

Form 990

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2020

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2020 calendar year, or tax year beginning OCT 1, 2020 and ending SEP 30, 2021

B Check if applicable: C Name of organization THE FOUNDATION FOR AIDS RESEARCH D Employer identification number 13-3163817 E Telephone number (212) 806-1600 F Name and address of principal officer: KEVIN FROST I Tax-exempt status: 501(c)(3) J Website: WWW.AMFAR.ORG K Form of organization: Corporation L Year of formation: 1983 M State of legal domicile: NY

Part I Summary

Table with 3 columns: Description, Prior Year, Current Year. Rows include: 1 Briefly describe the organization's mission... 3 Number of voting members... 8 Contributions and grants... 12 Total revenue... 18 Total expenses... 22 Net assets or fund balances.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete.

Signature of officer: BRADLEY JENSEN, ASST TREASURER Date: 6-27-2022

Preparer: SCOTT THOMPSETT Date: 6/27/2022 Firm's name: GRANT THORNTON LLP Firm's EIN: 36-6055558

May the IRS discuss this return with the preparer shown above? See instructions [X] Yes No

Application for Automatic Extension of Time To File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

▶ **File a separate application for each return.**
▶ **Go to www.irs.gov/Form8868 for the latest information.**

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/e-file-providers/e-file-for-charities-and-non-profits.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

| | | |
|--|--|---|
| Type or print | Name of exempt organization or other filer, see instructions. THE FOUNDATION FOR AIDS RESEARCH | Taxpayer identification number (TIN) 13-3163817 |
| File by the due date for filing your return. See instructions. | Number, street, and room or suite no. If a P.O. box, see instructions. 120 WALL STREET 13TH FLOOR | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10005-3908 | |

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 1

| Application Is For | Return Code | Application Is For | Return Code |
|--|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

BRADLEY JENSEN

- The books are in the care of ▶ **120 WALL STREET 13TH FLOOR - NEW YORK, NY 10005-3908**
Telephone No. ▶ **212-806-1703** Fax No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and TINs of all members the extension is for.

1 I request an automatic 6-month extension of time until **AUGUST 15, 2022**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 ▶ calendar year _____ or
 ▶ tax year beginning **OCT 1, 2020**, and ending **SEP 30, 2021**.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

| | | | |
|---|-----------|----|----|
| 3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | \$ | 0. |
| b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | \$ | 0. |
| c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | \$ | 0. |

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III [X]

1 Briefly describe the organization's mission: SEE SCHEDULE O.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [] Yes [X] No

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [] Yes [X] No

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.

4a (Code:) (Expenses \$ 10,905,654. including grants of \$ 4,260,993.) (Revenue \$ 0.) RESEARCH: AMFAR SUPPORTS RESEARCH PROJECTS THAT EXPLORE NOVEL APPROACHES TO SCIENTIFICALLY SOUND BUT UNTESTED HYPOTHESES IN ALL AREAS OF RESEARCH ON HIV/AIDS, FUNDING GOAL-ORIENTED STUDIES THAT OFTEN LACK THE PRELIMINARY DATA REQUIRED FOR SUPPORT FROM TRADITIONAL GRANT MAKERS. THE FOUNDATION PLAYS A VITAL ROLE IN HIV/AIDS RESEARCH, IDENTIFYING CRITICAL GAPS IN KNOWLEDGE AND PROVIDING ESSENTIAL SEED MONEY THAT ENABLES GRANTEES AND FELLOWS TO TEST THE MERITS OF NEW CONCEPTS OR TECHNOLOGIES THAT SUBSEQUENTLY CAN BE VALIDATED THROUGH LARGE-SCALE STUDIES, SUCH AS THOSE FUNDED BY THE U.S. NATIONAL INSTITUTES OF HEALTH. FOR FURTHER DETAILS, SEE SCHEDULE O.

4b (Code:) (Expenses \$ 4,446,646. including grants of \$ 1,863,626.) (Revenue \$ 0.) TREAT ASIA: AMFAR'S TREAT ASIA (THERAPEUTICS RESEARCH, EDUCATION, AND AIDS TRAINING IN ASIA) PROGRAM IS A NETWORK OF HOSPITALS, CLINICS, AND RESEARCH INSTITUTIONS WORKING WITH CIVIL SOCIETY TO ENSURE THE SAFE AND EFFECTIVE DELIVERY OF TREATMENTS FOR HIV AND ITS CO-INFECTIONS TO ADULTS AND CHILDREN ACROSS THE ASIA-PACIFIC THROUGH RESEARCH, EDUCATION, AND ADVOCACY OF EVIDENCE-BASED HIV-RELATED POLICIES. THE TREAT ASIA NETWORK ENCOMPASSES 21 ADULT AND 20 PEDIATRIC SITES THROUGHOUT THE REGION, WHICH COLLABORATE ON A VARIETY OF PROJECTS. FOR FURTHER DETAILS, SEE SCHEDULE O.

4c (Code:) (Expenses \$ 3,085,757. including grants of \$ 0.) (Revenue \$ 0.) PUBLIC INFORMATION: AMFAR SEEKS TO TRANSLATE AND DISSEMINATE INFORMATION ON IMPORTANT HIV-RELATED RESEARCH, TREATMENT, PREVENTION, AND POLICY ISSUES FOR DIVERSE AUDIENCES AND TO INCREASE AWARENESS AND KNOWLEDGE OF THE PANDEMIC. AMFAR PUBLISHES A WIDE RANGE OF EDUCATIONAL MATERIALS, MAINTAINS AN INFORMATIVE WEBSITE, AND ENGAGES RESPECTED PUBLIC FIGURES, HIV/AIDS SCIENTISTS, AND POLICYMAKERS IN COMMUNICATING THE NEED FOR CONTINUED RESEARCH TO DEVELOP NEW METHODS OF PREVENTION, TREATMENT, AND, ULTIMATELY, A CURE FOR HIV. FOR FURTHER DETAILS, SEE SCHEDULE O.

4d Other program services (Describe on Schedule O.) (Expenses \$ 1,722,628. including grants of \$ 190,233.) (Revenue \$)

4e Total program service expenses 20,160,685.

Part IV Checklist of Required Schedules

| | Yes | No |
|---|-----|----|
| 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i> | X | |
| 2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ? | X | |
| 3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i> | | X |
| 4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i> | X | |
| 5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i> | | X |
| 6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i> | | X |
| 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i> | | X |
| 8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i> | X | |
| 9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i> | | X |
| 10 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? <i>If "Yes," complete Schedule D, Part V</i> | X | |
| 11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable. | | |
| a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i> | X | |
| b Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> | | X |
| c Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> | | X |
| d Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> | | X |
| e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> | X | |
| f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i> | X | |
| 12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i> | X | |
| b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i> | | X |
| 13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i> | | X |
| 14a Did the organization maintain an office, employees, or agents outside of the United States? | X | |
| b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i> | X | |
| 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i> | X | |
| 16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i> | | X |
| 17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> | X | |
| 18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i> | X | |
| 19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i> | | X |
| 20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i> | | X |
| b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | | |
| 21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> | X | |

Part IV Checklist of Required Schedules (continued)

Table with 3 columns: Question ID, Question Text, Yes, No. Rows include questions 22 through 38 regarding organizational reporting, compensation, tax-exempt bonds, and business transactions.

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

Table with 3 columns: Question ID, Question Text, Yes, No. Rows include questions 1a, 1b, and 1c regarding Form 1096, Forms W-2G, and backup withholding rules.

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

Table with columns for question number, question text, and Yes/No response boxes. Includes questions 2a through 16 regarding employee counts, tax returns, business income, foreign accounts, prohibited transactions, and charitable contributions.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI [X]

Section A. Governing Body and Management

Table with 3 columns: Question, Yes, No. Rows include 1a (17), 1b (17), 2, 3, 4, 5, 6, 7a, 7b, 8a, 8b, 9.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with 3 columns: Question, Yes, No. Rows include 10a, 10b, 11a, 11b, 12a, 12b, 12c, 13, 14, 15a, 15b, 16a, 16b.

Section C. Disclosure

- 17 List the states with which a copy of this Form 990 is required to be filed SEE SCHEDULE O
18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection.
19 Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20 State the name, address, and telephone number of the person who possesses the organization's books and records

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations. See instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

| (A) Name and title | (B) Average hours per week (list any hours for related organizations below line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|--|---|---|-----------------------|---------|--------------|------------------------------|----------|--|---|---|
| | | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| (1) KEVIN FROST CHIEF EXECUTIVE OFFICER | 40.00 0.00 | | | X | | | 487,643. | 0. | 101,537. | |
| (2) BRADLEY JENSEN ASSISTANT TREASURER/CFO | 40.00 0.00 | | | X | | | 267,465. | 0. | 67,809. | |
| (3) ANNMARIE SHANNAHAN VICE PRESIDENT, PUBLIC INFO | 40.00 0.00 | | | | X | | 241,584. | 0. | 65,330. | |
| (4) ANNETTE SOHN VICE PRESIDENT, TREAT ASIA | 40.00 0.00 | | | | X | | 254,537. | 0. | 26,432. | |
| (5) ANTHONY ANCONA VICE PRESIDENT, HR | 40.00 0.00 | | | | | X | 194,456. | 0. | 61,984. | |
| (6) ANDREW MCINNESS DIRECTOR, PUBLICATIONS | 40.00 0.00 | | | | | X | 173,945. | 0. | 74,776. | |
| (7) GREGORIO MILLET VICE PRESIDENT, PUBLIC POLICY | 40.00 0.00 | | | | X | | 186,031. | 0. | 62,613. | |
| (8) JONATHAN KEY (THRU 10/13/20) DIRECTOR, PHILANTHROPY | 40.00 0.00 | | | | | X | 225,212. | 0. | 23,284. | |
| (9) SEBASTIAN GHEITH ASSOCIATE COUNSEL, ASST SECRETARY | 40.00 0.00 | | | X | | | 172,886. | 0. | 74,770. | |
| (10) ROWENA JOHNSTON ASST SEC, VP, RESEARCH | 40.00 0.00 | | | X | | | 211,292. | 0. | 35,230. | |
| (11) BENNAH SERFATY SENIOR DIRECTOR OF COMMUNICATIONS | 40.00 0.00 | | | | | X | 136,970. | 0. | 72,173. | |
| (12) EDWARD DONNELLY ASST TREASURER, CONTROLLER | 40.00 0.00 | | | X | | | 170,841. | 0. | 30,937. | |
| (13) ERIC MUSCATELL (THRU 07/31/20) VICE PRESIDENT OF DEVELOPMENT | 40.00 0.00 | | | | X | | 155,651. | 0. | 35,651. | |
| (14) SUE DOSTER CHIEF TECHNOLOGY OFFICER | 40.00 0.00 | | | | | X | 157,903. | 0. | 31,619. | |
| (15) T. RYAN GREENWALT CO-CHAIR | 1.00 0.00 | X | | X | | | 0. | 0. | 0. | |
| (16) KEVIN MCCLATCHY CO-CHAIR | 1.00 0.00 | X | | X | | | 0. | 0. | 0. | |
| (17) RAYMOND F. SCHINAZI, PH.D. TREASURER (THRU 02/21) | 1.00 0.00 | X | | X | | | 0. | 0. | 0. | |

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

| (A) Name and title | (B) Average hours per week (list any hours for related organizations below line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|--|---|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| (18) JAY ELLIS SECRETARY | 1.00 0.00 | X | | X | | | | 0. | 0. | 0. |
| (19) DANIELLE ALEXANDRA TRUSTEE | 1.00 0.00 | X | | | | | | 0. | 0. | 0. |
| (20) AMY ANDELSON TRUSTEE (AS OF 02/2021) | 1.00 0.00 | X | | | | | | 0. | 0. | 0. |
| (21) DAVID BOHNETT TRUSTEE | 1.00 0.00 | X | | | | | | 0. | 0. | 0. |
| (22) DONALD DYE TREASURER | 1.00 0.00 | X | | X | | | | 0. | 0. | 0. |
| (23) GLENN ISAACSON TRUSTEE | 1.00 0.00 | X | | | | | | 0. | 0. | 0. |
| (24) MICHAEL LORBER TRUSTEE | 1.00 0.00 | X | | | | | | 0. | 0. | 0. |
| (25) LARRY MILSTEIN TRUSTEE (AS OF 02/2021) | 1.00 0.00 | X | | | | | | 0. | 0. | 0. |
| (26) BILLY PORTER TRUSTEE (AS OF 02/2021) | 1.00 0.00 | X | | | | | | 0. | 0. | 0. |
| 1b Subtotal | | | | | | | | 3,036,416. | 0. | 764,145. |
| c Total from continuation sheets to Part VII, Section A | | | | | | | | 0. | 0. | 0. |
| d Total (add lines 1b and 1c) | | | | | | | | 3,036,416. | 0. | 764,145. |

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 28

| | Yes | No |
|---|-----|----|
| 3 Did the organization list any former officer, director, trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> | 3 | X |
| 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> | 4 | X |
| 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> | 5 | X |

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

| (A) Name and business address | (B) Description of services | (C) Compensation |
|--|----------------------------------|---------------------|
| SANKY COMMUNICATIONS, INC., 599 11TH AVENUE, 6TH FLOOR, NEW YORK, NY 10036 | DIRECT MAIL/RESPONSE CONSULTANTS | 326,775. |
| AAB PRODUCTION, INC., 64 ALLEN ROAD, 5TH FLOOR, NEW YORK, NY 10002 | EVENTS PRODUCTION | 148,243. |
| GRANT THORNTON LLP, 33570 TREASURY CENTER, CHICAGO, IL 60694-3500 | ACCOUNTING AND AUDIT | 134,037. |
| MICHAEL NEVIN 115 NORTH 7TH STREET #2, BROOKLYN, NY 11249 | ART CURATION | 120,000. |

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 4

SEE PART VII, SECTION A CONTINUATION SHEETS

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

| | | | (A) | (B) | (C) | (D) | |
|--|---|--|----------------|------------------------------------|----------------------------|--|--|
| | | | Total revenue | Related or exempt function revenue | Unrelated business revenue | Revenue excluded from tax under sections 512 - 514 | |
| Contributions, Gifts, Grants and Other Similar Amounts | 1 a | Federated campaigns | 113,225. | | | | |
| | 1 b | Membership dues | | | | | |
| | 1 c | Fundraising events | 9,885,493. | | | | |
| | 1 d | Related organizations | | | | | |
| | 1 e | Government grants (contributions) | 11,131,282. | | | | |
| | 1 f | All other contributions, gifts, grants, and similar amounts not included above | 7,066,461. | | | | |
| | 1 g | Noncash contributions included in lines 1a-1f | \$ 217,327. | | | | |
| | 1 h | Total. Add lines 1a-1f | ▶ | 28,196,461. | | | |
| | Program Service Revenue | 2 a | _____ | Business Code | | | |
| 2 b | | _____ | | | | | |
| 2 c | | _____ | | | | | |
| 2 d | | _____ | | | | | |
| 2 e | | _____ | | | | | |
| 2 f | | All other program service revenue | | | | | |
| 2 g | | Total. Add lines 2a-2f | ▶ | | | | |
| Other Revenue | 3 | Investment income (including dividends, interest, and other similar amounts) | ▶ | 988,795. | | 988,795. | |
| | 4 | Income from investment of tax-exempt bond proceeds | ▶ | | | | |
| | 5 | Royalties | ▶ | 36,205. | | 36,205. | |
| | 6 a | Gross rents | (i) Real | | | | |
| | | | (ii) Personal | | | | |
| | | | | | | | |
| | 6 b | Less: rental expenses | | | | | |
| | 6 c | Rental income or (loss) | | | | | |
| | 6 d | Net rental income or (loss) | ▶ | | | | |
| | 7 a | Gross amount from sales of assets other than inventory | (i) Securities | | | | |
| | | | (ii) Other | | | | |
| | | | | 14,542,828. | | | |
| | 7 b | Less: cost or other basis and sales expenses | | 12,868,645. | | | |
| | 7 c | Gain or (loss) | | 1,674,183. | | | |
| | 7 d | Net gain or (loss) | ▶ | 1,674,183. | | 1,674,183. | |
| 8 a | Gross income from fundraising events (not including \$ 9,885,493. of contributions reported on line 1c). See Part IV, line 18 | | 318,000. | | | | |
| 8 b | Less: direct expenses | | 7,711,074. | | | | |
| 8 c | Net income or (loss) from fundraising events | ▶ | -7,393,074. | | -7,393,074. | | |
| 9 a | Gross income from gaming activities. See Part IV, line 19 | | | | | | |
| 9 b | Less: direct expenses | | | | | | |
| 9 c | Net income or (loss) from gaming activities | ▶ | | | | | |
| 10 a | Gross sales of inventory, less returns and allowances | | 28,456. | | | | |
| 10 b | Less: cost of goods sold | | 7,425. | | | | |
| 10 c | Net income or (loss) from sales of inventory | ▶ | 21,031. | | 21,031. | | |
| Miscellaneous Revenue | 11 a | STORE SALES | Business Code | 900099 | 1,303. | 1,303. | |
| | 11 b | _____ | | | | | |
| | 11 c | _____ | | | | | |
| | 11 d | All other revenue | | 900099 | 6,555. | 6,555. | |
| | 11 e | Total. Add lines 11a-11d | ▶ | | 7,858. | | |
| 12 | Total revenue. See instructions | ▶ | 23,531,459. | 0. | 0. | -4,665,002. | |

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX X

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|-----------------------|---------------------------------|--|-----------------------------|
| 1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21 ... | 3,959,742. | 3,959,742. | | |
| 2 Grants and other assistance to domestic individuals. See Part IV, line 22 | | | | |
| 3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 | 2,355,109. | 2,355,109. | | |
| 4 Benefits paid to or for members | | | | |
| 5 Compensation of current officers, directors, trustees, and key employees | 2,892,890. | 2,096,810. | 360,143. | 435,937. |
| 6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | | | | |
| 7 Other salaries and wages | 5,672,306. | 3,623,510. | 666,970. | 1,381,826. |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) | 367,459. | 247,056. | 46,742. | 73,661. |
| 9 Other employee benefits | 1,835,965. | 1,207,277. | 273,116. | 355,572. |
| 10 Payroll taxes | 530,028. | 331,873. | 69,050. | 129,105. |
| 11 Fees for services (nonemployees): | | | | |
| a Management | | | | |
| b Legal | 81,778. | 74,097. | 7,645. | 36. |
| c Accounting | 188,522. | | 188,522. | |
| d Lobbying | 8,015. | 8,015. | | |
| e Professional fundraising services. See Part IV, line 17 | 428,334. | | | 428,334. |
| f Investment management fees | 239,987. | | 239,987. | |
| g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch. O.) | 724,599. | 238,730. | 22,196. | 463,673. |
| 12 Advertising and promotion | 181,188. | 121,980. | 415. | 58,793. |
| 13 Office expenses | 9,771. | 5,330. | 896. | 3,545. |
| 14 Information technology | 537,117. | 268,609. | 50,794. | 217,714. |
| 15 Royalties | | | | |
| 16 Occupancy | 1,630,298. | 1,096,072. | 208,313. | 325,913. |
| 17 Travel | 455,146. | 116,013. | 196. | 338,937. |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials ... | | | | |
| 19 Conferences, conventions, and meetings | 92,899. | 64,299. | 184. | 28,416. |
| 20 Interest | 10,258. | 7,192. | 1,368. | 1,698. |
| 21 Payments to affiliates | | | | |
| 22 Depreciation, depletion, and amortization | 417,260. | 292,526. | 55,645. | 69,089. |
| 23 Insurance | 264,223. | 185,237. | 35,237. | 43,749. |
| 24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) | | | | |
| a PROGRAM MATERIALS | 2,439,915. | 2,439,915. | | |
| b PROG. TECHNICAL SUPPORT | 652,581. | 652,581. | | |
| c POSTAGE & SHIPPING | 399,832. | 214,415. | 2,881. | 182,536. |
| d _____ | | | | |
| e All other expenses _____ | 1,296,728. | 554,297. | 327,191. | 415,240. |
| 25 Total functional expenses. Add lines 1 through 24e | 27,671,950. | 20,160,685. | 2,557,491. | 4,953,774. |
| 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. | | | | |

Check here X if following SOP 98-2 (ASC 958-720)

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

| | | (A) Beginning of year | | (B) End of year |
|---|--|--------------------------|-------------|-----------------------|
| Assets | 1 Cash - non-interest-bearing | 3,725,042. | 1 | 2,387,272. |
| | 2 Savings and temporary cash investments | 1,607,204. | 2 | 1,250,013. |
| | 3 Pledges and grants receivable, net | 639,936. | 3 | 558,346. |
| | 4 Accounts receivable, net | 3,839,668. | 4 | 5,657,274. |
| | 5 Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons | | 5 | |
| | 6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B) | | 6 | |
| | 7 Notes and loans receivable, net | | 7 | |
| | 8 Inventories for sale or use | 493,291. | 8 | 560,116. |
| | 9 Prepaid expenses and deferred charges | 1,159,569. | 9 | 2,392,167. |
| | 10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D | 10a 7,760,218. | | |
| | b Less: accumulated depreciation | 10b 5,268,592. | 2,925,831. | 10c 2,491,626. |
| | 11 Investments - publicly traded securities | 39,141,898. | 11 | 40,066,573. |
| | 12 Investments - other securities. See Part IV, line 11 | | 12 | |
| | 13 Investments - program-related. See Part IV, line 11 | | 13 | |
| | 14 Intangible assets | | 14 | |
| | 15 Other assets. See Part IV, line 11 | 1,207,079. | 15 | 480,208. |
| 16 Total assets. Add lines 1 through 15 (must equal line 33) | 54,739,518. | 16 | 55,843,595. | |
| Liabilities | 17 Accounts payable and accrued expenses | 2,489,524. | 17 | 2,834,273. |
| | 18 Grants payable | 1,052,816. | 18 | 1,246,435. |
| | 19 Deferred revenue | 6,138,184. | 19 | 7,916,273. |
| | 20 Tax-exempt bond liabilities | | 20 | |
| | 21 Escrow or custodial account liability. Complete Part IV of Schedule D | | 21 | |
| | 22 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons | | 22 | |
| | 23 Secured mortgages and notes payable to unrelated third parties | | 23 | 3,509,082. |
| | 24 Unsecured notes and loans payable to unrelated third parties | | 24 | |
| | 25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D | 3,413,100. | 25 | 1,717,253. |
| | 26 Total liabilities. Add lines 17 through 25 | 13,093,624. | 26 | 17,223,316. |
| Net Assets or Fund Balances | Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33. | | | |
| | 27 Net assets without donor restrictions | 37,674,119. | 27 | 35,366,530. |
| | 28 Net assets with donor restrictions | 3,971,775. | 28 | 3,253,749. |
| | Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33. | | | |
| | 29 Capital stock or trust principal, or current funds | | 29 | |
| | 30 Paid-in or capital surplus, or land, building, or equipment fund | | 30 | |
| | 31 Retained earnings, endowment, accumulated income, or other funds | | 31 | |
| | 32 Total net assets or fund balances | 41,645,894. | 32 | 38,620,279. |
| 33 Total liabilities and net assets/fund balances | 54,739,518. | 33 | 55,843,595. | |

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

| | | | |
|-----------|--|-----------|-------------|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 23,531,459. |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 27,671,950. |
| 3 | Revenue less expenses. Subtract line 2 from line 1 | 3 | -4,140,491. |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A)) | 4 | 41,645,894. |
| 5 | Net unrealized gains (losses) on investments | 5 | 1,114,876. |
| 6 | Donated services and use of facilities | 6 | |
| 7 | Investment expenses | 7 | |
| 8 | Prior period adjustments | 8 | |
| 9 | Other changes in net assets or fund balances (explain on Schedule O) | 9 | 0. |
| 10 | Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B)) | 10 | 38,620,279. |

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

- 1** Accounting method used to prepare the Form 990: Cash Accrual Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:
 Separate basis Consolidated basis Both consolidated and separate basis
- b** Were the organization's financial statements audited by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:
 Separate basis Consolidated basis Both consolidated and separate basis
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O.
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits

| | Yes | No |
|-----------|-----|----|
| 2a | | X |
| 2b | X | |
| 2c | X | |
| 3a | X | |
| 3b | X | |

Form **990** (2020)

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

| Calendar year (or fiscal year beginning in) ► | (a) 2016 | (b) 2017 | (c) 2018 | (d) 2019 | (e) 2020 | (f) Total |
|--|-------------|-------------|-------------|-------------|-------------|--------------|
| 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") | 50,842,378. | 42,784,390. | 40,275,703. | 21,630,486. | 28,196,461. | 183,729,418. |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 Total. Add lines 1 through 3 | 50,842,378. | 42,784,390. | 40,275,703. | 21,630,486. | 28,196,461. | 183,729,418. |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | |
| 6 Public support. Subtract line 5 from line 4. | | | | | | 183,729,418. |

Section B. Total Support

| Calendar year (or fiscal year beginning in) ► | (a) 2016 | (b) 2017 | (c) 2018 | (d) 2019 | (e) 2020 | (f) Total |
|---|-------------|-------------|-------------|-------------|-------------|--------------------------|
| 7 Amounts from line 4 | 50,842,378. | 42,784,390. | 40,275,703. | 21,630,486. | 28,196,461. | 183,729,418. |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources | 1,354,513. | 1,590,672. | 1,538,331. | 1,252,653. | 1,025,000. | 6,761,169. |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) | 1,819,001. | 2,097,498. | 1,822,760. | 858,301. | 354,316. | 6,951,876. |
| 11 Total support. Add lines 7 through 10 | | | | | | 197,442,463. |
| 12 Gross receipts from related activities, etc. (see instructions) | | | | | 12 | 197,337,817. |
| 13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here | | | | | | <input type="checkbox"/> |

Section C. Computation of Public Support Percentage

| | | |
|---|-----------|-------------------------------------|
| 14 Public support percentage for 2020 (line 6, column (f), divided by line 11, column (f)) | 14 | 93.05 % |
| 15 Public support percentage from 2019 Schedule A, Part II, line 14 | 15 | 93.10 % |
| 16a 33 1/3% support test - 2020. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization | | <input checked="" type="checkbox"/> |
| b 33 1/3% support test - 2019. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization | | <input type="checkbox"/> |
| 17a 10% -facts-and-circumstances test - 2020. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization | | <input type="checkbox"/> |
| b 10% -facts-and-circumstances test - 2019. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization | | <input type="checkbox"/> |
| 18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions | | <input type="checkbox"/> |

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

| Calendar year (or fiscal year beginning in) ► | (a) 2016 | (b) 2017 | (c) 2018 | (d) 2019 | (e) 2020 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| 1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 Total. Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 Public support. (Subtract line 7c from line 6.) | | | | | | |

Section B. Total Support

| Calendar year (or fiscal year beginning in) ► | (a) 2016 | (b) 2017 | (c) 2018 | (d) 2019 | (e) 2020 | (f) Total |
|--|----------|----------|----------|----------|----------|-----------|
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) | | | | | | |
| 13 Total support. (Add lines 9, 10c, 11, and 12.) | | | | | | |

14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here**

Section C. Computation of Public Support Percentage

| | | |
|---|-----------|---|
| 15 Public support percentage for 2020 (line 8, column (f), divided by line 13, column (f)) | 15 | % |
| 16 Public support percentage from 2019 Schedule A, Part III, line 15 | 16 | % |

Section D. Computation of Investment Income Percentage

| | | |
|--|-----------|---|
| 17 Investment income percentage for 2020 (line 10c, column (f), divided by line 13, column (f)) | 17 | % |
| 18 Investment income percentage from 2019 Schedule A, Part III, line 17 | 18 | % |

19a 33 1/3% support tests - 2020. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2019. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

| | Yes | No |
|--|-----|----|
| 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i> | | |
| 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i> | | |
| 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer lines 3b and 3c below.</i> | | |
| b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i> | | |
| c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i> | | |
| 4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.</i> | | |
| b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i> | | |
| c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i> | | |
| 5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i> | | |
| b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document? | | |
| c Substitutions only. Was the substitution the result of an event beyond the organization's control? | | |
| 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i> | | |
| 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i> | | |
| 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i> | | |
| 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i> | | |
| b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i> | | |
| c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i> | | |
| 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i> | | |
| b Did the organization have any excess business holdings in the tax year? <i>(Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</i> | | |

Part IV Supporting Organizations (continued)

| | Yes | No |
|--|-----|----|
| 11 Has the organization accepted a gift or contribution from any of the following persons? | | |
| a A person who directly or indirectly controls, either alone or together with persons described in lines 11b and 11c below, the governing body of a supported organization? | | |
| b A family member of a person described in line 11a above? | | |
| c A 35% controlled entity of a person described in line 11a or 11b above? <i>If "Yes" to line 11a, 11b, or 11c, provide detail in Part VI.</i> | | |

Section B. Type I Supporting Organizations

| | Yes | No |
|---|-----|----|
| 1 Did the governing body, members of the governing body, officers acting in their official capacity, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers, directors, or trustees at all times during the tax year? <i>If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.</i> | | |
| 2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? <i>If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.</i> | | |

Section C. Type II Supporting Organizations

| | Yes | No |
|--|-----|----|
| 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? <i>If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).</i> | | |

Section D. All Type III Supporting Organizations

| | Yes | No |
|---|-----|----|
| 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? | | |
| 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? <i>If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).</i> | | |
| 3 By reason of the relationship described in line 2, above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? <i>If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.</i> | | |

Section E. Type III Functionally Integrated Supporting Organizations

| | | |
|---|-----|----|
| 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions). | | |
| a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below. | | |
| b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below. | | |
| c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity (see instructions). | | |
| 2 Activities Test. Answer lines 2a and 2b below. | | |
| a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? <i>If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.</i> | Yes | No |
| b Did the activities described in line 2a, above, constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? <i>If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.</i> | | |
| 3 Parent of Supported Organizations. Answer lines 3a and 3b below. | | |
| a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? <i>If "Yes" or "No" provide details in Part VI.</i> | | |
| b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? <i>If "Yes," describe in Part VI the role played by the organization in this regard.</i> | | |

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1 Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (*explain in Part VI*). **See instructions.**
 All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

| Section A - Adjusted Net Income | | (A) Prior Year | (B) Current Year (optional) |
|--|--|----------------|-----------------------------|
| 1 | Net short-term capital gain | 1 | |
| 2 | Recoveries of prior-year distributions | 2 | |
| 3 | Other gross income (see instructions) | 3 | |
| 4 | Add lines 1 through 3. | 4 | |
| 5 | Depreciation and depletion | 5 | |
| 6 | Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions) | 6 | |
| 7 | Other expenses (see instructions) | 7 | |
| 8 | Adjusted Net Income (subtract lines 5, 6, and 7 from line 4) | 8 | |

| Section B - Minimum Asset Amount | | (A) Prior Year | (B) Current Year (optional) |
|---|---|----------------|-----------------------------|
| 1 | Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): | | |
| a | Average monthly value of securities | 1a | |
| b | Average monthly cash balances | 1b | |
| c | Fair market value of other non-exempt-use assets | 1c | |
| d | Total (add lines 1a, 1b, and 1c) | 1d | |
| e | Discount claimed for blockage or other factors (<i>explain in detail in Part VI</i>): | | |
| 2 | Acquisition indebtedness applicable to non-exempt-use assets | 2 | |
| 3 | Subtract line 2 from line 1d. | 3 | |
| 4 | Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions). | 4 | |
| 5 | Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | |
| 6 | Multiply line 5 by 0.035. | 6 | |
| 7 | Recoveries of prior-year distributions | 7 | |
| 8 | Minimum Asset Amount (add line 7 to line 6) | 8 | |

| Section C - Distributable Amount | | | Current Year |
|---|---|---|--------------|
| 1 | Adjusted net income for prior year (from Section A, line 8, column A) | 1 | |
| 2 | Enter 0.85 of line 1. | 2 | |
| 3 | Minimum asset amount for prior year (from Section B, line 8, column A) | 3 | |
| 4 | Enter greater of line 2 or line 3. | 4 | |
| 5 | Income tax imposed in prior year | 5 | |
| 6 | Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions). | 6 | |
| 7 | <input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions). | | |

Schedule A (Form 990 or 990-EZ) 2020

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

| Section D - Distributions | | Current Year |
|----------------------------------|--|---------------------|
| 1 | Amounts paid to supported organizations to accomplish exempt purposes | 1 |
| 2 | Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity | 2 |
| 3 | Administrative expenses paid to accomplish exempt purposes of supported organizations | 3 |
| 4 | Amounts paid to acquire exempt-use assets | 4 |
| 5 | Qualified set-aside amounts (prior IRS approval required - provide details in Part VI) | 5 |
| 6 | Other distributions (describe in Part VI). See instructions. | 6 |
| 7 | Total annual distributions. Add lines 1 through 6. | 7 |
| 8 | Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions. | 8 |
| 9 | Distributable amount for 2020 from Section C, line 6 | 9 |
| 10 | Line 8 amount divided by line 9 amount | 10 |

| Section E - Distribution Allocations (see instructions) | (i) Excess Distributions | (ii) Underdistributions Pre-2020 | (iii) Distributable Amount for 2020 |
|--|-------------------------------------|---|--|
| 1 Distributable amount for 2020 from Section C, line 6 | | | |
| 2 Underdistributions, if any, for years prior to 2020 (reasonable cause required - explain in Part VI). See instructions. | | | |
| 3 Excess distributions carryover, if any, to 2020 | | | |
| a From 2015 | | | |
| b From 2016 | | | |
| c From 2017 | | | |
| d From 2018 | | | |
| e From 2019 | | | |
| f Total of lines 3a through 3e | | | |
| g Applied to underdistributions of prior years | | | |
| h Applied to 2020 distributable amount | | | |
| i Carryover from 2015 not applied (see instructions) | | | |
| j Remainder. Subtract lines 3g, 3h, and 3i from line 3f. | | | |
| 4 Distributions for 2020 from Section D, line 7: \$ | | | |
| a Applied to underdistributions of prior years | | | |
| b Applied to 2020 distributable amount | | | |
| c Remainder. Subtract lines 4a and 4b from line 4. | | | |
| 5 Remaining underdistributions for years prior to 2020, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions. | | | |
| 6 Remaining underdistributions for 2020. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions. | | | |
| 7 Excess distributions carryover to 2021. Add lines 3j and 4c. | | | |
| 8 Breakdown of line 7: | | | |
| a Excess from 2016 | | | |
| b Excess from 2017 | | | |
| c Excess from 2018 | | | |
| d Excess from 2019 | | | |
| e Excess from 2020 | | | |

Schedule A (Form 990 or 990-EZ) 2020

Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.
(See instructions.)

SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:

MISCELLANEOUS

2016 AMOUNT: \$ 3,788.

2017 AMOUNT: \$ 396.

2018 AMOUNT: \$ 1,267.

2019 AMOUNT: \$ 165.

2020 AMOUNT: \$ 6,557.

LIST RENTALS

2016 AMOUNT: \$ 3,691.

2017 AMOUNT: \$ 2,793.

2018 AMOUNT: \$ 170.

2019 AMOUNT: \$ 2,201.

2020 AMOUNT: \$ 0.

INVENTORY

2016 AMOUNT: \$ 13,222.

2017 AMOUNT: \$ 48,094.

2018 AMOUNT: \$ 25,294.

2019 AMOUNT: \$ 18,379.

2020 AMOUNT: \$ 28,456.

SPECIAL EVENTS

2016 AMOUNT: \$ 1,797,050.

2017 AMOUNT: \$ 2,043,540.

2018 AMOUNT: \$ 1,794,170.

2019 AMOUNT: \$ 836,855.

Part VI

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

2020 AMOUNT: \$ 318,000.

STORE SALES

2016 AMOUNT: \$ 1,250.

2017 AMOUNT: \$ 2,675.

2018 AMOUNT: \$ 1,859.

2019 AMOUNT: \$ 701.

2020 AMOUNT: \$ 1,303.

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Name of the organization

THE FOUNDATION FOR AIDS RESEARCH

Employer identification number

13-3163817

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000; or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

| | |
|---|---|
| Name of organization THE FOUNDATION FOR AIDS RESEARCH | Employer identification number 13-3163817 |
|---|---|

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|-----------------------------------|----------------------------|---|
| 1 | <hr/> <hr/> <hr/> | \$ 3,421,975. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| 2 | <hr/> <hr/> <hr/> | \$ 2,638,873. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| 3 | <hr/> <hr/> <hr/> | \$ 3,321,294. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| 4 | <hr/> <hr/> <hr/> | \$ 2,043,389. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| 5 | <hr/> <hr/> <hr/> | \$ 1,195,000. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| 6 | <hr/> <hr/> <hr/> | \$ 923,959. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |

| | |
|--|--|
| Name of organization THE FOUNDATION FOR AIDS RESEARCH | Employer identification number 13-3163817 |
|--|--|

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|-----------------------------------|----------------------------|---|
| 7 | <hr/> <hr/> <hr/> | \$ 818,836. | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| | <hr/> <hr/> <hr/> | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| | <hr/> <hr/> <hr/> | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| | <hr/> <hr/> <hr/> | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| | <hr/> <hr/> <hr/> | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| | <hr/> <hr/> <hr/> | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| | <hr/> <hr/> <hr/> | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |

| | |
|--|--|
| Name of organization THE FOUNDATION FOR AIDS RESEARCH | Employer identification number 13-3163817 |
|--|--|

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received |
|------------------------------|--|---|----------------------|
| | | \$ _____ | |
| | | \$ _____ | |
| | | \$ _____ | |
| | | \$ _____ | |
| | | \$ _____ | |
| | | \$ _____ | |
| | | \$ _____ | |

| | |
|--|--|
| Name of organization THE FOUNDATION FOR AIDS RESEARCH | Employer identification number 13-3163817 |
|--|--|

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---------------------|--|-------------------------------------|
| | | | |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee | |
| | | | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| | | | |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee | |
| | | | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| | | | |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee | |
| | | | |
| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| | | | |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP + 4 | | Relationship of transferor to transferee | |
| | | | |

SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No. 1545-0047

2020

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

For Organizations Exempt From Income Tax Under section 501(c) and section 527
▶ **Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.**
▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (See separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (See separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

| | |
|---|---|
| Name of organization <p style="text-align: center;">THE FOUNDATION FOR AIDS RESEARCH</p> | Employer identification number <p style="text-align: center;">13-3163817</p> |
|---|---|

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political campaign activity expenditures ▶ \$ _____
- 3 Volunteer hours for political campaign activities _____

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ _____
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
- 4a Was a correction made? Yes No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file **Form 1120-POL** for this year? Yes No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds. If none, enter -0-. | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-. |
|----------|-------------|---------|---|--|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. **Schedule C (Form 990 or 990-EZ) 2020**

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A** Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B** Check if the filing organization checked box A and "limited control" provisions apply.

| Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.) | | (a) Filing organization's totals | (b) Affiliated group totals | | | | | | | | | | | | |
|--|---|---|------------------------------------|--------------------|-------------------------------|---|--|---|--|--|---|-------------------|--------------|--|--|
| 1a | Total lobbying expenditures to influence public opinion (grassroots lobbying) | | | | | | | | | | | | | | |
| b | Total lobbying expenditures to influence a legislative body (direct lobbying) | | | | | | | | | | | | | | |
| c | Total lobbying expenditures (add lines 1a and 1b) | | | | | | | | | | | | | | |
| d | Other exempt purpose expenditures | | | | | | | | | | | | | | |
| e | Total exempt purpose expenditures (add lines 1c and 1d) | | | | | | | | | | | | | | |
| f | Lobbying nontaxable amount. Enter the amount from the following table in both columns. | | | | | | | | | | | | | | |
| <table border="1" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">If the amount on line 1e, column (a) or (b) is:</th> <th style="text-align: left;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table> | | If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: | Not over \$500,000 | 20% of the amount on line 1e. | Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000. | Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000. | Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000. | Over \$17,000,000 | \$1,000,000. | | |
| If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: | | | | | | | | | | | | | | |
| Not over \$500,000 | 20% of the amount on line 1e. | | | | | | | | | | | | | | |
| Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000. | | | | | | | | | | | | | | |
| Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000. | | | | | | | | | | | | | | |
| Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000. | | | | | | | | | | | | | | |
| Over \$17,000,000 | \$1,000,000. | | | | | | | | | | | | | | |
| g | Grassroots nontaxable amount (enter 25% of line 1f) | | | | | | | | | | | | | | |
| h | Subtract line 1g from line 1a. If zero or less, enter -0- | | | | | | | | | | | | | | |
| i | Subtract line 1f from line 1c. If zero or less, enter -0- | | | | | | | | | | | | | | |
| j | If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? | | | | | | | | | | | | | | |

Yes No

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

| Lobbying Expenditures During 4-Year Averaging Period | | | | | |
|---|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in) | (a) 2017 | (b) 2018 | (c) 2019 | (d) 2020 | (e) Total |
| 2a Lobbying nontaxable amount | | | | | |
| b Lobbying ceiling amount (150% of line 2a, column(e)) | | | | | |
| c Total lobbying expenditures | | | | | |
| d Grassroots nontaxable amount | | | | | |
| e Grassroots ceiling amount (150% of line 2d, column (e)) | | | | | |
| f Grassroots lobbying expenditures | | | | | |

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

| For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity. | (a) | | (b) |
|---|-----|----|----------|
| | Yes | No | Amount |
| 1 During the year, did the filing organization attempt to influence foreign, national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: | | | |
| a Volunteers? | | X | |
| b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? .. | X | | |
| c Media advertisements? | | X | |
| d Mailings to members, legislators, or the public? | | X | |
| e Publications, or published or broadcast statements? | | X | |
| f Grants to other organizations for lobbying purposes? | | X | |
| g Direct contact with legislators, their staffs, government officials, or a legislative body? | | X | |
| h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? | | X | |
| i Other activities? | X | | 190,738. |
| j Total. Add lines 1c through 1i | | | 190,738. |
| 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? | | X | |
| b If "Yes," enter the amount of any tax incurred under section 4912 | | | |
| c If "Yes," enter the amount of any tax incurred by organization managers under section 4912 | | | |
| d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? | | | |

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

| | Yes | No |
|--|-----|----|
| 1 Were substantially all (90% or more) dues received nondeductible by members? | 1 | |
| 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less? | 2 | |
| 3 Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year? | 3 | |

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

| | | |
|---|----|--|
| 1 Dues, assessments and similar amounts from members | 1 | |
| 2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). | | |
| a Current year | 2a | |
| b Carryover from last year | 2b | |
| c Total | 2c | |
| 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues | 3 | |
| 4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4 | |
| 5 Taxable amount of lobbying and political expenditures (See instructions) | 5 | |

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (See instructions); and Part II-B, line 1. Also, complete this part for any additional information.

PART II-B, LINE 1, LOBBYING ACTIVITIES:

THE FOUNDATION FOR AIDS RESEARCH DEVELOPED AN ACTION AGENDA TO END AIDS

THAT IDENTIFIED CRITICAL DECISIONS THAT NEED TO BE MADE TO ADVANCE

EVIDENCE BASED AIDS POLICIES. WE CREATED A VARIETY OF ISSUE BRIEFS ON

TOPICS SUCH AS THE POTENTIAL IMPACT OF VARIOUS BUDGET SCENARIOS ON

GLOBAL HEALTH SERVICES, AND POLICY PRIORITIES TO ADDRESS THE HIV

Part IV Supplemental Information (continued)

EPIDEMIC AMONG GAY MEN IN THE US. THESE ISSUE BRIEFS WERE SHARED WITH

CAPITOL HILL STAFF AND MEMBERS OF THE ADMINISTRATION. AMFAR MET

REGULARLY WITH STAFF TO MEMBERS OF CONGRESS AND WITH ADMINISTRATION

STAFF, AND WE PROVIDED INPUT TO THE ADMINISTRATION ON DEVELOPMENT OF

THE PEPFAR BLUEPRINT FOR AN AIDS FREE GENERATION. AMFAR SPONSORED

SEVERAL BRIEFINGS ON CAPITOL HILL ON AIDS POLICY AND RESEARCH ISSUES.

AMFAR USES THESE BRIEFINGS AS AN EDUCATIONAL TOOL.

THE FOUNDATION ENGAGED AN INDEPENDENT CONSULTANT TO ENGAGE IN LOBBYING

ACTIVITIES ON ITS BEHALF. FEES PAID TO THIS CONSULTANT TOTALED \$8,015

IN THE FISCAL YEAR ENDING SEPTEMBER 30, 2021.

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**
▶ **Attach to Form 990.**

▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization THE FOUNDATION FOR AIDS RESEARCH
Employer identification number 13-3163817

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

| | (a) Donor advised funds | (b) Funds and other accounts |
|---|------------------------------|------------------------------|
| 1 Total number at end of year | | |
| 2 Aggregate value of contributions to (during year) | | |
| 3 Aggregate value of grants from (during year) | | |
| 4 Aggregate value at end of year | | |
| 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).
 Preservation of land for public use (for example, recreation or education) Preservation of a historically important land area
 Protection of natural habitat Preservation of a certified historic structure
 Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

| | Held at the End of the Tax Year |
|--|---------------------------------|
| a Total number of conservation easements | 2a |
| b Total acreage restricted by conservation easements | 2b |
| c Number of conservation easements on a certified historic structure included in (a) | 2c |
| d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register | 2d |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide in Part XIII the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1

(ii) Assets included in Form 990, Part X

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

a Revenue included on Form 990, Part VIII, line 1

b Assets included in Form 990, Part X

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule D (Form 990) 2020

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3 Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply):
- a Public exhibition
 - b Scholarly research
 - c Preservation for future generations
 - d Loan or exchange program
 - e Other DONATED ITEMS, INVENTORY ETC.
- 4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.
- 5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No
- b If "Yes," explain the arrangement in Part XIII and complete the following table:
- | | Amount |
|---------------------------------|--------|
| c Beginning balance | 1c |
| d Additions during the year | 1d |
| e Distributions during the year | 1e |
| f Ending balance | 1f |
- 2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No
- b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

| | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|--|------------------|----------------|--------------------|----------------------|---------------------|
| 1a Beginning of year balance | 731,736. | 701,368. | 684,111. | 593,133. | 541,231. |
| b Contributions | 5,380. | 7,933. | 6,750. | 4,100. | 5,950. |
| c Net investment earnings, gains, and losses | 48,499. | 22,435. | 10,507. | 86,877. | 45,952. |
| d Grants or scholarships | | | | | |
| e Other expenditures for facilities and programs | | | | | |
| f Administrative expenses | | | | | |
| g End of year balance | 785,615. | 731,736. | 701,368. | 684,110. | 593,133. |

- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
- a Board designated or quasi-endowment .0000 %
 - b Permanent endowment 49.9800 %
 - c Term endowment 50.0200 %
- The percentages on lines 2a, 2b, and 2c should equal 100%.
- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
- | | Yes | No |
|---|--------------------------|-------------------------------------|
| (i) Unrelated organizations | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (ii) Related organizations | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
- 4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|--|--------------------------------------|---------------------------------|------------------------------|----------------|
| 1a Land | | | | |
| b Buildings | | | | |
| c Leasehold improvements | | 2,542,490. | 1,735,397. | 807,093. |
| d Equipment | | 307,521. | 305,823. | 1,698. |
| e Other | | 4,910,207. | 3,227,372. | 1,682,835. |
| Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.) | | | | 2,491,626. |

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|----------------|---|
| (1) Financial derivatives | | |
| (2) Closely held equity interests | | |
| (3) Other | | |
| (A) | | |
| (B) | | |
| (C) | | |
| (D) | | |
| (E) | | |
| (F) | | |
| (G) | | |
| (H) | | |
| Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶ | | |

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment | (b) Book value | (c) Method of valuation: Cost or end-of-year market value |
|---|----------------|---|
| (1) | | |
| (2) | | |
| (3) | | |
| (4) | | |
| (5) | | |
| (6) | | |
| (7) | | |
| (8) | | |
| (9) | | |
| Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶ | | |

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

| (a) Description | (b) Book value |
|---|----------------|
| (1) | |
| (2) | |
| (3) | |
| (4) | |
| (5) | |
| (6) | |
| (7) | |
| (8) | |
| (9) | |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶ | |

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1. (a) Description of liability | (b) Book value |
|---|----------------|
| (1) Federal income taxes | |
| (2) LONG TERM LEASE LIABILITY | 1,316,127. |
| (3) SEC. 457 RETIREMENT LIABILITY | 401,126. |
| (4) | |
| (5) | |
| (6) | |
| (7) | |
| (8) | |
| (9) | |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶ | 1,717,253. |

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII ...

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

| | | | |
|----------|--|-----------|-------------|
| 1 | Total revenue, gains, and other support per audited financial statements | 1 | 24,441,148. |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12: | | |
| a | Net unrealized gains (losses) on investments | 2a | 1,114,876. |
| b | Donated services and use of facilities | 2b | 34,800. |
| c | Recoveries of prior year grants | 2c | |
| d | Other (Describe in Part XIII.) | 2d | |
| e | Add lines 2a through 2d | 2e | 1,149,676. |
| 3 | Subtract line 2e from line 1 | 3 | 23,291,472. |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1: | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | 239,987. |
| b | Other (Describe in Part XIII.) | 4b | |
| c | Add lines 4a and 4b | 4c | 239,987. |
| 5 | Total revenue. Add lines 3 and 4c . (This must equal Form 990, Part I, line 12.) | 5 | 23,531,459. |

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

| | | | |
|----------|---|-----------|-------------|
| 1 | Total expenses and losses per audited financial statements | 1 | 27,466,763. |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25: | | |
| a | Donated services and use of facilities | 2a | 34,800. |
| b | Prior year adjustments | 2b | |
| c | Other losses | 2c | |
| d | Other (Describe in Part XIII.) | 2d | |
| e | Add lines 2a through 2d | 2e | 34,800. |
| 3 | Subtract line 2e from line 1 | 3 | 27,431,963. |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1: | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | 239,987. |
| b | Other (Describe in Part XIII.) | 4b | |
| c | Add lines 4a and 4b | 4c | 239,987. |
| 5 | Total expenses. Add lines 3 and 4c . (This must equal Form 990, Part I, line 18.) | 5 | 27,671,950. |

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART III, LINE 4:

COLLECTIONS OF ART, HISTORICAL TREASURES, OR OTHER SIMILIAR ASSETS

AMFAR HOLDS VARIOUS ITEMS OF JEWELRY, PHOTOGRAPHS AND OTHER ITEMS OF

ARTISTIC VALUE THAT HAVE BEEN DONATED TO THE ORGANIZATION FOR SALE AT

SPECIAL EVENTS. THE ORGANIZATION HAS MAINTAINED THESE ITEMS IN INVENTORY

FOR A FEW YEARS AND IS IN THE PROCESS OF SELLING THEM.

PART V, LINE 4:

ENDOWMENTS

AMFAR'S ENDOWMENT FUND IS INTENDED TO FUND THE VARIOUS GENERAL RESEARCH

PROGRAMS THE ORGANIZATION SPONSORS.

Part XIII Supplemental Information (continued)

PART X, LINE 2:

FIN 48 - INCOME TAXES

AMFAR FOLLOWS GUIDANCE THAT CLARIFIES THE ACCOUNTING FOR UNCERTAINTY IN
TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A TAX RETURN, INCLUDING
ISSUES RELATING TO FINANCIAL STATEMENT RECOGNITION AND GUIDANCE ON
MEASUREMENT. THIS GUIDANCE PROVIDES THAT THE TAX EFFECTS FROM AN
UNCERTAIN TAX POSITION CAN ONLY BE RECOGNIZED IN THE FINANCIAL STATEMENTS
IF THE POSITION IS "MORE-LIKELY-THAN-NOT" TO BE SUSTAINED IF THE POSITION
WERE TO BE CHALLENGED BY A TAXING AUTHORITY. THE ASSESSMENT OF THE TAX
POSITION IS BASED SOLELY ON THE TECHNICAL MERITS OF THE POSITION, WITHOUT
REGARD TO THE LIKELIHOOD THAT THE TAX POSITION MAY BE CHALLENGED.

AMFAR IS EXEMPT FROM FEDERAL INCOME TAX UNDER IRC SECTION 501(C)(3),
THOUGH IT IS SUBJECT TO TAX ON INCOME UNRELATED TO ITS EXEMPT PURPOSE,
UNLESS THAT INCOME IS OTHERWISE EXCLUDED BY THE CODE. AMFAR HAS PROCESSES
PRESENTLY IN PLACE TO ENSURE THE MAINTENANCE OF ITS TAX-EXEMPT STATUS; TO
IDENTIFY AND REPORT UNRELATED INCOME; TO DETERMINE ITS FILING AND TAX
OBLIGATIONS IN JURISDICTIONS FOR WHICH IT WAS NEXUS; AND TO IDENTIFY AND
EVALUATE OTHER MATTERS THAT MAY BE CONSIDERED TAX POSITIONS. AMFAR HAS
DETERMINED THAT THERE ARE NO MATERIAL UNCERTAIN TAX POSITIONS THAT REQUIRE
RECOGNITION OR DISCLOSURE IN THE FINANCIAL STATEMENTS.

**SCHEDULE F
(Form 990)**

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public
Inspection

Name of the organization

Employer identification number

THE FOUNDATION FOR AIDS RESEARCH

13-3163817

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? **Yes** **No**

2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

| (a) Region | (b) Number of offices in the region | (c) Number of employees, agents, and independent contractors in the region | (d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in the region | (f) Total expenditures for and investments in the region |
|---|-------------------------------------|--|--|--|--|
| EAST ASIA AND THE PACIFIC - AUSTRALIA, BRUNEI, BURMA, CAMBODIA, | | | GRANTMAKING | N/A | 1,646,778. |
| EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM | | | GRANTMAKING | N/A | 332,121. |
| NORTH AMERICA - CANADA AND MEXICO, BUT NOT THE UNITED STATES | | | GRANTMAKING | N/A | 157,284. |
| SOUTH AMERICA - ARGENTINA, BOLIVIA, BRAZIL, CHILE, COLUMBIA, ECUADOR, | | | GRANTMAKING | N/A | 21,014. |
| SOUTH ASIA - AFGHANISTAN, BANGLADESH, BHUTAN, INDIA, MALDIVES, | | | GRANTMAKING | N/A | 174,653. |
| SUB-SAHARAN AFRICA - ANGOLA, BENIN, BOTSWANA, BURKINA FASO, | | | GRANTMAKING | N/A | 23,259. |
| EAST ASIA AND THE PACIFIC - AUSTRALIA, BRUNEI, BURMA, CAMBODIA, | 1 | 14 | PROGRAM SERVICES | THERAPEUTICS RESEARCH, TRAINING | 1,553,055. |
| EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM | | | FUNDRAISING | N/A | 7,660,034. |
| 3 a Subtotal | 1 | 14 | | | 11,568,198. |
| b Total from continuation sheets to Part I | 0 | 0 | | | 10,230. |
| c Totals (add lines 3a and 3b) | 1 | 14 | | | 11,578,428. |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2020

Part I Continuation of Activities per Region. (Schedule F (Form 990), Part I, line 3)

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|-------------------------------------|---|--|--|-----------------------------------|
| SOUTH AMERICA - ARGENTINA, BOLIVIA, BRAZIL, CHILE, COLUMBIA, ECUADOR, | | | FUNDRAISING | N/A | 10,230. |
| | | | | | |
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| Totals | | | | | 10,230. |

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of noncash assistance | (h) Description of noncash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|-------------------------------|--|---------------------------|----------------------|--------------------------|---------------------------------|----------------------------------|---------------------------------------|---|
| | | EAST ASIA AND THE PACIFIC | BASIC RESEARCH | 11,462. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | BASIC RESEARCH | 149,999. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 56,440. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 61,551. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as a tax exempt 501(c)(3) organization by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter **79**

3 Enter total number of other organizations or entities

| Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | | | | | | | | |
|---|---|---------------------------|-----------------------------|---------------------------------|--|--|---|--|
| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 5,475. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,425. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 6,300. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 10,962. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,652. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 552,519. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 16,150. | WIRE | 0. | | |

| Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | | | | | | | | |
|---|---|---------------------------|-----------------------------|---------------------------------|--|--|---|--|
| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 19,997. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 15,400. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 22,988. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 21,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 22,580. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 23,334. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 15,858. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 21,224. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 20,166. | WIRE | 0. | | |

| Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | | | | | | | | |
|---|---|---------------------------|-----------------------------|---------------------------------|--|--|---|--|
| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 12,356. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 21,960. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 21,352. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 21,608. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 20,380. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 21,894. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 18,830. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 8,000. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 6,000. | WIRE | 0. | | |

| Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | | | | | | | | |
|---|---|---------------------------|-----------------------------|---------------------------------|--|--|---|--|
| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 8,000. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 10,000. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 8,000. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,995. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 8,991. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 9,000. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 20,000. | WIRE | 0. | | |

| Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | | | | | | | | |
|---|---|---------------------------|-----------------------------|---------------------------------|--|--|---|--|
| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 20,000. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,452. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 15,000. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 14,444. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 24,932. | WIRE | 0. | | |

| Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | | | | | | | | |
|---|---|---------------------------|-----------------------------|---------------------------------|--|--|---|--|
| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 20,000. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,484. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 19,374. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 21,717. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 23,245. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 16,581. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,827. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 8,045. | WIRE | 0. | | |

| Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | | | | | | | | |
|--|--|--|----------------------|--------------------------|---------------------------------|-----------------------------------|--|---|
| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 6,753. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | EAST ASIA AND THE PACIFIC | TREAT ASIA | 6,360. | WIRE | 0. | | |
| | | EUROPE (INCLUDING ICELAND & GREENLAND) | BASIC RESEARCH | 49,988. | WIRE | 0. | | |
| | | EUROPE (INCLUDING ICELAND & GREENLAND) | RESEARCH | 557,406. | WIRE | 0. | | |
| | | SOUTH AMERICA | BASIC RESEARCH | 21,014. | WIRE | 0. | | |
| | | SOUTH ASIA | TREAT ASIA | 92,384. | WIRE | 0. | | |
| | | SOUTH ASIA | TREAT ASIA | 6,500. | WIRE | 0. | | |
| | | SOUTH ASIA | TREAT ASIA | 20,790. | WIRE | 0. | | |

| Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1) | | | | | | | | |
|---|---|--------------------|-----------------------------|---------------------------------|--|--|---|--|
| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
| | | SOUTH ASIA | TREAT ASIA | 20,000. | WIRE | 0. | | |
| | | SOUTH ASIA | TREAT ASIA | 19,085. | WIRE | 0. | | |
| | | SOUTH ASIA | TREAT ASIA | 7,500. | WIRE | 0. | | |
| | | SOUTH ASIA | TREAT ASIA | 7,479. | WIRE | 0. | | |
| | | SUB-SAHARAN AFRICA | BASIC RESEARCH | 12,501. | WIRE | 0. | | |
| | | SUB-SAHARAN AFRICA | BASIC RESEARCH | 12,351. | WIRE | 0. | | |
| | | SUB-SAHARAN AFRICA | BASIC RESEARCH | 12,501. | WIRE | 0. | | |
| | | NORTH AMERICA | BASIC RESEARCH | 157,284. | WIRE | 0. | | |

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* Yes No

- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)* Yes No

- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to Certain Foreign Corporations (see Instructions for Form 5471)* Yes No

- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* Yes No

- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* Yes No

- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990)* Yes No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

PART I, LINE 2:

FOREIGN ACTIVITIES

INTERNATIONAL ORGANIZATIONS ARE RECOMMENDED FOR FUNDING BASED UPON THE RESULTS OF COMMUNITY-BASED PEER REVIEW AND/OR PROGRAM STAFF ASSESSMENT OF PROPOSAL MERIT AND ORGANIZATION CAPACITY TO UNDERTAKE PROPOSED PROJECTS THAT ARE CHARITABLE IN PURPOSE. PRE-AWARD DUE-DILIGENCE FOR NEW INTERNATIONAL GRANTEES INCLUDES REVIEW OF ORGANIZATION DOCUMENTS AND REGISTRATIONS TO VERIFY THAT THE ORGANIZATION OPERATES FOR A CHARITABLE PURPOSE AND THAT BASIC CAPACITY FOR PROJECT OVERSIGHT AND GOVERNANCE HAS BEEN ESTABLISHED.

ALL INTERNATIONAL GRANTEES ARE REQUIRED TO REPORT SEMI-ANNUALLY ON PROJECT PROGRESS AND EXPENDITURES; CONTINUED REPORTING IS REQUIRED UNTIL SUCH TIME AS GRANT FUNDS ARE EXPENDED IN FULL. REPORTS ARE REVIEWED BY ADMINISTRATIVE AND PROGRAM STAFF. ADDITIONAL OVERSIGHT IS PROVIDED AS NECESSARY BY MEANS OF ONGOING, INFORMAL CONTACT WITH SITES REGARDING PROGRESS AND TECHNICAL ISSUES AND SITE VISITS WHERE FEASIBLE.

INTERNATIONAL RESEARCH GRANT RECIPIENTS SUBMIT AN INTERIM AND A FINAL PROGRESS REPORTING ADDITION TO EXPENDITURES REPORTS DUE FOLLOWING THE ENDS OF THE 2ND, 3RD AND FINAL QUARTERS OF THE PERFORMANCE PERIOD.

FOREIGN ACTIVITIES

PART I, LINE 3, COLUMN D

THE FOUNDATION FOR AIDS RESEARCH CONDUCTS MANY OF ITS GRANT-MAKING PROGRAM SERVICES (SEE PART III OF FORM 990) IN U.S. AND FOREIGN JURISDICTIONS. IN COLUMN D, THE ORGANIZATION HAS REPORTED THE BASIC PROGRAM THESE GRANTS SUPPORT; PLEASE REFER TO PART III FOR MORE

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

INFORMATION ABOUT THESE PROGRAMS/INITIATIVES.

FOREIGN ACTIVITIES - STATUS OF GRANTEES

THE FOUNDATION FOR AIDS RESEARCH SUPPORTS MANY NON-U.S. ORGANIZATIONS IN

THE FIGHT AGAINST AIDS AND HIV-RELATED DISEASES. FOR PURPOSES OF SCHEDULE

F, PART II, LINE 2 - ALL 79 CHARITIES SUPPORTED ARE PRESUMED TO BE THE

EQUIVALENT OF U.S. CHARITIES.

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

| | | (a) Event #1 | (b) Event #2 | (c) Other events | (d) Total events (add col. (a) through col. (c)) |
|--|---|------------------------|------------------------|----------------------|--|
| | | CANNES (event type) | VENICE (event type) | 12 (total number) | |
| Revenue | 1 Gross receipts | 8,128,605. | 668,403. | 1,406,485. | 10,203,493. |
| | 2 Less: Contributions | 7,909,105. | 569,903. | 1,406,485. | 9,885,493. |
| | 3 Gross income (line 1 minus line 2) | 219,500. | 98,500. | | 318,000. |
| Direct Expenses | 4 Cash prizes | | | | |
| | 5 Noncash prizes | | | | |
| | 6 Rent/facility costs | 1,215,643. | | | 1,215,643. |
| | 7 Food and beverages | 567,492. | 88,216. | 3,914. | 659,622. |
| | 8 Entertainment | 2,152,373. | 149,669. | 41,095. | 2,343,137. |
| | 9 Other direct expenses | 3,176,775. | 246,999. | 68,898. | 3,492,672. |
| | 10 Direct expense summary. Add lines 4 through 9 in column (d) | | | | 7,711,074. |
| 11 Net income summary. Subtract line 10 from line 3, column (d) | | | | -7,393,074. | |

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

| | | (a) Bingo | (b) Pull tabs/instant bingo/progressive bingo | (c) Other gaming | (d) Total gaming (add col. (a) through col. (c)) |
|-----------------|---|---|---|---|---|
| | | | | | |
| Revenue | 1 Gross revenue | | | | |
| | 2 Cash prizes | | | | |
| Direct Expenses | 3 Noncash prizes | | | | |
| | 4 Rent/facility costs | | | | |
| | 5 Other direct expenses | | | | |
| | 6 Volunteer labor | <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No | <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No | <input type="checkbox"/> Yes _____ % <input type="checkbox"/> No | |
| | 7 Direct expense summary. Add lines 2 through 5 in column (d) | | | | |
| | 8 Net gaming income summary. Subtract line 7 from line 1, column (d) | | | | |

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? Yes No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? Yes No

b If "Yes," explain: _____

- 11 Does the organization conduct gaming activities with nonmembers? Yes No
- 12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? Yes No
- 13 Indicate the percentage of gaming activity conducted in:

| | | |
|-------------------------------|-----|---|
| a The organization's facility | 13a | % |
| b An outside facility | 13b | % |
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ _____

Address ▶ _____

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____

c If "Yes," enter name and address of the third party:

Name ▶ _____

Address ▶ _____

- 16 Gaming manager information:

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

Director/officer Employee Independent contractor

- 17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No

b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

PART I, LINE 2B, COLUMN (V):

THE FOUNDATION FOR AIDS RESEARCH ACTUALLY PAID OUT \$428,334 IN

FUNDRAISING EXPENSES FOR THE YEAR ENDING SEPTEMBER 30, 2021. THIS AMOUNT

IS REPORTED ON PART IX, LINE 11(E). FOR PURPOSES OF SCHEDULE G, THE

FOUNDATION IS REPORTING ALL AMOUNTS PAID TO THE CONSULTANTS LISTED ON

PART I, REGARDLESS OF WHETHER SUCH AMOUNTS WERE PURE FUNDRAISING EXPENSES

OR EVENT PRODUCTION COSTS. ON SCHEDULE G, THE TOTAL AMOUNT PAID TO THE

ORGANIZATIONS LISTED IS \$568,834. OF THAT \$568,834, ONLY \$428,334 WAS PAID FOR FUNDRAISING SERVICES, THE REMAINING \$140,500 WAS PAID FOR EVENT

Part IV Supplemental Information (continued)

PRODUCTION SERVICES. IN THE INTERESTS OF CLARITY, THE FOUNDATION WOULD
 ALSO LIKE TO MAKE CLEAR THAT THE COMPENSATION REPORTED AS HAVING BEEN
 PAID TO THE PROFESSIONAL FUNDRAISERS REPORTED ON SCHEDULE G IS REPORTED
 ON A FISCAL YEAR BASIS. SOME OF THOSE SAME FUNDRAISERS ARE REPORTED ON
 THE FOUNDATION'S TOP 5 HIGHEST PAID INDEPENDENT CONTRACTORS LIST (IN PART
 VII). IN COMPLIANCE WITH THE INSTRUCTIONS TO THE FORM 990, COMPENSATION
 REPORTED FOR PART VII IS REPORTED ON A CALENDAR YEAR BASIS. ACCORDINGLY,
 AMOUNTS ON PART VII AND SCHEDULE G WILL NOT RECONCILE.

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

▶ **Attach to Form 990.**

▶ **Go to www.irs.gov/Form990 for the latest information.**

OMB No. 1545-0047

2020

**Open to Public
Inspection**

Name of the organization **THE FOUNDATION FOR AIDS RESEARCH** Employer identification number **13-3163817**

Part I General Information on Grants and Assistance

- 1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? **Yes** **No**
- 2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section (if applicable) | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of noncash assistance | (h) Purpose of grant or assistance |
|---|----------------|--|---------------------------------|--|--|--|---|
| THE UNIVERSITY OF MICHIGAN 3003 SOUTH STATE STREET ANN ARBOR, MI 48109 | 38-6006309 | 501(C)(3) | 116,738. | 0. | | | BASIC RESEARCH |
| BETH ISRAEL DEACONESS MEDICAL CENTER - 330 BROOKLINE AVENUE - BOSTON, MA 02215 | 04-2103881 | 501(C)(3) | 71,142. | 0. | | | BASIC RESEARCH |
| MAYO CLINIC COLLEGE OF MEDICINE 200 FIRST STREET SW ROCHESTER, MN 55905 | 41-6011702 | 501(C)(3) | 10,714. | 0. | | | BASIC RESEARCH |
| OREGON HEALTH AND SCIENCE UNIVERSITY - 3181 SW SAM JACKSON PARK ROAD - PORTLAND, OR 97239 | 93-1176109 | 501(C)(3) | 14,282. | 0. | | | BASIC RESEARCH |
| FRED HUTCHINSON CANCER RESEARCH CENTER - 1100 FAIRVIEW AVE N. - SEATTLE, WA 98109 | 23-7156071 | 501(C)(3) | 14,136. | 0. | | | BASIC RESEARCH |
| WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY - 1300 YORK AVENUE - NEW YORK, NY 10065 | 13-1623978 | 501(C)(3) | 150,000. | 0. | | | BASIC RESEARCH |

- 2** Enter total number of section 501(c)(3) and government organizations listed in the line 1 table ▶ 22.
- 3** Enter total number of other organizations listed in the line 1 table ▶

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) 2020

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| THE WISTAR INSTITUTE 3601 SPRUCE STREET PHILADELPHIA, PA 19104 | 23-6434390 | 501(C)(3) | 37,916. | 0. | | | BASIC RESEARCH |
| THE BRIGHAM AND WOMEN'S HOSPITAL, INC. - 75 FRANCIS STREET - BOSTON, MA 02115 | 04-2312909 | 501(C)(3) | 304,710. | 0. | | | BASIC RESEARCH |
| YALE UNIVERSITY 266 WHITNEY AVE NEW HAVEN, CT 06511 | 06-0646973 | 501(C)(3) | 203,289. | 0. | | | BASIC RESEARCH |
| UNIVERSITY OF CALIFORNIA, SAN FRANCISCO - 3333 CALIFORNIA STREET, SUITE 315 - SAN FRANCISCO, CA 94143 | 94-6036493 | 501(C)(3) | 1,652,000. | 0. | | | RESEARCH |
| JOHNS HOPKINS UNIVERSITY 733 N. BROADWAY MRB, SUITE 117 BALTIMORE, MD 21205 | 52-0595110 | 501(C)(3) | 593,360. | 0. | | | RESEARCH/TREAT ASIA |
| COLUMBIA UNIVERSITY 630 WEST 168TH ST, BOX 49 NEW YORK, NY 10032 | 13-5598093 | 501(C)(3) | 22,796. | 0. | | | TREAT ASIA |
| MASSACHUSETTS GENERAL HOSPITAL 101 HUNTINGTON AVE., SUITE 300 BOSTON, MA 02199 | 04-2697983 | 501(C)(3) | 109,947. | 0. | | | BASIC RESEARCH |
| UNIVERSITY OF IOWA 451 NEWTON ROAD IOWA CITY, IA 52242 | 42-6004813 | 501(C)(3) | 149,995. | 0. | | | BASIC RESEARCH |
| GLADSTONE INSTITUTE 1650 OWENS STREET SAN FRANCISCO, CA 94158 | 23-7203666 | 501(C)(3) | 50,000. | 0. | | | BASIC RESEARCH |

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|-------------------------------|--------------------------|-----------------------------------|---|--|------------------------------------|
| THE SCRIPPS RESEARCH INSTITUTE 10550 NORTH TORREY PINES ROAD LA JOLLA, CA 92037 | 33-0435954 | 501(C)(3) | 50,000. | 0. | | | BASIC RESEARCH |
| UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT TYLER - 11937 U.S. HIGHWAY 271 - TYLER, TX 75708 | 75-6001354 | 501(C)(3) | 20,001. | 0. | | | BASIC RESEARCH |
| AIDS WALK SAN FRANCISCO FOUNDATION 273 NINTH STREET SAN FRANCISCO, CA 94193 | 47-4861977 | 501(C)(3) | 7,500. | 0. | | | PREVENTION SCIENCE OUTREACH |
| HEALTH GAP (GLOBAL ACCESS PROJECT) 81 PROSPECT STREET BROOKLYN, NY 11201 | 20-5053765 | 501(C)(3) | 102,813. | 0. | | | PUBLIC POLICY |
| NATIONAL HOSPITAL OF TROPICAL DISEASES - 423 WEST 127 STREET, 4TH FLOOR - NEW YORK, NY 10027 | 94-3240841 | 501(C)(3) | 52,826. | 0. | | | PUBLIC POLICY |
| GLOBAL ACTION FOR TRANS EQUALITY GATE INC - 576 5TH AVENUE - NEW YORK, NY 10036 | 37-1762577 | 501(C)(3) | 34,594. | 0. | | | PUBLIC POLICY |
| UNIVERSITY OF CALIFORNIA, LOS ANGELES - 11000 KINROSS AVENUE, SUITE 211 - LOS ANGELES, CA 90095 | 95-6006143 | 501(C)(3) | 91,665. | 0. | | | BASIC RESEARCH |
| | | | | | | | |
| | | | | | | | |

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of noncash assistance |
|---------------------------------|--------------------------|--------------------------|-----------------------------------|---|---------------------------------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

PART I, LINE 2:

AMFAR PROVIDES GRANTS AND FELLOWSHIPS TO INDEPENDENT NOT-FOR-PROFIT ORGANIZATIONS THROUGH A PEER-REVIEW PROCESS. GRANT APPLICATIONS ARE FIRST REVIEWED BY THE FOUNDATION'S VOLUNTEER SCIENTIFIC ADVISORY COMMITTEE, WHICH COMPRISES RECOGNIZED EXPERTS IN THE MEDICAL, SCIENTIFIC, AND SOCIAL SCIENCES DISCIPLINES RELEVANT TO HIV AND AIDS. THE SCIENTIFIC ADVISORY COMMITTEE THEN SENDS ITS EVALUATIONS TO ONE OF THE THREE COMMITTEES (RESEARCH, GLOBAL INITIATIVES OR PUBLIC POLICY) OF THE FOUNDATION'S PROGRAM BOARD, WHICH SERVES IN AN ADVISORY CAPACITY TO THE BOARD OF TRUSTEES. AFTER

Part IV Supplemental Information

A PROGRAM COMMITTEE HAS COMPLETED ITS REVIEW OF THE APPLICATIONS, IT PRESENTS ITS FUNDING RECOMMENDATIONS TO AMFAR'S EXECUTIVE COMMITTEE AND/OR THE FULL BOARD OF TRUSTEES FOR FINAL APPROVAL AND FUNDING AUTHORIZATION. GRANTS AND FELLOWSHIPS ARE PAYABLE OVER A ONE-TO-THREE-YEAR PERIOD, AND ARE REVOCABLE AT AMFAR'S OPTION IF THE RECIPIENT'S PERFORMANCE OR USE OF FUNDS IS NOT CONSISTENT WITH THE TERMS OF THE GRANT OR FELLOWSHIPS. IN CERTAIN CASES, THE ACTUAL AMOUNTS PAID UNDER GRANTS AND FELLOWSHIP AWARDS MAY BE LESS THAN THE ORIGINAL AWARD IF THE RECIPIENT DOES NOT USE THE FULL AMOUNT AWARDED. THEREFORE, A RESERVE FOR UNEXPENDED GRANTS AND FELLOWSHIPS HAS BEEN RECORDED. SUBAWARDS ARE GRANTS AWARDED TO NOT-FOR-PROFIT ORGANIZATIONS TO SUPPORT THE COSTS OF COLLABORATION AND PARTICIPATION IN HIV/AIDS-RELATED RESEARCH PROJECTS FOR WHICH AMFAR HAS SECURED RESTRICTED FUNDS. SUBAWARDS ARE PAYABLE OVER A ONE-YEAR PERIOD, ALTHOUGH ADVANCE PAYMENTS, IN FULL OR IN PART, MAY BE ISSUED FOLLOWING EXECUTION OF THE SUBAWARD AGREEMENT. SUBAWARDS ARE CONTINGENT UPON THE AVAILABILITY OF FUNDS AND ARE REVOCABLE IF THE RECIPIENTS' PERFORMANCE OR USE OF FUNDS IS NOT CONSISTENT WITH THE SUBAWARD TERMS.

**SCHEDULE J
(Form 990)**

Compensation Information

OMB No. 1545-0047

2020

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
 ▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
 ▶ Attach to Form 990.
 ▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Department of the Treasury
Internal Revenue Service

Name of the organization
THE FOUNDATION FOR AIDS RESEARCH

Employer identification number
13-3163817

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (such as maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

3 Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|---|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input checked="" type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in or receive payment from a supplemental nonqualified retirement plan?
- c** Participate in or receive payment from an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization?
- If "Yes" on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?
- If "Yes" on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

| | Yes | No |
|-----------|-----|----|
| 1b | | |
| 2 | | |
| 4a | X | |
| 4b | X | |
| 4c | | X |
| 5a | | X |
| 5b | | X |
| 6a | | X |
| 6b | | X |
| 7 | | X |
| 8 | | X |
| 9 | | |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2020

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Retirement and other deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation in column (B) reported as deferred on prior Form 990 |
|--|------|--|-------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|---|
| | | (i) Base compensation | (ii) Bonus & incentive compensation | (iii) Other reportable compensation | | | | |
| (1) KEVIN FROST CHIEF EXECUTIVE OFFICER | (i) | 445,488. | 0. | 42,155. | 39,450. | 62,087. | 589,180. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (2) BRADLEY JENSEN ASSISTANT TREASURER/CFO | (i) | 267,465. | 0. | 0. | 19,875. | 47,934. | 335,274. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (3) ANNMARIE SHANNAHAN VICE PRESIDENT, PUBLIC INFO | (i) | 241,584. | 0. | 0. | 17,396. | 47,934. | 306,914. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (4) ANNETTE SOHN VICE PRESIDENT, TREAT ASIA | (i) | 254,537. | 0. | 0. | 18,093. | 8,339. | 280,969. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (5) ANTHONY ANCONA VICE PRESIDENT, HR | (i) | 194,456. | 0. | 0. | 14,050. | 47,934. | 256,440. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (6) ANDREW MCINNESS DIRECTOR, PUBLICATIONS | (i) | 173,945. | 0. | 0. | 12,689. | 62,087. | 248,721. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (7) GREGORIO MILLET VICE PRESIDENT, PUBLIC POLICY | (i) | 186,031. | 0. | 0. | 14,679. | 47,934. | 248,644. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (8) JONATHAN KEY (THRU 10/13/20) DIRECTOR, PHILANTHROPY | (i) | 165,687. | 0. | 59,525. | 4,107. | 19,177. | 248,496. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (9) SEBASTIAN GHEITH ASSOCIATE COUNSEL, ASST SECRETARY | (i) | 172,886. | 0. | 0. | 12,683. | 62,087. | 247,656. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (10) ROWENA JOHNSTON ASST SEC, VP, RESEARCH | (i) | 211,292. | 0. | 0. | 15,079. | 20,151. | 246,522. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (11) BENNAH SERFATY SENIOR DIRECTOR OF COMMUNICATIONS | (i) | 136,970. | 0. | 0. | 10,086. | 62,087. | 209,143. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (12) EDWARD DONNELLY ASST TREASURER, CONTROLLER | (i) | 170,841. | 0. | 0. | 12,495. | 18,442. | 201,778. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (13) ERIC MUSCATELL (THRU 07/31/20) VICE PRESIDENT OF DEVELOPMENT | (i) | 155,651. | 0. | 0. | 16,474. | 19,177. | 191,302. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| (14) SUE DOSTER CHIEF TECHNOLOGY OFFICER | (i) | 157,903. | 0. | 0. | 11,468. | 20,151. | 189,522. | 0. |
| | (ii) | 0. | 0. | 0. | 0. | 0. | 0. | 0. |
| | (i) | | | | | | | |
| | (ii) | | | | | | | |
| | (i) | | | | | | | |
| | (ii) | | | | | | | |

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINES 4A-B:

COMPENSATION

DIRECTOR OF PHILANTHROPY, JONATHAN KEY, RECEIVED A SEVERANCE PAYMENT OF

\$59,525 IN CALENDAR YEAR 2021; THIS SEVERANCE IS REPORTED IN FORM 990,

SCHEDULE J, PART II, COLUMN (B)(III).

CHIEF EXECUTIVE OFFICER, KEVIN FROST, PARTICIPATED IN A SUPPLEMENTAL

NONQUALIFIED RETIREMENT PLAN. IN CALENDAR YEAR 2021, AMFAR CONTRIBUTED

\$42,155 INTO MR. FROST'S NON-QUALIFIED RETIREMENT PLAN. THIS AMOUNT IS NOT

REPORTED IN SCHEDULE J, PART II, COLUMN (C) BECAUSE THE CONTRIBUTED AMOUNT

WAS DISTRIBUTED TO MR. FROST WITHIN THE SAME CALENDAR YEAR. INCLUDED IN

SCHEDULE J COLUMN (B)(III) IS MR. FROST'S 2021 EMPLOYER-FUNDED 457(F)

CONTRIBUTION OF \$42,155.

**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No. 1545-0047

2020

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- ▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
- ▶ Attach to Form 990.
- ▶ Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization **THE FOUNDATION FOR AIDS RESEARCH** Employer identification number **13-3163817**

Part I Types of Property

| | (a) Check if applicable | (b) Number of contributions or items contributed | (c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d) Method of determining noncash contribution amounts |
|--|----------------------------|---|--|---|
| 1 Art - Works of art | | | | |
| 2 Art - Historical treasures | | | | |
| 3 Art - Fractional interests | | | | |
| 4 Books and publications | | | | |
| 5 Clothing and household goods | | | | |
| 6 Cars and other vehicles | | | | |
| 7 Boats and planes | | | | |
| 8 Intellectual property | | | | |
| 9 Securities - Publicly traded | X | 7 | 217,327. FMV | |
| 10 Securities - Closely held stock | | | | |
| 11 Securities - Partnership, LLC, or trust interests | | | | |
| 12 Securities - Miscellaneous | | | | |
| 13 Qualified conservation contribution - Historic structures | | | | |
| 14 Qualified conservation contribution - Other | | | | |
| 15 Real estate - Residential | | | | |
| 16 Real estate - Commercial | | | | |
| 17 Real estate - Other | | | | |
| 18 Collectibles | | | | |
| 19 Food inventory | | | | |
| 20 Drugs and medical supplies | | | | |
| 21 Taxidermy | | | | |
| 22 Historical artifacts | | | | |
| 23 Scientific specimens | | | | |
| 24 Archeological artifacts | | | | |
| 25 Other () | | | | |
| 26 Other () | | | | |
| 27 Other () | | | | |
| 28 Other () | | | | |

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part V, Donee Acknowledgement **29**

| | Yes | No |
|---|-----|----|
| 30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? | | X |
| b If "Yes," describe the arrangement in Part II. | | |
| 31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions? | | X |
| 32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? | | X |
| b If "Yes," describe in Part II. | | |
| 33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II. | | |

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Multiple horizontal lines for supplemental information.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Open to Public
Inspection

Name of the organization

THE FOUNDATION FOR AIDS RESEARCH

Employer identification number

13-3163817

IMPACT OF COVID-19

THE EMERGENCE AND RAPID SPREAD OF COVID-19 ACROSS THE UNITED STATES IN

EARLY 2020 HAS IMPACTED WORLDWIDE ECONOMIC ACTIVITY AND FINANCIAL

MARKETS, HAD AN IMMEDIATE, SUBSTANTIAL AND SUSTAINED IMPACT ON AMFAR'S

OPERATIONAL AND FUNDRAISING CAPACITY. WITH FUNDRAISING PERFORMANCE TIED

IN LARGE PART TO AMFAR'S ABILITY TO ORCHESTRATE AND EXECUTE BENEFIT

GALAS ACROSS THE U.S. AND AROUND THE WORLD, THE CORONAVIRUS LOCKDOWN

AND STRICT LIMITATIONS ON INTERNATIONAL TRAVEL AND CONGREGATE EVENTS

BROUGHT THIS REVENUE STREAM TO A HALT ALMOST OVERNIGHT. SINCE THE

SPRING OF 2020, ALL LIVE FUNDRAISING EVENTS HAVE BEEN SUSPENDED, ALL

NONESSENTIAL EXPENSES HAVE BEEN ELIMINATED, AND AMFAR HAS BEEN

DEPENDENT ON ITS FINANCIAL RESERVES. THE FOUNDATION REMAINS IN A

HOLDING PATTERN UNTIL SUCH TIME AS WIDESPREAD VACCINE DISTRIBUTION HAS

CONTROLLED THE PANDEMIC TO THE EXTENT THAT UNFETTERED INTERNATIONAL

TRAVEL AND LARGE GATHERINGS OF PEOPLE CAN RESUME. MANAGEMENT HAS

CONSIDERED THE CONSEQUENCES OF COVID AND DETERMINED THAT THERE IS NO

CURRENT MATERIAL UNCERTAINTY IDENTIFIED THAT WOULD AFFECT ITS ABILITY

TO CONTINUE ITS MISSION TO END THE GLOBAL AIDS EPIDEMIC THROUGH

INNOVATIVE RESEARCH. HOWEVER, THERE IS SIGNIFICANT UNCERTAINTY AROUND

THE BREADTH AND DURATION OF BUSINESS DISRUPTIONS RELATED TO COVID, AS

WELL AS ITS IMPACT ON THE U.S. AND INTERNATIONAL ECONOMIES AND, AS

SUCH, THE FOUNDATION IS UNABLE TO DETERMINE IF IT WILL HAVE A FUTURE

MATERIAL NEGATIVE IMPACT ON ITS OPERATIONS OR FINANCIAL CONDITION.

ON MAY 6, 2020, AMFAR WAS GRANTED A LOAN IN AGGREGATE AMOUNT OF

\$1,643,364 PURSUANT TO THE SMALL BUSINESS ADMINISTRATION PAYCHECK

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) 2020

032211 11-20-20

| | |
|--|--|
| Name of the organization THE FOUNDATION FOR AIDS RESEARCH | Employer identification number 13-3163817 |
|--|--|

PROTECTION PROGRAM (THE "PPP") UNDER DIVISION A, TITLE 1 OF THE CARES

ACT (THE "PPP LOAN").

THE PPP LOAN, WHICH WAS IN THE FORM OF A NOTE DATED MAY 1, 2020,

MATURES ON MAY 6, 2022 AND BEARS INTEREST AT A RATE OF 1% PER ANNUM.

THE PPP LOAN MAY BE PREPAID BY AMFAR AT ANY TIME PRIOR TO MATURITY WITH

NO REPAYMENT PENALTIES. FUNDS FROM THE PPP LOAN MAY ONLY BE USED FOR

CERTAIN COSTS, SUCH AS PAYROLL COSTS AND OCCUPANCY EXPENSES. THE LOAN

AND ACCRUED INTEREST ARE FORGIVABLE AS LONG AS THE BORROWER USES THE

LOAN PROCEEDS AS DESCRIBED IN THE CARES ACT.

ON FEBRUARY 22, 2021, AMFAR WAS GRANTED A SECOND DRAW PPP LOAN IN

AGGREGATE AMOUNT OF \$ 1,677,930 PURSUANT TO THE SMALL BUSINESS

ADMINISTRATION PAYCHECK PROTECTION PROGRAM (THE "PPP") UNDER DIVISION

A, TITLE 1 OF THE CARES ACT (THE "PPP LOAN").

AMFAR USED THE BOTH PPP LOANS FOR QUALIFYING EXPENSES AS OF SEPTEMBER

30, 2021, AS DESCRIBED IN THE CARES ACT AND THE LOANS WERE FORGIVEN.

THE PPP LOANS ARE REPORTED AS GOVERNMENTAL GRANT REVENUE ON THE CURRENT

YEAR FORM 990 IN PART VIII, LINE 1(E).

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE FOUNDATION FOR AIDS RESEARCH IS AN INTERNATIONAL NOT-FOR-PROFIT

ORGANIZATION INCORPORATED IN NEW YORK IN 1989. AMFAR WAS FORMED THROUGH

THE UNIFICATION IN 1985 OF TWO NOT-FOR-PROFIT ORGANIZATIONS, THE AIDS

MEDICAL FOUNDATION ("AMF"), INCORPORATED IN NEW YORK IN APRIL 1983, AND

THE NATIONAL AIDS RESEARCH FOUNDATION, INCORPORATED IN CALIFORNIA IN

AUGUST 1985. FIRST BASED IN CALIFORNIA, AMFAR TRANSFERRED ITS LEGAL

| | |
|--|--|
| Name of the organization THE FOUNDATION FOR AIDS RESEARCH | Employer identification number 13-3163817 |
|--|--|

DOMICILE TO NEW YORK IN 1989, USING THE INITIAL INCORPORATION DOCUMENTS OF AMF, MAKING IT AMF'S LEGAL SUCCESSOR. AMFAR HAS OFFICES IN NEW YORK, NY, WASHINGTON, D.C., AND BANGKOK, THAILAND. ON MARCH 7, 2005, THE BOARD OF TRUSTEES OF THE AMERICAN FOUNDATION FOR AIDS RESEARCH APPROVED A CHANGE IN LEGAL NAME TO "THE FOUNDATION FOR AIDS RESEARCH." ON OCTOBER 18, 2005, THE NEW YORK STATE DEPARTMENT OF STATE APPROVED THIS CHANGE. IN ADDITION, THE FOUNDATION HAS SECURED APPROVAL FOR DOING BUSINESS AS (DBA) THE FOLLOWING:

- AMERICAN FOUNDATION FOR AIDS RESEARCH
- AMFAR
- AIDS RESEARCH FOUNDATION

AMFAR IS DEDICATED TO ENDING THE GLOBAL AIDS EPIDEMIC THROUGH INNOVATIVE RESEARCH. THE FOUNDATION ACCOMPLISHES THIS MISSION THROUGH: RESEARCH TO EXPLORE SCIENTIFIC APPROACHES TO HIV PREVENTION, TREATMENT, AND POTENTIAL CURES, AND TO ENHANCE THE HEALTH AND SURVIVAL OF PEOPLE LIVING WITH HIV/AIDS;

- INTERNATIONAL INITIATIVES TO FACILITATE THE DEVELOPMENT AND IMPLEMENTATION OF EFFECTIVE RESEARCH, TREATMENT, PREVENTION, AND EDUCATION STRATEGIES IN LOW- AND MIDDLE-INCOME COUNTRIES;
- PUBLIC POLICY ANALYSIS AND THE ADVOCACY OF RATIONAL AND COMPASSIONATE POLICIES THAT PROMOTE PUBLIC HEALTH AND PROTECT THE RIGHTS OF PEOPLE THREATENED BY HIV/AIDS;
- EDUCATIONAL INITIATIVES TO BUILD AWARENESS OF THE CONTINUED THREAT HIV/AIDS POSES AND TO PUBLISH UPDATES ABOUT THE LATEST MEDICAL, SCIENTIFIC, AND PREVENTION ADVANCES FOR PEOPLE LIVING WITH HIV/AIDS, HEALTHCARE PROFESSIONALS, AND THE PUBLIC.

| | |
|--|--|
| Name of the organization THE FOUNDATION FOR AIDS RESEARCH | Employer identification number 13-3163817 |
|--|--|

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

AMFAR FELLOWSHIPS ALLOW TALENTED YOUNG RESEARCHERS TO CONDUCT ORIGINAL INVESTIGATIONS UNDER THE GUIDANCE OF EXPERIENCED SCIENTISTS, HELPING TO ENSURE THE LONG-TERM VITALITY OF AIDS RESEARCH.

NEW GRANTS AND FELLOWSHIPS

GRANTS AND FELLOWSHIPS ARE AWARDED THROUGH A RIGOROUS PROCESS OF PEER REVIEW BY A TEAM OF INDEPENDENT HIV/AIDS EXPERTS DRAWN LARGELY FROM THE VOLUNTEER SCIENTISTS ON AMFAR'S SCIENTIFIC ADVISORY COMMITTEE. GUIDED BY ITS SCIENTIFIC ADVISORS AND WITH THE APPROVAL OF ITS BOARD OF TRUSTEES, AMFAR PURSUES A STRATEGIC RESEARCH PLAN THAT FOCUSES ON FINDING A CURE FOR HIV. AMFAR'S GRANTMAKING PROGRAM CONSISTS OF THE FOLLOWING TYPES OF GRANTS:

MAGNET GRANTS

UNITING DATA SCIENTISTS WITH HIV RESEARCHERS, THESE ONE-YEAR GRANTS OF UP TO \$150,000 ARE HARNESSING ADVANCES IN MACHINE-LEARNING ALGORITHMS TO FIND PATTERNS IN DATASETS THAT MAY ADVANCE OUR SEARCH FOR A CURE.

SYNERGY GRANTS

THESE GRANTS CAPITALIZE ON UNFORESEEN POSSIBILITIES FOR COLLABORATION AND ENABLE US TO ADD NEW STUDY ARMS ONTO EXISTING AMFAR GRANTS.

TARGET GRANTS

THESE GRANTS FACILITATE BASIC AND PRECLINICAL BIOMEDICAL RESEARCH FINDINGS THAT PROVIDE THE FOUNDATION FOR NEW APPROACHES TO AN HIV CURE.

| | |
|--|--|
| Name of the organization THE FOUNDATION FOR AIDS RESEARCH | Employer identification number 13-3163817 |
|--|--|

MATHILDE KRIM FELLOWSHIPS IN BASIC BIOMEDICAL RESEARCH

NAMED FOR AMFAR'S FOUNDING CHAIRMAN DR. MATHILDE KRIM, THIS FELLOWSHIP

PROGRAM SUPPORTS PROMISING EARLY-CAREER SCIENTISTS ADVANCING INNOVATIVE

SOLUTIONS TO HIV/AIDS.

COUNTDOWN TO A CURE FOR AIDS

IN 2015, AMFAR ANNOUNCED A MULTIMILLION-DOLLAR INVESTMENT STRATEGY TO

SUPPORT ITS COUNTDOWN TO A CURE FOR AIDS (C2C) INITIATIVE, WHICH WAS

AIMED AT DEVELOPING THE SCIENTIFIC BASIS FOR A CURE BY THE END OF 2020.

ESTABLISHED WITH A \$20 MILLION GRANT OVER FIVE YEARS TO THE UNIVERSITY

OF CALIFORNIA, SAN FRANCISCO, THE AMFAR INSTITUTE FOR HIV CURE RESEARCH

WAS THE CORNERSTONE OF C2C. THE STRATEGY WAS DESIGNED TO PROVIDE

SUPPORT TO ANY SCIENTIST OR TEAM OF INVESTIGATORS FOR ANY RESEARCH IDEA

WITH THE POTENTIAL TO ADVANCE THE SEARCH FOR A CURE, AT ANY STAGE OF

ITS DEVELOPMENT. SINCE LAUNCHING C2C, AMFAR HAS AWARDED 89 COUNTDOWN

GRANTS TOTALING MORE THAN \$50 MILLION TO SUPPORT RESEARCH CONDUCTED BY

307 SCIENTISTS WORKING AT 103 INSTITUTIONS IN 16 COUNTRIES.

TARGET GRANTS

IN NOVEMBER 2020 AMFAR AWARDED FUNDING TO A RESEARCHER EXPLORING AN HIV

CURE APPROACH THAT HAS PREVIOUSLY SHOWN PROMISE IN CANCER THERAPY.

VETERAN HIV RESEARCHER DR. JEROME ZACK, OF THE UNIVERSITY OF

CALIFORNIA, LOS ANGELES, IS EMPLOYING A NEW INTERVENTION TO ATTEMPT TO

RID THE BODY OF THE PERSISTENT RESERVOIR OF HIVTHE ULTIMATE BARRIER TO

A CURE. DR. ZACK AND COLLEAGUES ARE USING NATURAL KILLER (NK) CELLS IN

AN EFFORT TO ELIMINATE CELLS HARBORING RESERVOIR VIRUS FROM THE BODY.

NK CELLS ARE THE FOOT SOLDIERS OF THE INNATE IMMUNE SYSTEM, DELIVERING

AN IMMEDIATE AND POTENT COUNTERATTACK AGAINST INFECTIOUS AGENTS SUCH AS

| | |
|--|--|
| Name of the organization THE FOUNDATION FOR AIDS RESEARCH | Employer identification number 13-3163817 |
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HIV.

THESE CELLS CAN BE ADMINISTERED EITHER IN THEIR NATURALLY OCCURRING FORM, OR THEY CAN BE ENGINEERED AS CAR-NK CELLS TO ENHANCE THEIR ABILITY TO KILL THEIR TARGETS. TO COMPARE THE ABILITY OF UNALTERED AND CAR-NK CELLS TO AFFECT THE REBOUND OF VIRUS WHEN ANTIRETROVIRAL THERAPY IS WITHDRAWN, THE RESEARCHERS ARE EMPLOYING SEVERAL NOVEL TECHNOLOGIES DEVELOPED BY DR. ZACK. THESE INCLUDE THE INSERTION OF A BARCODE INTO VIRUSES SO THAT THE FATE OF EACH INDIVIDUAL VIRUS CAN BE TRACKED, AND USE OF A LATENCY REVERSING AGENT DEVELOPED IN HIS LAB.

IN JUNE 2021 AMFAR AWARDED APPROXIMATELY \$600,000 IN NEW FUNDING TO RESEARCHERS PIONEERING GENE THERAPY APPROACHES TO TARGET AND ELIMINATE THE HIV RESERVOIR WITH FAR GREATER SPECIFICITY THAN IS CURRENTLY POSSIBLE.

DR. TODD ALLEN OF THE RAGON INSTITUTE OF MGH, MIT AND HARVARD, AND COLLEAGUES, HAVE IDENTIFIED A GROUP OF ANTIBODIES THEY HAVE CALLED BROADLY FUNCTIONAL ANTIBODIES (BFABS). THESE BFABS ARE ADEPT AT BINDING TO INFECTED CELLS AND FLAGGING THEM FOR DESTRUCTION BY OTHER COMPONENTS OF THE IMMUNE SYSTEM SUCH AS NATURAL KILLER (NK) CELLS. DR. ALLEN AND HIS TEAM AIM TO TEST THE BFABS ALONE AND IN COMBINATION WITH GENETICALLY ENGINEERED CAR T CELLS WITH ENHANCED ABILITY TO KILL CELLS INFECTED BY HIV THAT HIS TEAM HAS ALREADY DESIGNED. THE INTERVENTIONS WILL BE TESTED IN HIV-INFECTED MICE POPULATED WITH HUMAN IMMUNE CELLS AND WILL TARGET ONLY THOSE CELLS THAT ARE INFECTED WITH HIV.

CAR T CELLS HAVE PROVEN HIGHLY EFFECTIVE AGAINST CERTAIN BLOOD CANCERS,

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BUT LESS SO AGAINST HIVPARTLY BECAUSE THEY ARE SUSCEPTIBLE TO INFECTION

AND DESTRUCTION BY THE VIRUS. THE IMMENSE GENETIC VARIABILITY AMONG

VIRUSES EVEN WITHIN AN INDIVIDUAL WITH HIV ALSO PRESENTS A FORMIDABLE

CHALLENGE. A TEAM LED BY DR. ANASTASIOS KARADIMITRIS OF IMPERIAL

COLLEGE, LONDON, PLANS TO ADDRESS BOTH ISSUES BY USING CELLS THAT

COMBINE THE CHARACTERISTICS OF NATURALLY OCCURRING NK CELLS AND T CELLS

AS THE BASIS FOR THEIR CAR CELL THERAPY. THESE INVARIANT (I) NKT CELLS

DO NOT CARRY THE CD4 PROTEIN ON THEIR SURFACE AND THUS ARE RESISTANT TO

HIV INFECTION. TO ADDRESS GENETIC BREADTH, THE RESEARCHERS WILL USE

COMBINATIONS OF UP TO THREE TARGETING STRATEGIES. UNLIKE CONVENTIONAL T

CELLS, THERE IS LITTLE VARIABILITY IN INKT CELLS AMONG THE HUMAN

POPULATION, SO IT MAY BE POSSIBLE TO DESIGN "OFF THE SHELF" CAR-INKT

CELLS THAT WOULD WORK IN MOST PEOPLE WITH HIV, RATHER THAN HAVING TO

MANUFACTURE CAR T CELLS FROM EACH INDIVIDUAL PATIENT.

CRISPR/CAS GENE-EDITING TECHNOLOGY HAS SHOWN BREAKTHROUGH POTENTIAL FOR

TREATING A RANGE OF MEDICAL CONDITIONS. IN THE FIELD OF HIV CURE

RESEARCH, THE PROSPECT OF BEING ABLE TO EXCISE THE VIRUS WHEREVER IT

HAS INSERTED ITSELF INTO HOST DNA IS A TANTALIZING ONE. BUT ITS

DELIVERY REMAINS A CHALLENGE. ACROSS THE GENOME THERE ARE VERY TIGHTLY

WOUND REGIONS THAT LIMIT ACCESS TO THE DNA AND HAVE PROVEN DIFFICULT

FOR CRISPR/CAS TO PENETRATE EFFECTIVELY. RECENT EVIDENCE SHOWS THAT HIV

SOMETIMES INTEGRATES INTO THESE "GENE DESERTS."

DR. JORI SYMONS OF UNIVERSITY MEDICAL CENTER UTRECHT, AND COLLEAGUES,

PLAN TO TEST WHETHER THIS EXPLAINS THE RESISTANCE OF SOME VIRUSES TO

EXCISION BY CRISPR/CAS. THEY AIM TO INCREASE THE GENE-EDITING TOOL'S

EFFECTIVENESS WITH DRUGS THAT OPEN UP AND EXPOSE THE DNA.

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COVID-19 GRANTS

EXPANDING ITS EFFORTS TO INCLUDE RESEARCH ON THE NOVEL CORONAVIRUS, IN

APRIL 2020 AMFAR ESTABLISHED THE AMFAR FUND TO FIGHT COVID-19.

IN JULY 2021 AMFAR AWARDED APPROXIMATELY \$700,000 TO RESEARCHERS TRYING

TO ANSWER TWO KEY QUESTIONS AT THE INTERSECTION OF HIV AND COVID-19.

WHAT ARE THE RISKS FOR COVID "LONG-HAULERS" (THOSE WHO CONTINUE TO

EXPERIENCE DEBILITATING SYMPTOMS LONG AFTER CLEARING INFECTION) WHO ARE

LIVING WITH HIV?

A RECENT STUDY IN THE UK SUGGESTS THAT AS MANY AS 10% OF PEOPLE

DIAGNOSED WITH COVID-19 EXPERIENCE SYMPTOMS FOR THREE MONTHS OR MORE

AFTER CONTRACTING THE INFECTION. BUT LITTLE IS KNOWN ABOUT LONG COVID

BEYOND THE FACT THAT COMMON SYMPTOMS SUCH AS PERSISTENT FATIGUE, MUSCLE

WEAKNESS, BRAIN FOG, SLEEP DIFFICULTIES, ANXIETY AND DEPRESSION CAN BE

EXTREMELY DEBILITATING.

DR. ANNUKKA ANTAR OF JOHNS HOPKINS UNIVERSITY, AND DR. MICHAEL PELUSO

OF THE UNIVERSITY OF CALIFORNIA, SAN FRANCISCO, PLAN TO COMPARE THIS

PHENOMENON ACROSS THREE GROUPS OF STUDY PARTICIPANTS FROM ACROSS THE

U.S. IN THE STUDY, PWH WHO HAVE SURVIVED COVID-19 ARE COMPARED TO PWH

WITH NO HISTORY OF COVID AND SURVIVORS WHO ARE HIV-NEGATIVE. USING A

MOBILE PHLEBOTOMY SERVICE, DRs. ANTAR AND PELUSO ALSO PLAN TO COLLECT

BLOOD SAMPLES AND STUDY VITAL SIGNS TO AID IN UNDERSTANDING THE

BIOLOGICAL UNDERPINNINGS OF LONG-TERM COVID.

WHAT, IF ANY, EFFECT DOES SARS-COV-2 HAVE ON THE HIV RESERVOIR?

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THE STRONG IMMUNE RESPONSES ASSOCIATED WITH CORONAVIRUS INFECTION MAY IMPACT THE SIZE OF THE HIV RESERVOIR. THIS DEPENDS ON HOW THESE IMMUNE RESPONSES AFFECT T CELLS, THE MAIN TARGET OF HIV INFECTION, AND THE EFFECTIVENESS OF ANTIRETROVIRAL THERAPY AT PREVENTING INFECTION OF PREVIOUSLY UNINFECTED CELLS.

DR. MATHIAS LICHTERFELD, OF MASSACHUSETTS GENERAL HOSPITAL, AND COLLEAGUES AIM TO ASSESS THE SIZE AND NATURE OF THE RESERVOIR IN PWH BEFORE AND AFTER CORONAVIRUS INFECTION. THE RESEARCHERS ARE COMPARING THE PERCENTAGE OF CELLS THAT ARE HIV-INFECTED WITH SAMPLES STORED BEFORE THE COVID-19 PANDEMIC. THEY ARE ALSO LOOKING FOR ANY EFFECT OF THE SITE OF HIV INTEGRATION WITHIN THE HUMAN DNA ON RESERVOIR SIZE AND ASSESSING THE LIKELIHOOD OF ACTIVATION BY COVID-ASSOCIATED IMMUNE RESPONSES. UNDERSTANDING THESE CHANGES WILL HELP INFORM FUTURE HIV CURATIVE INTERVENTIONS.

MATHILDE KRIM FELLOWSHIPS

THE RECIPIENTS OF THE 2021 MATHILDE KRIM FELLOWSHIPS IN BIOMEDICAL RESEARCH DR. ALEKSANDAR ANTANASIJEVIC OF THE SCRIPPS RESEARCH INSTITUTE, LA JOLLA, CA, AND DR. UJJWAL RATHORE OF THE GLADSTONE INSTITUTES, SAN FRANCISCO, CA WERE ANNOUNCED IN MARCH 2021. DR. ANTANASIJEVIC IS USING A SOPHISTICATED IMAGING TECHNOLOGY CALLED CRYOEMPEM TO ADDRESS THE OBSTACLES THAT MAKE DEVELOPING AN HIV VACCINE SO CHALLENGING. USING A NEWLY DEVELOPED CRISPR-CAS9 GENE-EDITING SYSTEM, DR. RATHORE'S RESEARCH SEEKS TO UNDERSTAND HOW HUMAN CELLS HELP MAINTAIN HIV LATENCY, A MAIN BARRIER TO A CURE. EACH FELLOW IS AWARDED APPROXIMATELY \$150,000 OVER TWO YEARS.

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KRIM FELLOW DR. MAOLIN LU, OF UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER

AT TYLER, WAS AWARDED A SECOND PHASE GRANT OF \$80,000 IN JUNE 2021. DR.

LU IS A STRUCTURAL BIOLOGIST AND EXPERT IN THE USE OF STATE-OF-THE-ART

IMAGING TECHNIQUES. SHORTLY BEFORE RECEIVING A KRIM FELLOWSHIP IN 2019,

DR. LU PUBLISHED A GROUNDBREAKING STUDY IN NATURE THAT UPENDED THE HIV

VACCINE FIELD. DR. LU'S AMFAR-FUNDED STUDY BUILT ON HER OWN

GROUNDBREAKING RESEARCH.

PUBLISHED RESEARCH

RESEARCH STUDIES MAKE THE GREATEST IMPACT ON THE HIV FIELD AND ON THE

BROADER SCIENTIFIC COMMUNITY WHEN THEY ARE PUBLISHED IN SCIENTIFIC

JOURNALS. IN FY2021, 45 SCIENTIFIC PUBLICATIONS RESULTED FROM

AMFAR-FUNDED RESEARCH. EXAMPLES INCLUDE:

PREDICTING POST-TREATMENT CONTROL OF HIV

FINDING A BIOMARKER THAT CAN PREDICT THE LIKELIHOOD OF HIV REMISSION

AFTER THE CESSATION OF TREATMENT IS A KEY BARRIER TO ERADICATING HIV.

WITH THE HELP OF MACHINE-LEARNING TOOLS, AMFAR-FUNDED RESEARCHERS LED

BY DR. MOHAMED ABDEL-MOHSEN DEVELOPED BLOOD-BASED BIOMARKERS THAT WERE

ABLE TO PREDICT THE PROBABILITY OF VIRAL REBOUND WITH ALMOST 98%

ACCURACY. THE STUDY, PUBLISHED IN THE INFLUENTIAL JOURNAL NATURE

COMMUNICATIONS, COULD HELP FILL A CRITICAL GAP IN THE HIV CURE RESEARCH

FIELD.

CAR T CELLS FOR HIV CURE

CAR T CELLS HAVE REVOLUTIONIZED THE TREATMENT OF CERTAIN LEUKEMIAS AND

LYMPHOMAS. AMFAR GRANTEE DR. SCOTT KITCHEN AND JEROME ZACK OVERCAME

TWO MAJOR CHALLENGES TO THE USE OF THIS THERAPY TO KILL RESERVOIR CELLS

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AND CURE HIV. AS DESCRIBED IN PLOS PATHOGENS, THEY GENE-EDITED STEM
 CELLS TO IMPROVE THE LONG-TERM SURVIVAL OF THE RESULTING CAR T CELLS
 AND USED A GENE MODIFICATION THAT NOT ONLY PROTECTS THE CELLS FROM HIV
 INFECTION, BUT ALSO IMPROVES THEIR CONVERSION FROM STEM CELLS TO CAR T
 CELLS. THE RESEARCHERS ALSO REPORTED IN JCI INSIGHT THAT THESE CELLS
 TRAFFIC TO TISSUE REGIONS WHERE RESERVOIRS ARE MOST CONCENTRATED.

MEET THE SCIENTISTS
 IN HONOR OF WORLD AIDS DAY, IN DECEMBER 2020 AMFAR HELD A VIRTUAL
 CONVENING OF MEET THE SCIENTISTS. VICE PRESIDENT AND DIRECTOR OF
 RESEARCH, DR. ROWENA JOHNSTON, MODERATED A STIMULATING DISCUSSION WITH
 AMFAR GRANTEES DR. TIMOTHY SCHACKER OF THE UNIVERSITY OF MINNESOTA
 MEDICAL SCHOOL AND DR. JEROME ZACK OF THE UNIVERSITY OF CALIFORNIA, LOS
 ANGELES.

THE EVENT FOCUSED ON STATE-OF-THE-ART RESEARCH ON NATURAL KILLER (NK)
 CELLS, THE SUBJECT OF GROWING INTEREST AS PART OF A CURE FOR HIV. DR.
 SCHACKER COMPLETED AN AMFAR PROJECT EXPLORING TRANSPLANTATION OF NK
 CELLS BETWEEN CLOSE RELATIVES AS A MEANS OF REDUCING THE RESERVOIR IN
 THE HIV-POSITIVE RECIPIENT. AND AMFAR RECENTLY AWARDED FUNDING TO DR.
 ZACK, WHO IS COMPARING THE ABILITY OF NORMAL VERSUS ENGINEERED NK CELLS
 TO ELIMINATE CELLS HARBORING RESERVOIR VIRUS FROM THE BODY (SEE ABOVE).

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:
 TREAT ASIA SCIENTISTS PRODUCED 28 PUBLICATIONS IN PEER-REVIEWED MEDICAL
 JOURNALS IN FY2021.

INTERNATIONAL AIDS DATABASE

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TREAT ASIA MANAGES THE ASIA-PACIFIC SECTION OF THE INTERNATIONAL
EPIDEMIOLOGY DATABASES TO EVALUATE AIDS (IEDEA), A GLOBAL COLLABORATION
ESTABLISHED BY THE U.S. NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS
DISEASES.

TREAT ASIA HIV OBSERVATIONAL DATABASE (TAHOD)

TREAT ASIA PIONEERED THE REGION'S FIRST ADULT OBSERVATIONAL DATABASE
FOR HIV/AIDS, WHICH NOW INCLUDES ANONYMOUS DATA FROM MORE THAN 10,000
PATIENTS AT 21 CLINICAL SITES IN 12 COUNTRIES. THE INFORMATION GATHERED
IN THE DATABASE INFORMS THE DEVELOPMENT OF MORE EFFECTIVE RESEARCH AND
TREATMENT PROGRAMS AND HELPS DEFINE TREATMENT STANDARDS SPECIFIC TO
HIV/AIDS IN ASIA.

TAHOD LOW-INTENSITY TRANSFER

LAUNCHED IN 2014, TAHOD LOW-INTENSITY TRANSFER (TAHOD-LITE) CONTAINS
DATA FROM OVER 51,000 HIV-POSITIVE PATIENTS ACROSS 11 TREAT ASIA
NETWORK SITES. AS AN EXTENSION OF TAHOD, TAHOD-LITE AIMS TO INCREASE
THE SCOPE OF ADULT DATA COLLECTION BY GATHERING A SUBSET OF CORE
VARIABLES FROM THE ENTIRE COHORT OF HIV-INFECTED PATIENTS WHO HAVE
SOUGHT CARE AT SELECTED TAHOD SITES.

TREAT ASIA PEDIATRIC HIV OBSERVATIONAL DATABASE

THE TREAT ASIA PEDIATRIC HIV OBSERVATIONAL DATABASE (TAPHOD) IS A
REGIONAL PEDIATRIC HIV STUDY SET UP BY TREAT ASIA IN 2006. IT WAS
MODELED AFTER THE ADULT DATABASE AND INCLUDES DATA FROM MORE THAN 7,400
CHILDREN AND ADOLESCENTS AT 17 CLINICAL SITES IN CAMBODIA, INDIA,
INDONESIA, MALAYSIA, THAILAND, AND VIETNAM.

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TAHOD AND TAPHOD ANNUAL MEETINGS

IN NOVEMBER 2020 BOTH THE TAHOD AND TAPHOD STEERING COMMITTEES HELD THEIR ANNUAL MEETINGS, WITH THAILAND-BASED INVESTIGATORS ATTENDING IN BANGKOK AND REGIONAL INVESTIGATORS JOINING VIRTUALLY. ATTENDED BY INVESTIGATORS AND STUDY COORDINATORS, THE MEETINGS INCLUDED UPDATES AND DISCUSSIONS ON TAHOD AND TAPHOD DATA, PROPOSED ANALYSES, AND ONGOING AND NEW SUB-STUDIES.

INTEGRATING HIV, MENTAL HEALTH, AND IMPLEMENTATION SCIENCE RESEARCH IN 2019, THE U.S. NATIONAL INSTITUTES OF HEALTH AWARDED A FIVE-YEAR, \$1.4 MILLION GRANT TO TREAT ASIA AND COLUMBIA UNIVERSITY TO ESTABLISH AN INNOVATIVE PLATFORM FOR INTEGRATING HIV, MENTAL HEALTH, AND IMPLEMENTATION SCIENCE RESEARCH IN THE ASIA-PACIFIC REGION. THE OBJECTIVE OF THE CHIMERA PROGRAM (CAPACITY DEVELOPMENT FOR HIV AND MENTAL HEALTH RESEARCH IN ASIA) IS TO ADDRESS THE DUAL AND INTERLINKED BURDENS OF HIV AND MENTAL HEALTH. CO-LED BY PRINCIPAL INVESTIGATORS DR. ANNETTE SOHN, AMFAR VICE PRESIDENT AND DIRECTOR OF TREAT ASIA, AND DR. MILTON WAINBERG, PROFESSOR OF CLINICAL PSYCHIATRY AT COLUMBIA UNIVERSITY AND THE NEW YORK STATE PSYCHIATRIC INSTITUTE, THE PROGRAM AIMS TO BUILD A TEAM WITHIN THE ASIA-PACIFIC WITH THE CAPACITY TO LEAD REGIONAL HIV-MENTAL HEALTH-IMPLEMENTATION SCIENCE RESEARCH THAT WILL INFORM PUBLIC HEALTH POLICY AND IMPROVE THE QUALITY OF CLINICAL CARE FOR PEOPLE LIVING WITH HIV.

THE PROGRAM IS NESTED WITHIN THE IEDEA (SEE ABOVE) ASIA-PACIFIC REGIONAL RESEARCH NETWORK THAT TREAT ASIA DIRECTS. CHIMERA CREATES THE OPPORTUNITY TO BRING TOGETHER STELLAR TRAINING FACULTY FROM ACADEMIC CENTERS AND PUBLIC HEALTH AND DEVELOPMENT AGENCIES WITHIN THE REGION

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AND ACROSS THE WORLD, AND WILL BUILD ON EXISTING NIH-FUNDED MENTAL

HEALTH RESEARCH BEING CONDUCTED THROUGH IEDEA ASIA-PACIFIC.

IN DECEMBER 2020, A FINAL WORKSHOP FOR THE FIRST CLASS OF CHIMERA

FELLOWS WAS HELD REMOTELY, COVERING IMPLEMENTATION RESEARCH METHODS,

RESPONSIBLE CONDUCT OF RESEARCH, POLICY DEVELOPMENT, STIGMA, COMMUNITY

PARTICIPATION IN RESEARCH, AND SCIENTIFIC WRITING. SIX OF EIGHT CLASS I

FELLOWS BEGAN IMPLEMENTATION OF THEIR PILOT RESEARCH STUDIES IN 2021. A

SECOND CLASS OF EIGHT FELLOWS ATTENDED PROGRAM ORIENTATION IN APRIL

2021.

COVID-19

TREAT ASIA IS COLLABORATING WITH THE INSTITUTE OF HIV RESEARCH AND

INNOVATION (IHRI), THAI RED CROSS AIDS RESEARCH CENTER (TRC-ARC)

LABORATORY, AND THE NIH VACCINE RESEARCH CENTER (VRC) TO CONDUCT

SARS-COV-2 SEROLOGIC ASSAYS OF THAI ADULTS TAKING AND NOT TAKING

ANTIRETROVIRAL DRUGS FOR PRE-EXPOSURE PROPHYLAXIS AND TREATMENT OF HIV.

IN SEPTEMBER 2020, MORE THAN 1,800 SAMPLES WERE SENT TO THE NATIONAL

INSTITUTES OF HEALTH. IN 2021 THE NIH VRC PROPOSED AN EXPANSION OF THE

STUDY COLLABORATION TO FOLLOW LONGITUDINAL SEROLOGIC PATTERNS AND

ASSESS FOR INFECTIONS WITH OTHER VIRAL PATHOGENS. A DRAFT PROTOCOL WAS

DEVELOPED AND SHARED WITH THE WORKING GROUP IN SEPTEMBER 2021.

IN 2021 TREAT ASIA LAUNCHED A NEW WEBSITEWWW.COVID19ASIAINFO.ORGAN

IMPORTANT NEW SOURCE OF INFORMATION ON COVID VACCINES AND THERAPEUTICS

RELEVANT TO THE ASIA-PACIFIC REGION.

THROUGHOUT THE YEAR, TREAT ASIA CONTINUED TO PARTICIPATE IN MEETINGS

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HELD VIRTUALLY WITH ORGANIZATIONS AND COMMUNITY MEMBERS FROM COUNTRIES

THROUGHOUT THE ASIA-PACIFIC REGION TO NAVIGATE THE IMPACTS OF COVID-19

ON CRITICAL HIV AND HEPATITIS SERVICES AND INITIATIVES.

HELPING ADOLESCENTS TRANSITION TO ADULT CARE

MORE THAN ONE IN FOUR NEW HIV INFECTIONS IN THE ASIA-PACIFIC OCCUR IN

YOUNG PEOPLE AGED 15-24. CONTINUING ITS COMMITMENT TO HELPING

ADOLESCENTS TRANSITION TO ADULT CARE, IN 2019 TREAT ASIA INITIATED

PLANS FOR A STUDY OF ADOLESCENTS AND YOUNG ADULTS LIVING WITH HIV. A

TOTAL OF AT LEAST 75 HIV-DISCLOSED ADOLESCENTS WITH HIV WILL BE

ENROLLED FROM THREE PARTICIPATING SITES IN THAILAND AND THE PHILIPPINES

FOR INITIAL AND 12-MONTH FOLLOW-UP ASSESSMENTS. CRITICAL OUTCOMES

INCLUDING VIRAL SUPPRESSION, TREATMENT ADHERENCE, PREGNANCY, AND

MORTALITY WILL BE ASSESSED. ENROLLMENT BEGAN IN 2021.

PUBLICATIONS

IN 2021 TREAT ASIA CONTINUED TO PUBLISH LAY-LANGUAGE ARTICLES ON

HIV/AIDS RESEARCH, POLICY, AND COMMUNITY ISSUES FACING THE ASIA-PACIFIC

AS A WHOLE. THE ARTICLES AND EDUCATIONAL PIECES APPEAR ON TREAT ASIA'S

WEBSITE, WWW.TREATASIA.ORG.

IAS 2021 AND OTHER CONFERENCES

TREAT ASIA STAFF AND NETWORK INVESTIGATORS ATTENDED AND PRESENTED AT

SEVERAL REGIONAL AND INTERNATIONAL CONFERENCES ON HIV-RELATED ISSUES.

TREAT ASIA HAD A STRONG PRESENCE AT THE 11TH IAS CONFERENCE ON HIV

SCIENCE (IAS 2021) HELD VIRTUALLY IN JULY 2021. TREAT ASIA DIRECTOR DR.

ANNETTE SOHN MODERATED A SESSION THAT REVIEWED THE EVIDENCE BEHIND

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CURRENT TREATMENTS USED FOR COVID-19 AND ITS RELATED CONDITIONS, AND

EXAMINED HOW THERAPEUTICS COULD BE RAPIDLY EVALUATED FOR COVID AND

OTHER EMERGING INFECTIONS. DR. SOHN ALSO CO-CHAIRING A JOURNAL OF THE

INTERNATIONAL AIDS SOCIETY SPECIAL SESSION ON THE CRITICAL ROLE OF

IMPLEMENTATION SCIENCE IN THE HIV RESPONSE AND FOR GLOBAL GUIDELINES.

NUMEROUS TREAT ASIA PARTNER INVESTIGATORS AND AFFILIATES ALSO GAVE

POSTER PRESENTATIONS AT THE CONFERENCE.

TREAT ASIA ALSO PARTICIPATED IN: THE 23RD BANGKOK INTERNATIONAL

SYMPOSIUM ON HIV MEDICINE IN JANUARY 2021; THE INTERNATIONAL AIDS

SOCIETY (IAS) COVID-19 CONFERENCE IN FEBRUARY; THE CONFERENCE ON

RETROVIRUSES AND OPPORTUNISTIC INFECTIONS (CROI) IN MARCH; AND THE

SIXTH ASIA PACIFIC AIDS & CO-INFECTIONS CONFERENCE (APACC), HELD

VIRTUALLY IN JUNE.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

WHEN THE COVID-19 CRISIS EMERGED IN EARLY 2020, AMFAR DEDICATED A

SECTION OF ITS WEBSITE TO INFORMATION ON THE CORONAVIRUS AND THE

INTERSECTION OF HIV AND COVID-19 IN PARTICULAR. THE SECTION FEATURED

RESOURCES FOR PEOPLE LIVING WITH HIV AND NEWS OF THE LATEST STUDIES AS

MORE AND MORE DATA EMERGED. AUDIOVISUAL CONTENT INCLUDED A SERIES OF

INTERVIEWS CONNECTIONS: COVID-19 AND HIV FEATURING INDIVIDUALS LIVING

WITH HIV SHARING THEIR PERSONAL EXPERIENCES DURING THE COVID-19

PANDEMIC. THE INFORMATIVE SERIES GARNERED TENS OF THOUSANDS OF VIEWS.

PUBLIC INFORMATION ALSO ASSISTED IN THE PRODUCTION OF INNOVATIVE

VIRTUAL BENEFIT EVENTS INCLUDING A GALA FOR OUR TIME AND IT'S A SIN: A

CONVERSATION IN MARCH 2021, AND FROM THE STUDIO PART II, AN ONLINE ART

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AUCTION IN PARTNERSHIP WITH CHRISTIE'S IN JULY 2021, AS WELL AS
 FUNDRAISING GALAS THAT WERE HELD IN PERSON IN CANNES, FRANCE, IN JULY
 2021, AND VENICE, ITALY, IN SEPTEMBER. IN MAY 2021 AMFAR RECEIVED THE
 AWARD FOR OUTSTANDING DIGITAL THEATER, INDIVIDUAL PRODUCTION, AT THE
 87TH DRAMA LEAGUE AWARDS FOR THE GREAT WORK BEGINS: SCENES FROM ANGELS
 IN AMERICA. AMFAR AND DIRECTOR ELLIE HEYMAN CAME TOGETHER IN 2020 TO
 PRODUCE THE VIRTUAL PERFORMANCE INSPIRED BY THE ICONIC TONY KUSHNER
 PLAY TO BENEFIT THE NEWLY FORMED AMFAR FUND TO FIGHT COVID-19.
 EDUCATIONAL MATERIALS

AMFAR PRODUCES PERIODICALS IN BOTH PRINT AND ELECTRONIC FORMATS,
 INCLUDING ITS NEWSLETTER INNOVATIONS, PUBLISHED TWICE A YEAR AND
 DISTRIBUTED TO ABOUT 35,000 PEOPLE, AND A MONTHLY E-MAIL NEWSLETTER
 DISTRIBUTED TO APPROXIMATELY 16,000 PEOPLE.

THE FOUNDATION'S WEBSITE WWW.AMFAR.ORG FEATURES NEWS, INTERVIEWS, AND
 ORIGINAL ARTICLES COVERING HIV RESEARCH, POLICY, THE GLOBAL EPIDEMIC,
 AND AMFAR PROGRAMS AND ACTIVITIES. THE WEBSITE ATTRACTS AN AVERAGE OF
 25,000 VISITORS PER MONTH.

AMFAR CREATES AND DISTRIBUTES REPORTS, PRESS RELEASES, AND UPDATES ON
 MAJOR HIV/AIDS ISSUES AND CONDUCTS PUBLIC SERVICE ADVERTISING CAMPAIGNS
 THAT HAVE BEEN INSTRUMENTAL IN EDUCATING POLICYMAKERS, HEALTHCARE
 PROFESSIONALS, PEOPLE LIVING WITH HIV/AIDS, AND THE PUBLIC. AMFAR'S
 PUBLIC INFORMATION TEAM ALSO WORKS CLOSELY WITH THE PUBLIC POLICY
 OFFICE (SEE ABOVE) TO PRODUCE A WIDE RANGE OF ISSUE BRIEFS, FACTS
 SHEETS, INFOGRAPHICS, AND REPORTS.

EPIC VOICES

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AMFAR'S EPIC VOICES, AN ONLINE VIDEO SERIES THAT AIMS TO REENERGIZE THE RESPONSE TO HIV AMONG MILLENNIAL AND LGBTQ COMMUNITIES CONTINUED TO GENERATE SIGNIFICANT ENGAGEMENT THROUGHOUT THE YEAR. EPIC VOICES WON THE AWARD FOR VIDEO STORYTELLING IN THE 2021 PRNEWS CSR & NONPROFIT AWARDS. LAUNCHED IN 2017, THE GOALS OF THE CAMPAIGN ARE TO: RENEW AWARENESS OF THE PERSISTENT THREAT OF HIV, UNDERSCORE THE URGENT NEED TO SUPPORT HIV RESEARCH, AND SUPPORT AMFAR'S LEADERSHIP IN THE SEARCH FOR A CURE. AMFAR SPOKE TO HIV ACTIVISTS ACROSS THE COUNTRY AND ASKED THEM TO SHARE THEIR UNIQUE JOURNEYS, THEIR INSIGHTS ON LIVING WITH HIV, AND THE BOLD STEPS THEY HAVE TAKEN IN THE FIGHT AGAINST THE EPIDEMIC.

SOCIAL MEDIA

AMFAR HAS VIGOROUSLY EXPANDED ITS PRESENCE IN THE SOCIAL MEDIA ARENA, REACHING LARGE NUMBERS OF PEOPLE, INCLUDING A YOUNGER DEMOGRAPHIC THAT IS OFTEN LESS EDUCATED ABOUT HIV AND THE AIDS EPIDEMIC. THE FOUNDATION HAS EXPANDED ITS SOCIAL PLATFORMS TO INCLUDE TIKTOK, TARGETING THE NEW GENERATION OF ADVOCATES. AMFAR REGULARLY UPDATES ITS SOCIAL CHANNELS WITH THE LATEST RESEARCH BREAKTHROUGHS AND POLICY NEWS, AS WELL AS DETAILED COVERAGE FROM ITS WORLD-FAMOUS GALAS. AMFAR HAS OVER 78,000 LIKES ON FACEBOOK, MORE THAN 43,000 TWITTER FOLLOWERS, OVER 116,000 TIKTOK FOLLOWERS, AND 170,000 INSTAGRAM FOLLOWERS.

MEDIA OUTREACH

IN FY2021, AMFAR CONTINUED TO WORK CLOSELY WITH THE MEDIA TO RAISE THE PROFILE OF HIV/AIDS, BOTH DOMESTICALLY AND INTERNATIONALLY, AND TO HELP ENSURE THE ACCURACY OF HIV-RELATED PRESS COVERAGE. ARTICLES AND REPORTS INVOLVING AMFAR MANY OF WHICH INCLUDED INTERVIEWS WITH STAFF WERE CARRIED IN NUMEROUS MEDIA OUTLETS, INCLUDING ABC NEWS, NBC NEWS, CNN,

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ASSOCIATED PRESS, REUTERS, THE NEW YORK TIMES, USA TODAY, U.S. NEWS &
 WORLD REPORT, VANITY FAIR, VOGUE, PEOPLE, VARIETY, CR FASHION BOOK, POZ
 MAGAZINE, WOMEN'S WEAR DAILY, US WEEKLY, THE HOLLYWOOD REPORTER,
 DEADLINE, YAHOO, PAGE SIX, DAILY MAIL, THE DAILY BEAST, AND THE HILL.

CELEBRITY SUPPORT

AMFAR'S PUBLIC AWARENESS EFFORTS ARE GREATLY ENHANCED BY THE COMMITTED
 SUPPORT OF PUBLIC FIGURES WHO LEND THEIR VOICES AND DONATE THEIR TIME,
 TALENTS, AND RESOURCES TO HELP SUSTAIN THE FOUNDATION'S MISSION.
 SUPPORT OF AMFAR BY PROMINENT PUBLIC FIGURES BEGAN WITH THE LATE DAME
 ELIZABETH TAYLOR, AMFAR'S FOUNDING INTERNATIONAL CHAIRMAN, AND OTHERS
 HAVE FOLLOWED IN HER FOOTSTEPS. AMFAR IS PROFOUNDLY GRATEFUL FOR THE
 CONTINUING SUPPORT OF CELEBRITIES FROM ALL OVER THE WORLD.

CELEBRITY SUPPORTERS INCLUDED MARIA BAKALOVA, JORDAN BARRETT, SWIZZ
 BEATZ, DEREK BLASBERG, ORLANDO BLOOM, IAN BOHEN, NICHOLAS BRAUN, RACHEL
 BROSDAHAN, CINDY BRUNA, KELLY CLARKSON, GLENN CLOSE, DARREN CRISS,
 OLIVIA CULPO, LEE DANIELS, DIPLO, NINA DOBREV, JAY ELLIS, CYNTHIA
 ERIVO, MORGAN FREEMAN, EMILY HAMPSHIRE, JEREMY O. HARRIS, EMILE HIRSCH,
 TYLER HOECHLIN, JULIANNE HOUGH, MAGIC JOHNSON, ALICIA KEYS, REGINA
 KING, HEIDI KLUM, NATHAN LANE, SPIKE LEE, JULIAN LENNON, JULIANNA
 MARGULIES, AVA MAX, STELLA MAXWELL, BETTE MIDLER, CATHERINE O'HARA,
 RITA ORA, DYLAN PENN, JEREMY PIVEN, NATASHA POLY, BILLY PORTER, JULIA
 ROBERTS, MICHAELA JA RODRIGUEZ, CARINE ROITFELD, NICOLE SCHERZINGER,
 NINA SENICAR, SHARON STONE, BELLA THORNE, ELLEN VON UNWERTH, AND LYDIA
 WEST.

FORM 990, PART III, LINE 4E OTHER PROGRAM SERVICE ACCOMPLISHMENTS

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PUBLIC POLICY: INFORMED BY THOROUGH RESEARCH AND ANALYSIS, AMFAR IS A

HIGHLY RESPECTED ADVOCATE OF RATIONAL AND COMPASSIONATE

HIV/AIDS-RELATED PUBLIC POLICY. THE FOUNDATION IS ENGAGED IN EFFORTS TO

SECURE NECESSARY INCREASES IN FUNDING FOR HIV/AIDS RESEARCH AND GLOBAL

HIV/AIDS PROGRAMS, EXPAND ACCESS TO TREATMENT AND CARE FOR MARGINALIZED

POPULATIONS, ADVOCATE HARM REDUCTION POLICIES AIMED AT REDUCING THE

TRANSMISSION OF HIV AND HEPATITIS C (HCV) AMONG PEOPLE WHO INJECT

DRUGS, AND PROTECT THE CIVIL RIGHTS OF ALL PEOPLE AFFECTED BY OR

VULNERABLE TO HIV/AIDS.

ENDING THE HIV EPIDEMIC IN THE U.S.

THROUGHOUT THE YEAR, THE AMFAR POLICY TEAM WORKED CLOSELY WITH MEMBERS

OF THE BIDEN ADMINISTRATION, THE CENTERS FOR DISEASE CONTROL AND

PREVENTION (CDC), NATIONAL INSTITUTES OF HEALTH (NIH), AND THE

DEPARTMENT OF HEALTH AND HUMAN SERVICES (HHS) TO PROMOTE AN

EVIDENCED-BASED RESPONSE TO THE U.S. HIV EPIDEMIC. THIS INCLUDED

MULTIPLE ADVOCACY MEETINGS, COLLABORATIVE EVENTS, AND CONSULTATIONS, AS

WELL AS ADVOCACY TO REESTABLISH THE WHITE HOUSE OFFICE OF NATIONAL AIDS

POLICY.

POLICY STAFF WERE INVITED TO DELIVER A PRESENTATION TO THE PRESIDENTIAL

ADVISORY COUNCIL ON HIV/AIDS AND WORKED WITH OTHER DOMESTIC ADVOCATES

TO DISCUSS RECOGNITION OF THE 40TH ANNIVERSARY OF THE HIV PANDEMIC WITH

THE BIDEN ADMINISTRATION. AMFAR VICE PRESIDENT AND DIRECTOR OF PUBLIC

POLICY GREG MILLETT TOOK PART IN A VIRTUAL WEBINAR AND CONVERSATION

WITH SENIOR FEDERAL OFFICIALS AND COMMUNITY LEADERS ON THE 40TH

ANNIVERSARY OF THE FIRST REPORTS OF HIV/AIDS ON TUESDAY, JUNE 15, 2021.

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AMFAR'S POLICY OFFICE WORKED TO SECURE \$200 MILLION IN ADDITIONAL FUNDING ABOVE THE LEVEL OF THE PRESIDENT'S BUDGET REQUEST FOR HIV RESEARCH AT THE NIH IN THE FY2022 HOUSE APPROPRIATIONS BILL. POLICY STAFF WORKED CLOSELY WITH THE CDC AND COMMUNITY EDUCATION GROUP TO CO-HOST A MEETING ON SYNDEMICS AND THE HIV RESPONSE. POLICY STAFF ALSO PARTICIPATED AND PROVIDED KEY INSIGHTS IN THE 2021 CDC POLICY LEADERS WORKING GROUP FOR STATE POLICY PRIORITIES.

THE POLICY OFFICE ALSO ENGAGED WITH THE DEVELOPMENT AND ROLLOUT OF THE UPDATED NATIONAL HIV/AIDS STRATEGY, INCLUDING DISCUSSIONS WITH THE WHITE HOUSE, AND AMFAR'S POLICY ANALYSES WERE CITED IN THE NEWLY RELEASED STRATEGY.

THE COVID-19 PANDEMIC IN 2021, AMFAR CONTINUED TO HIGHLIGHT THE IMPACT OF COVID-19 ON THE HIV RESPONSE BOTH DOMESTICALLY AND GLOBALLY, AND THE INEQUITABLE DOMESTIC IMPACT OF COVID-19. POLICY STAFF PARTICIPATED IN THE COVID-19 HEALTH EQUITY TASK FORCE STAKEHOLDER BRIEFINGS WITH THE OFFICE OF PUBLIC ENGAGEMENT AND IN SEVERAL MEETINGS OF THE NIH COVID PREVENTION TRIALS NETWORK TO DISCUSS VACCINE DISTRIBUTION. POLICY STAFF DISCUSSED COVID-19 DATA DEFICIENCIES AND RECOMMENDATIONS FOR THE NEW ADMINISTRATION WITH OUR PARTNERS, AND DELIVERED PRESENTATIONS ON THE INTERSECTIONS OF COVID-19 WITH HIV, LGBT COMMUNITIES, AND RACIAL DISPARITIES.

HIV AND THE OPIOID EPIDEMIC AMFAR MAINTAINS AN IMPORTANT AND WIDELY USED RESOURCE ON HIV AND THE OPIOID EPIDEMIC. ITS OPIOID & HEATH INDICATORS DATABASE

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(OPIOID.AMFAR.ORG) IS A FREE WEB PLATFORM DESIGNED TO SUPPORT
LAWMAKERS, COMMUNITIES, AND ADVOCATES IN MAKING INFORMED DECISIONS
ABOUT THE OPIOID EPIDEMIC AND ITS IMPACT ON HIV AND HEPATITIS C. THE
SITE IS A WINDOW INTO THE OPIOID EPIDEMIC UNFOLDING IN EVERY AMERICAN'S
BACKYARD. IT PROVIDES LOCAL TO NATIONAL STATISTICS USING RELIABLE DATA
SOURCES ON NEW HIV AND HEPATITIS C INFECTIONS, OPIOID USE AND OVERDOSE
DEATH RATES, AND THE AVAILABILITY OF SERVICES LIKE DRUG TREATMENT
PROGRAMS AND SYRINGE EXCHANGE SERVICES.

IN 2021 AMFAR STAFF WORKED WITH HHS ON THE ENDING THE HIV EPIDEMIC PLAN
AND OPIOID AND INFECTIOUS DISEASE PROGRAMS. POLICY STAFF ALSO
PARTICIPATED IN SAMHSA'S REGION 5 OPIOID TASKFORCE.

GLOBAL HEALTH

THE AMFAR POLICY OFFICE MAINTAINS AN ACTIVE ROLE IN GLOBAL ADVOCACY AND
POLICY INITIATIVES INCLUDING ATTENDING PEPFAR COUNTRY OPERATIONAL
PLANNING MEETINGS, THE GLOBAL FUND PARTNERSHIP FORUM, AND THE UN
HIGH-LEVEL MEETINGS ON HIV/AIDS. POLICY STAFF ENGAGE IN ADVOCACY WITH
HOUSE AND SENATE APPROPRIATIONS COMMITTEES TO SECURE STRONG GLOBAL HIV
BUDGETS AND CLOSELY TRACK AND RESPOND TO NEW GLOBAL HEALTH POLICIES.
ADDITIONALLY, THE POLICY OFFICE PLAYS A KEY ROLE AS A TECHNICAL
ASSISTANCE PROVIDER FOR IN-COUNTRY COMMUNITY-LED MONITORING EFFORTS IN
SEVEN COUNTRIES. IN THIS ROLE, AMFAR ENGAGES WITH LOCAL ACTIVISTS AND
DATA COLLECTION TEAMS TO ENSURE HIGH-QUALITY DATA ARE PRODUCED FOR HIV
SERVICE QUALITY MONITORING AND ADVOCACY WITH DUTY BEARERS.

THROUGHOUT 2021 AMFAR STAFF PUBLISHED SEVERAL STUDIES IN ACADEMIC
JOURNALS WITH FAR-REACHING POLICY IMPLICATIONS. IN MARCH 2021, AMFAR

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STAFF PUBLISHED THE ROLE OF CONTRACEPTION IN PREVENTING HIV-POSITIVE

BIRTHS: GLOBAL ESTIMATES AND PROJECTIONS IN BMC PUBLIC HEALTH. THIS

RESEARCH QUANTIFIES THE IMPORTANCE OF CONTRACEPTION FOR HIV PREVENTION

AND HAS BEEN USED FOR GLOBAL ADVOCACY ON HIV/FAMILY PLANNING

INTEGRATION. IN JUNE 2021, POLICY STAFF PUBLISHED CURRENT ALLOCATIONS

AND TARGET APPORTIONMENT FOR HIV TESTING AND TREATMENT SERVICES FOR

MARGINALIZED POPULATIONS: CHARACTERIZING PEPFAR INVESTMENT AND STRATEGY

IN THE JOURNAL OF THE INTERNATIONAL AIDS SOCIETY. THIS RESEARCH HAS

BEEN USED TO EQUIP GLOBAL HIV ADVOCATES WITH IN-DEPTH KNOWLEDGE OF

PEPFAR'S INVESTMENT STRATEGY FOR KEY AND MARGINALIZED POPULATIONS.

IN 2021 AMFAR STAFF PARTNERED WITH TRANS-LED ORGANIZATION GATE TO

DESIGN AND IMPLEMENT RESEARCH ON BEST PRACTICES IN TRANS INCLUSION IN

NATIONAL HIV STRATEGIC PLANS (NSPS). THIS WORK INCLUDED GLOBAL RESEARCH

ON THE CURRENT LEVELS OF TRANS INCLUSION IN NSPS AS WELL AS LOCAL

ADVOCACY IN FIVE TARGET COUNTRIES TO INCREASE TRANS INCLUSION.

INTERNATIONAL STAKEHOLDERS FROM UNAIDS AND THE GLOBAL FUND WERE

INVOLVED IN PROJECT PLANNING AND HAVE COMMITTED TO USING PROJECT DATA.

THE POLICY TEAM ALSO CONTINUES TO PRODUCE USER-FRIENDLY INFOGRAPHICS ON

A VARIETY OF GLOBAL HEALTH AND HIV-RELATED TOPICS. IN OCTOBER 2020,

AMFAR RELEASED PEPFAR IN THE AGE OF COVID-19: THE NEED FOR SUPPLEMENTAL

FUNDING. THIS INFOGRAPHIC SHOWS HOW THE COVID PANDEMIC HAS NECESSITATED

COSTLY PROGRAM ADAPTATIONS IN ORDER TO MAINTAIN GLOBAL GAINS AGAINST

HIV BY PEPFAR AND THE GLOBAL FUND. AS A RESULT, PEPFAR FACES A \$1

BILLION BUDGET SHORTFALL, POTENTIALLY RESULTING IN THOUSANDS OF HIV

TREATMENT DISRUPTIONS, NEW INFECTIONS, AND PREVENTABLE AIDS-RELATED

DEATHS. IN JUNE 2021, AMFAR RELEASED A NEGLECTED POPULATION:

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TRANSGENDER INCLUSION IN NATIONAL HIV PLANS, A SERIES OF INFOGRAPHICS

THAT SHOW HOW TRANSGENDER PEOPLE ARE OFTEN NEGLECTED, AND SOMETIMES

EXCLUDED ALTOGETHER, IN THE KEY SECTIONS OF NSPS.

DATA TRANSPARENCY

AMFAR MAINTAINS THE PEPFAR MONITORING, EVALUATION, AND REPORTING (MER)

DATABASE (MER.AMFAR.ORG). LAUNCHED IN DECEMBER 2018, THE MER DATABASE

ENABLES POLICYMAKERS, PUBLIC HEALTH OFFICIALS, ADVOCATES, AND OTHER

STAKEHOLDERS TO ACCESS A WIDE RANGE OF PROGRAMMATIC PEPFAR DATA AND

INCLUDES DOWNLOADABLE PDFS, MAPS, DATA VISUALIZATIONS, AND

DISTRICT-LEVEL DATA. THE DATABASE COMPLEMENTS AMFAR'S PEPFAR DATABASE

(COPSDATA.AMFAR.ORG), WHICH HIGHLIGHTS PLANNED FUNDING BY PROGRAM AREA,

COUNTRY AND ORGANIZATION FOR EACH YEAR THAT HAS BEEN PUBLICLY RELEASED.

THE DATABASE IS DESIGNED TO HELP PEPFAR IN ITS EFFORTS TO INCREASE DATA

TRANSPARENCY AND GENERAL PARTICIPATION IN THE PLANNING PROCESS.

ADVOCATES FROM AROUND THE WORLD RELY ON THESE RESOURCES TO EASILY

ACCESS PEPFAR DATA AND PREPARE FOR ADVOCACY INITIATIVES.

AMFAR LAUNCHED THE KEY POPULATIONS AND KEY POPULATION INVESTMENT FUND

(KPIF) DATA PROJECT (KPDATA.AMFAR.ORG) IN 2021. THE DATA PROJECT BRINGS

TOGETHER AVAILABLE DATA ON KEY POPULATIONS DEMOGRAPHICS, EPIDEMIOLOGY,

FUNDING, AND PROGRAMMING FROM UNAIDS, PEPFAR, AND THE GLOBAL FUND. THIS

RESOURCE INCLUDES COUNTRY FACTSHEETS SHOWING HOW FUNDING HAS EVOLVED

OVER TIME, AND, WHERE POSSIBLE, IDENTIFIES WHO IS RESPONSIBLE FOR

IMPLEMENTING KP PROGRAMMING IN THE PEPFAR PROGRAM. THIS PROJECT

COMPLEMENTS EXISTING WORK IN THE MER AND COPS DATABASES AND WILL BE A

KEY TOOL FOR KP ADVOCATES GOING FORWARD.

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11TH IAS CONFERENCE ON HIV SCIENCE AND OTHER CONFERENCES

AMFAR'S POLICY OFFICE PARTICIPATED IN THE 11TH IAS CONFERENCE ON HIV

SCIENCE THE PREMIER GLOBAL MEETING FOR HIV RESEARCH AND HOW IT MIGHT BE

APPLIED TO POLICY AND PRACTICE. DELEGATES CAME TOGETHER VIRTUALLY TO

HEAR ABOUT AND DISCUSS THE LATEST HIV RESEARCH FINDINGS AND POLICY

ISSUES, AND INTERSECTIONS WITH COVID-19. AT THE CONFERENCE AMFAR STAFF

PRESENTED NEW DATA ON THE PEPFAR KEY POPULATIONS INVESTMENT FUND. AMFAR

STAFF ALSO CO-AUTHORED A POSTER PRESENTATION SHOWCASING THE USE OF

COMMUNITY-LED MONITORING DATA IN SOUTH AFRICA.

PUBLIC POLICY DIRECTOR GREG MILLETT DELIVERED A PRESENTATION DURING

CROI (CONFERENCE ON RETROVIRUSES AND OPPORTUNISTIC INFECTIONS)

REGARDING PREP ACCESS FOR KEY POPULATIONS GLOBALLY. MILLETT ALSO

PARTICIPATED IN SEVERAL PLANNING MEETINGS FOR IAPAC'S GLOBAL HIV

MEETING THAT CONVENED IN LISBON, PORTUGAL, IN NOVEMBER 2021.

FORM 990, PART VI, SECTION B, LINE 11B:

THE FORM 990 WAS PREPARED BY A NATIONALLY RENOWNED ACCOUNTING FIRM IN

CONJUNCTION WITH THE ORGANIZATION'S FINANCIAL DEPARTMENT. A COPY OF THE

DRAFT FORM 990 WAS CIRCULATED TO THE FULL BOARD OF TRUSTEES FOR DISCUSSION

AND COMMENT. EACH BOARD MEMBER WAS PROVIDED AMPLE OPPORTUNITY TO COMMENT ON

THE INFORMATION CONTAINED IN THE 990 PRIOR TO ITS FILING WITH THE INTERNAL

REVENUE SERVICE.

FORM 990, PART VI, SECTION B, LINE 12C:

EACH OFFICER, DIRECTOR, TRUSTEE AND KEY EMPLOYEE OF AMFAR ("FOUNDATION") IS

REQUIRED TO ANNUALLY DISCLOSE ANY CONFLICTS OF INTEREST THAT ARISE BY

VIRTUE OF EMPLOYMENT, BOARD SERVICE, OR POSITION WITH THE FOUNDATION. THE

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FOUNDATION MONITORS COMPLIANCE WITH ITS CONFLICT OF INTEREST POLICY THROUGH AN ANNUAL QUESTIONNAIRE/DISCLOSURE STATEMENT THAT IS DISTRIBUTED TO THESE INDIVIDUALS. POTENTIAL CONFLICTS ARE INVESTIGATED IMMEDIATELY.

FORM 990, PART VI, SECTION B, LINE 15:

AMFAR ("FOUNDATION FOR AIDS RESEARCH") UNDERTAKES A THOROUGH PROCESS TO ENSURE THAT THE COMPENSATION IT PAYS TO ITS TOP MANAGEMENT OFFICIAL AND ALL OF ITS OFFICERS AND KEY EMPLOYEES IS REASONABLE GIVEN THE MARKET IN WHICH THE FOUNDATION OPERATES. AN INDEPENDENT CONSULTING FIRM QUALIFIED IN THE AREA OF NONPROFIT COMPENSATION PREPARES AN ANALYSIS OF MARKET COMPENSATION RANGES BY JOB FUNCTION AND PRESENTS IT TO THE COMPENSATION COMMITTEE OF THE BOARD. ON THE BASIS OF THIS INFORMATION, STAFF COMPENSATION IS DETERMINED ACCORDING TO SALARY RANGES APPROVED BY THE COMPENSATION COMMITTEE OF THE BOARD, IN CONSULTATION WITH THE CEO AND CFO. CEO COMPENSATION IS REVIEWED AND DETERMINED BY THE COMPENSATION COMMITTEE OF THE BOARD UTILIZING THE INDEPENDENT CONSULTANT ANALYSIS.

AMFAR'S LAST INDEPENDENT COMPENSATION STUDY WAS CONDUCTED IN AUGUST OF 2020 TO ENSURE THAT THE PRESIDENT & CEO'S COMPENSATION IS REASONABLE GIVEN THE MARKET IN WHICH THE FOUNDATION OPERATES. AS OF JUNE 2022, AMFAR IS IN THE PROCESS OF REVIEWING ALL EXECUTIVE AND STAFF SALARIES AND HAS COMMISSIONED AN INDEPENDENT THIRD PARTY COMPENSATION CONSULTANT TO PROVIDE AN UPDATED REPORT.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:
AL, AR, CA, DC, FL, GA, HI, IL, KS, KY, MA, MD, MI, MN, MS, NC, NH, NJ, NM, NY, OK, OR, PA, RI, SC
TN, TX, UT, VA, WI, WV

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FORM 990, PART VI, SECTION C, LINE 19:

AMFAR MAKES ITS FORM 990 AVAILABLE TO THE PUBLIC BY RETAINING A COPY AT ITS PLACE OF BUSINESS AND ON ITS WEBSITE, WWW.AMFAR.ORG. THE FORM 990 IS LIKEWISE PUBLISHED ON THE INTERNET AT WWW.GUIDESTAR.ORG. THE FOUNDATION'S FINANCIAL STATEMENTS ARE MADE AVAILABLE IN ITS ANNUAL REPORT AND ON ITS WEBSITE. THE FOUNDATION'S GOVERNING DOCUMENTS AND CONFLICT OF INTEREST POLICY ARE NOT ORDINARILY MADE AVAILABLE TO THE PUBLIC, BUT, IF REQUESTED, WILL BE PROVIDED AT MANAGEMENT'S DISCRETION.

PART IX, LINES 1 & 3

THE FOUNDATION FOR AIDS RESEARCH REPORTS ITS GRANTS NET OF GRANT RETURNS OR RECOVERIES. PERIODICALLY, GRANTS REMITTED TO CHARITABLE ORGANIZATIONS ARE RETURNED TO AMFAR FOR A VARIETY OF REASONS. ON SCHEDULES F & I, GRANTS ARE REPORTED IRRESPECTIVE OF WHETHER THEY WERE ULTIMATELY RETURNED TO AMFAR SINCE CATEGORIZING THE "RETURNED" AMOUNTS WOULD BE TIME CONSUMING. THEREFORE, AMOUNTS REPORTED ON PART IX, LINE 1 WILL NOT TIE TO TOTAL GRANTS ON SCHEDULE I; AMOUNTS REPORTED ON PART IX, LINE 3 WILL NOT TIE TO TOTAL GRANTS ON SCHEDULE F.