR-SUPPORTED COUNTRIES: A RISK INDEX." AND HER  
COLLEAGUE, POLICY ASSOCIATE ALANA SHARP, PRESENTED THE POSTER: "APPLYING  
THE GLOBAL FUND ALLOCATION FORMULA-ARE THE DATA DRIVING ALLOCATIONS"

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## IN THE NEWS

THROUGHOUT THE YEAR, GREG MILLETT WAS WIDELY QUOTED IN STORIES ON THE OPIOID EPIDEMIC, WHICH OFTEN INCLUDED THE AMFAR OPIOID AND HEALTH INDICATORS DATABASE. MEDIA HIGHLIGHTS INCLUDED BUZZFEED, VOX, REUTERS, AND KAISER HEALTH NEWS.

IN OCTOBER 2017, PRESIDENT TRUMP DECLARED THE OPIOID CRISIS A PUBLIC HEALTH EMERGENCY, AND AMFAR'S PUBLIC POLICY OFFICE WAS INTERVIEWED BY THE MEDIA TO DISCUSS THE IMPACT OF THE OPIOID EPIDEMIC INCLUDING ITS CONNECTION TO DOMESTIC HIV OUTBREAKS. MEDIA HIGHLIGHTS INCLUDED KEY STORIES IN POLITICO AND AN INTERVIEW WITH DR. SUSAN BLUMENTHAL, AMFAR SENIOR POLICY AND MEDICAL ADVISOR, FOR A WASHINGTON POST PODCAST, "CAPE UP."

IN OBSERVANCE OF WORLD AIDS DAY IN DECEMBER 2017, AMFAR CEO KEVIN ROBERT FROST WROTE AN OP-ED FOR MEDIAPLANET ON THE DANGERS OF COMPLACENCY AS WELL AS EVOLVING CHALLENGES IN ENDING HIV.

## POLICIES

FORM 990, PART VI, SECTION B

LINE 11 - THE FORM 990 WAS PREPARED BY A NATIONALLY RENOWNED ACCOUNTING FIRM IN CONJUNCTION WITH THE ORGANIZATION'S FINANCIAL DEPARTMENT. A COPY OF THE DRAFT FORM 990 WAS CIRCULATED TO THE FULL BOARD OF TRUSTEES FOR DISCUSSION AND COMMENT. EACH BOARD MEMBER WAS PROVIDED AMPLE OPPORTUNITY TO COMMENT ON THE INFORMATION CONTAINED IN THE 990 PRIOR TO ITS FILING WITH THE INTERNAL REVENUE SERVICE.

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LINE 12 - EACH OFFICER, DIRECTOR, TRUSTEE AND KEY EMPLOYEE OF AMFAR ("FOUNDATION") IS REQUIRED TO ANNUALLY DISCLOSE ANY CONFLICTS OF INTEREST THAT ARISE BY VIRTUE OF EMPLOYMENT, BOARD SERVICE, OR POSITION WITH THE FOUNDATION. THE FOUNDATION MONITORS COMPLIANCE WITH ITS CONFLICT OF INTEREST POLICY THROUGH AN ANNUAL QUESTIONNAIRE/DISCLOSURE STATEMENT THAT IS DISTRIBUTED TO THESE INDIVIDUALS. POTENTIAL CONFLICTS ARE INVESTIGATED IMMEDIATELY.

LINE 15 - AMFAR ("FOUNDATION FOR AIDS RESEARCH") UNDERTAKES A THOROUGH PROCESS TO ENSURE THAT THE COMPENSATION IT PAYS TO ITS TOP MANAGEMENT OFFICIAL AND ALL OF ITS OFFICERS AND KEY EMPLOYEES IS REASONABLE GIVEN THE MARKET IN WHICH THE FOUNDATION OPERATES. AN INDEPENDENT CONSULTING FIRM QUALIFIED IN THE AREA OF NONPROFIT COMPENSATION PREPARES AN ANALYSIS OF MARKET COMPENSATION RANGES BY JOB FUNCTION AND PRESENTS IT TO THE COMPENSATION COMMITTEE OF THE BOARD. ON THE BASIS OF THIS INFORMATION, STAFF COMPENSATION IS DETERMINED ACCORDING TO SALARY RANGES APPROVED BY THE COMPENSATION COMMITTEE OF THE BOARD, IN CONSULTATION WITH THE CEO AND CFO. CEO COMPENSATION IS REVIEWED AND DETERMINED BY THE COMPENSATION COMMITTEE OF THE BOARD UTILIZING THE INDEPENDENT CONSULTANT ANALYSIS.

AMFAR'S LAST INDEPENDENT COMPENSATION STUDY WAS COMMISSIONED IN 2017; THE FOUNDATION IS IN THE PROCESS OF UNDERGOING A COMPENSATION STUDY CURRENTLY TO ENSURE THAT THE PRESIDENT & CEO'S COMPENSATION IS REASONABLE GIVEN THE MARKET IN WHICH THE FOUNDATION OPERATES.

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## DISCLOSURE

FORM 990, PART VI, SECTION C

LINE 19 - AMFAR MAKES ITS FORM 990 AVAILABLE TO THE PUBLIC BY RETAINING A COPY AT ITS PLACE OF BUSINESS AND ON ITS WEBSITE, WWW.AMFAR.ORG. THE FORM 990 IS LIKEWISE PUBLISHED ON THE INTERNET AT WWW.GUIDESTAR.ORG. THE FOUNDATION'S FINANCIAL STATEMENTS ARE MADE AVAILABLE IN ITS ANNUAL REPORT AND ON ITS WEBSITE. THE FOUNDATION'S GOVERNING DOCUMENTS AND CONFLICT OF INTEREST POLICY ARE NOT ORDINARILY MADE AVAILABLE TO THE PUBLIC, BUT, IF REQUESTED, WILL BE PROVIDED AT MANAGEMENT'S DISCRETION.

## FUNCTIONAL EXPENSES

PART IX, LINES 1 &amp; 3

THE FOUNDATION FOR AIDS RESEARCH REPORTS ITS GRANTS NET OF GRANT RETURNS OR RECOVERIES. PERIODICALLY, GRANTS REMITTED TO CHARITABLE ORGANIZATIONS ARE RETURNED TO AMFAR FOR A VARIETY OF REASONS. ON SCHEDULES F & I, GRANTS ARE REPORTED IRRESPECTIVE OF WHETHER THEY WERE ULTIMATELY RETURNED TO AMFAR SINCE CATEGORIZING THE "RETURNED" AMOUNTS WOULD BE TIME CONSUMING. THEREFORE, AMOUNTS REPORTED ON PART IX, LINE 1 WILL NOT TIE TO TOTAL GRANTS ON SCHEDULE I; AMOUNTS REPORTED ON PART IX, LINE 3 WILL NOT TIE TO TOTAL GRANTS ON SCHEDULE F.

ATTACHMENT 1FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

THE FOUNDATION FOR AIDS RESEARCH IS AN INTERNATIONAL NOT-FOR-PROFIT ORGANIZATION INCORPORATED IN NEW YORK IN 1989. AMFAR WAS FORMED THROUGH THE UNIFICATION IN 1985 OF TWO NOT-FOR-PROFIT ORGANIZATIONS, THE AIDS MEDICAL FOUNDATION ("AMF"), INCORPORATED IN NEW YORK IN APRIL 1983, AND THE NATIONAL AIDS RESEARCH FOUNDATION, INCORPORATED

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ATTACHMENT 1 (CONT'D)

FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

IN CALIFORNIA IN AUGUST 1985. FIRST BASED IN CALIFORNIA, AMFAR TRANSFERRED ITS LEGAL DOMICILE TO NEW YORK IN 1989, USING THE INITIAL INCORPORATION DOCUMENTS OF AMF, MAKING IT AMF'S LEGAL SUCCESSOR. AMFAR HAS OFFICES IN NEW YORK, NY, WASHINGTON, D.C., AND BANGKOK, THAILAND. ON MARCH 7, 2005, THE BOARD OF TRUSTEES OF THE AMERICAN FOUNDATION FOR AIDS RESEARCH APPROVED A CHANGE IN LEGAL NAME TO "THE FOUNDATION FOR AIDS RESEARCH." ON OCTOBER 18, 2005, THE NEW YORK STATE DEPARTMENT OF STATE APPROVED THIS CHANGE. IN ADDITION, THE FOUNDATION HAS SECURED APPROVAL FOR DOING BUSINESS AS (DBA) THE FOLLOWING:

- AMERICAN FOUNDATION FOR AIDS RESEARCH
- AMFAR
- AIDS RESEARCH FOUNDATION

AMFAR IS DEDICATED TO ENDING THE GLOBAL AIDS EPIDEMIC THROUGH INNOVATIVE RESEARCH. THE FOUNDATION ACCOMPLISHES THIS MISSION THROUGH:

- RESEARCH TO EXPLORE SCIENTIFIC APPROACHES TO HIV PREVENTION, TREATMENT, AND POTENTIAL CURES, AND TO ENHANCE THE HEALTH AND SURVIVAL OF PEOPLE LIVING WITH HIV/AIDS;
- INTERNATIONAL INITIATIVES TO FACILITATE THE DEVELOPMENT AND IMPLEMENTATION OF EFFECTIVE RESEARCH, TREATMENT, PREVENTION, AND EDUCATION STRATEGIES IN DEVELOPING COUNTRIES;
- PUBLIC POLICY ANALYSIS AND THE ADVOCACY OF RATIONAL AND COMPASSIONATE POLICIES THAT PROMOTE PUBLIC HEALTH AND PROTECT THE RIGHTS OF PEOPLE THREATENED BY HIV/AIDS;
- EDUCATIONAL INITIATIVES TO BUILD AWARENESS OF THE CONTINUED THREAT

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ATTACHMENT 1 (CONT'D)FORM 990, PART III, LINE 1 - ORGANIZATION'S MISSION

HIV/AIDS POSES AND TO PUBLISH UPDATES ABOUT THE LATEST MEDICAL,  
SCIENTIFIC, AND PREVENTION ADVANCES FOR PEOPLE LIVING WITH HIV/AIDS,  
HEALTHCARE PROFESSIONALS, AND THE PUBLIC.

ATTACHMENT 2FORM 990, PART III, LINE 4D - OTHER PROGRAM SERVICES

<u>DESCRIPTION</u>	<u>GRANTS</u>	<u>EXPENSES</u>	<u>REVENUE</u>
PUBLIC POLICY	21,655.	2,141,014.	0.
GMT INITIATIVE	450,677.	921,926.	0.
TOTALS	<u>472,332.</u>	<u>3,062,940.</u>	<u>0.</u>

ATTACHMENT 3FORM 990, PART VI, LINE 17 - STATES

AL, AR, CA,  
FL, GA, HI, IL, KS, KY, MD, MA, MI,  
MN, MS, NH, NJ, NM, NY, NC, OK, OR, PA,  
RI, SC, TN, UT, VA, WV, WI,

ATTACHMENT 4990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

<u>NAME AND ADDRESS</u>	<u>DESCRIPTION OF SERVICES</u>	<u>COMPENSATION</u>
INCA INTERNATIONAL PRODUCTIONS INC. 40 WORTH STREET, SUITE 827 NEW YORK, NY 10013	EVENTS PRODUCTION	1,041,412.
AAB PRODUCTION, INC. 64 ALLEN ROAD, 5TH FLOOR NEW YORK, NY 10002	EVENTS PRODUCTION	648,220.

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ATTACHMENT 4 (CONT'D)

990, PART VII- COMPENSATION OF THE FIVE HIGHEST PAID IND. CONTRACTORS

<u>NAME AND ADDRESS</u>	<u>DESCRIPTION OF SERVICES</u>	<u>COMPENSATION</u>
GIBSON, DUNN & CRUTCHER LLP 200 PARK AVENUE NEW YORK, NY 10166	LEGAL SERVICES	466,724.
JOSH WOODS PRODUCTION 39 WEST 14TH STREET, SUITE 504 NEW YORK, NY 10011	EVENTS PRODUCTION	420,350.
EIDOLON COMMUNICATIONS, INC. 15 MAIDEN LANE, SUITE 1401 NEW YORK, NY 10038	DIRECT MAIL/CONSULT.	256,910.